



Part 2 on Data Security and Privacy by Paolo Nesi
***Data Protection, Privacy and GDPR,
IoT Security and Blockchain***

University of Florence, DISIT lab, <https://www.disit.org>

<https://www.snap4city.org>

Paolo.nesi@unifi.it





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DISIT
DISTRIBUTED SYSTEMS
AND INTERNET
TECHNOLOGIES LAB

Distributed Data Intelligence and Technologies Lab
Distributed Systems and Internet Technologies Lab

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DISIT Lab

- Researchers: 20
- Current Active Projects: 18
- Project in the last 4 years: 34
- Research Budget (last 2 years): 1.5M€
- Foreseen Research Budget (next 2 years): 2.2M€
- SpinOff: 1





Search

DISIT Lab overview



<http://www.disit.dinfo.unifi.it>
<https://www.disit.org>

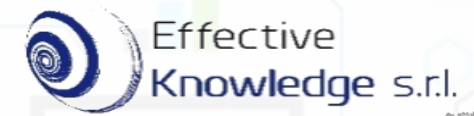
Visit the Smart City Platform of DISIT Lab: Snap4City <https://www.snap4city.org> solution which is 100% open source, support cloud and scalability for processing and IOT/IOE, respect user needs and privacy according GDPR and to the different user kinds, provide tools and community for co-creation; mixt data driven, stream and batch processing; it is fully based on microservices and using easily replaceable tools. Snap4City solution has been designed to be Click to access Snap4City tools main entry Click the image to access Snap4City scalable, flexible, safe and respectful of privacy, endowed of a powerful semantic reasoner based on Km4City multi-domain semantic model and tools (<https://www.km4city.org>). A special attention is provided to enable the development of applications in multiple domains and not only on mobility and transport, tourism, health, welfare, social, etc.

WORK with US: at DISIT lab UNIFI from January 2023

next call on 2023



Con chi lavoriamo



Agenda

- Modello del corso
- Laboratorio DISIT
- Infrastruttura e servizi ←
- Progetti in corso e attività correlate
- Visione generale del corso



Infrastructure and support

- **Research group since 1994**
- **Cloud and data center with >700 TByte storage in raid 50/60,**
 - >800 CPU cores, 1 Thz Clock
 - >8 Tbyte RAM, >500Tbyte
 - 70.000 GPU cores, Managing several infrastructure
- **IOT center: reference center**
- **Nodo CINI per: Big data, Smart City, AI**
- **Smart City infrastructure**
- **Snap4City Living Lab solution**
 - **Snap4Industry for Industry 4.0 solutions**



Triennale

Sistemi Distribuiti – Prof. Paolo Nesi

Sistemi Operativi – Prof. Pierfrancesco Bellini

Fondamenti di Informatica – Prof. Gianni Pantaleo

Magistrale: Informatica, Intelligenza Artificiale

Big Data Architectures – Prof. Paolo Nesi (Big Data, Architecture, Cloud, IoT)

Knowledge Engineering – Prof. Pierfrancesco Bellini (Knowledge Engineering, Natural Language Processing)

Security and data privacy -- Prof. P. Nesi, Prof. P. Bellini (Web Security, privacy, GDPR)

Altri corsi:

Data Intelligence – Corso di Intelligence e Sicurezza Nazionale – Prof. Paolo Nesi

Master in Big Data-MABIDA: architetture, Big Data, Knowledge engineering, Natural Language Processing, cloud, etc.

Dottorato: DINFO, nazionale in AI

Agenda

- Modello del corso
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- Infrastruttura e servizi
- Progetti in corso e attività correlate
- Visione generale del corso



Some DISIT Projects

Herit Data: Tourism and Mng. <https://herit-data.interreg-med.eu/>

Snap4City: IOT/IOE smart city www.snap4city.org

Trafair: CEF project with several Cities <http://trafair.eu/>

Mosaic: Mobility and transport model

Km4City: <http://www.km4city.org>

REPLICATE H2020, SCC1, EC flagship

<http://replicate-project.eu/>

Sii-Mobility SCN MIUR: <http://www.sii-mobility.org>

Feedback: retail and GDO Big Data analytics

5G with 3G-Wind, Open Fiber, Estra

Coll@bora Social Innovation, MIUR:

<http://www.disit.org/5479>

RESOLUTE H2020, EC:

<http://www.resolute-eu.org>

TRACE-IT, RAISSS, TESYSRAIL, ...

Mobile Emergency:

<http://www.disit.org/5404>



Altri progetti

- AMPERE: industry 4.0
- Smart Ambulance: industry 4.0, smart health, smart vehicles
- Cyprus: smart city strategy
- PC4City: landslides predictions
- ISPRA: smart city
-



SMART SOLUTIONS AND DECISION SUPPORT SYSTEMS

CONTROL ROOMS - DECISION SUPPORT SYSTEMS - WHAT-IF ANALYSIS - BUSINESS INTELLIGENCE - SIMULATIONS - SMART APPLICATIONS



DASHBOARDS - VISUAL ANALYTICS - SYNOPTICS - DIGITAL TWIN - GRAPHICAL WIDGETS - ANALYTICS - GUI CUSTOM STYLES - VISUAL PROGRAMMING

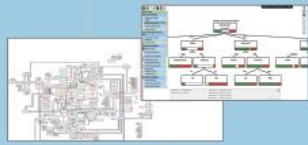


DASHBOARDS, WIDGETS
TEMPLATES

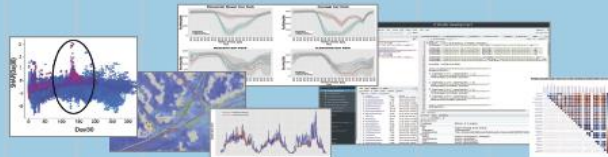
PREDICTION - ANOMALY DETECTION - CLUSTERING - ROUTING - SENTIMENT NLP - TRAFFIC FLOW
PEOPLE FLOWS - SDG - 15 MIN CITY INDEX - KPI - HEATMAPS - ORIGIN DESTINATION - ETC...

API - MICROSERVICES - GIS - BPM
VIDEO - REPORTS - MAPS - 3D ...

ANY: DATA, BROKER, NETWORK AND VERTICAL



EXPERT SYSTEM, KNOWLEDGE BASE
SEMANTIC REASONING
SMART DATA MODEL
IOT DEVICE MODELS, STORAGE



BIG DATA ANALYTICS, ARTIFICIAL INTELLIGENCE
EXPLAINABLE AI, MACHINE LEARNING
OPERATIVE RESEARCH, STATISTICS



VISUAL PROGRAMMING, ADAPTERS
DATA FLOWS, WORKFLOWS
PARALLEL DISTRIBUTED PROCESSING
DATA DRIVEN

Native and External
Applications

Smart Parking

Smart Light

Smart Waste

Smart Energy

Social Media Analysis

...



METHODOLOGIES
LIVING LABS
COURSES AND COMMUNITY
DEVELOPMENT TOOLS



Powered by
FIWARE

FREE
TRIAL

PEN Test
Passed

EU GDPR
COMPLIANT

SNAP4
Appliances and Dockers
Installations

EUROPEAN OPEN
SCIENCE CLOUD

Node-RED

JS Foundation

E015
digital ecosystem

NVIDIA

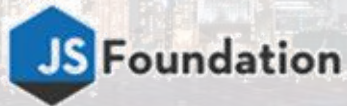
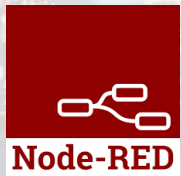
Standards and Interoperability (9/2022)



Compliant with:

- **IoT:** NGSI V2/LD, LoRa, LoRaWan, MQTT, AMQP, COAP, OneM2M, TheThingsNetwork, SigFOX, Libelium, IBIMET/IBE, Enocean, Zigbee, DALI, ISEMC, Alexa, Sonoff, HUE Philips, Tplink, BACnet, TALQ, Protocol Buffer, KNX, OBD2, Proximus, ..
- **IoT model:** FIWARE Smart Data Model, Snap4City IoT Device Models
- **General:** HTTP, HTTPS, TLS, Rest Call, SMTP, TCP, UDP, SOAP, WSDL, FTP, FTPS, WebSocket, WebSocket Secure, GML, WFS, WMS, RTSP, ONVIF, AXIS TVCam, CISCO Meraki, OSM, Copernicus, The Weather Channel, Open Weather, OLAP,
- **Formats:** JSON, GeoJSON, XML, CSV, GeoTIFF, OWL, WKT, KML, SHP, db, XLS, XLSX, TXT, HTML, CSS, SVG, IFC, XPDL, OSM, Enfuser FMI, Lidar, gITF, GLB, DTM, GDAL, Satellite, D3 JSON, ...
- **Database:** Open Search, MySQL, Mongo, HBASE, SOLR, SPARQL, ODBC, JDBC, Elastic Search, Phoenix, PostGres, MS Azure, ..
- **Industry:** OPC/OPC-UA, OLAP, ModBUS, RS485, RS232,..
- **Mobility:** DATEX, GTFS, Transmodel, ETSI, ..
- **Social:** Twitter, FaceBook, Telegram, ..
- **Events:** SMS, EMAIL, CAP, RSS Feed, ..
- **OS:** Linux, Windows, Android, Raspberry Pi, Local File System, AXIS, ESP32, etc.

<https://www.snap4city.org/65>

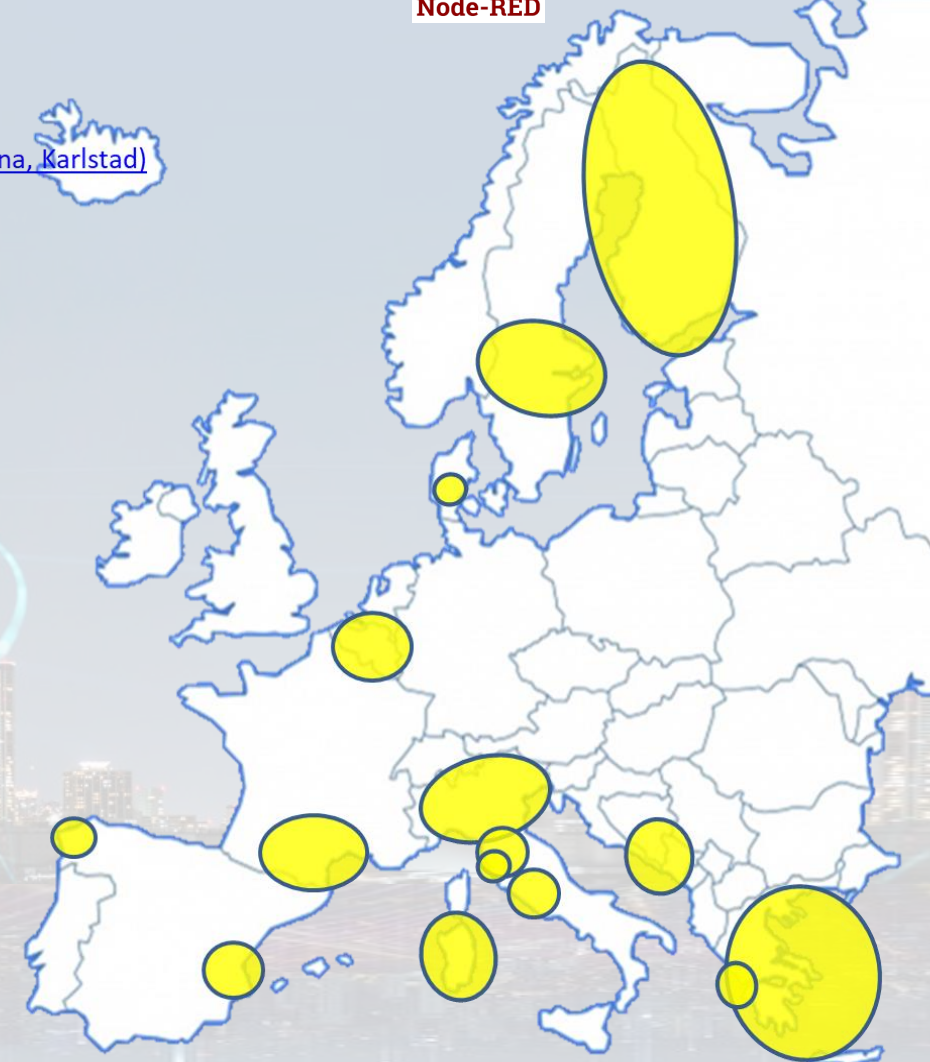




- 8 running installations in Europe
 - Toscana, Pisa, Sweden, ISPRA, Snap4.eu,
 - Altair, Italmatic, Denmark,
- 13 projects, 12 pilots on 10 Countries
 - >40 cities/area
- **Wide MULTI-tenant deploy, e.g.,**
 - 18 Organizations / tenant
 - > 7400 users on
 - > 1400 Dashboards
 - > 16 mobile Apps
 - > **2 Million of structured data per day**
 - > 520 IoT Applications/node-RED
 - > 700 web pages with training
 - > 60 videos, training videos

Main Organizations/areas

- [Antwerp area \(Be\)](#)
- [Bologna \(I\)](#)
- [Capelon \(Sweden: Västerås, Eskilstuna, Karlstad\)](#)
- [DISIT demo \(multiple\)](#)
- [Dubrovnik, Croatia](#)
- [Firenze area \(I\)](#)
- [Garda Lake area \(I\)](#)
- [Greece \(Gr\)](#)
- [Helsinki area \(Fin\)](#)
- [Livorno area \(I\)](#)
- [Lonato del Garda \(I\)](#)
- [Modena \(I\)](#)
- [Mostar, Bosnia-Herzegovina](#)
- [Oslo & Padova \(Impetus\)](#)
- [Pisa area \(I\)](#)
- [Pistoia \(I\)](#)
- [Pont du Gard, Occitanie \(Fr\)](#)
- [Prato \(I\)](#)
- [Roma \(I\)](#)
- [Santiago de Compostela \(S\)](#)
- [Sardegna Region \(I\)](#)
- [Siena \(I\)](#)
- SmartBed (multiple)
- [Toscana Region \(I\), SM](#)
- [Valencia \(S\)](#)
- [Venezia area \(I\)](#)
- [WestGreece area \(Gr\)](#)



- **Trials in Israel, Colombia, Brasile, Australia, India, Romania, etc.**



External
Services

Fleet
management



IoT Devices/Edge

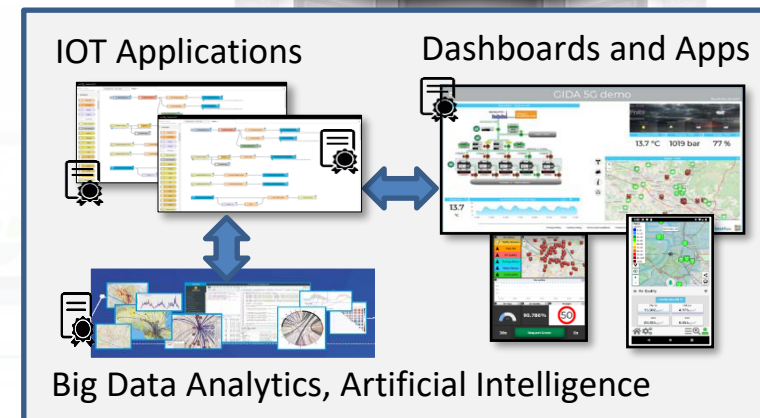
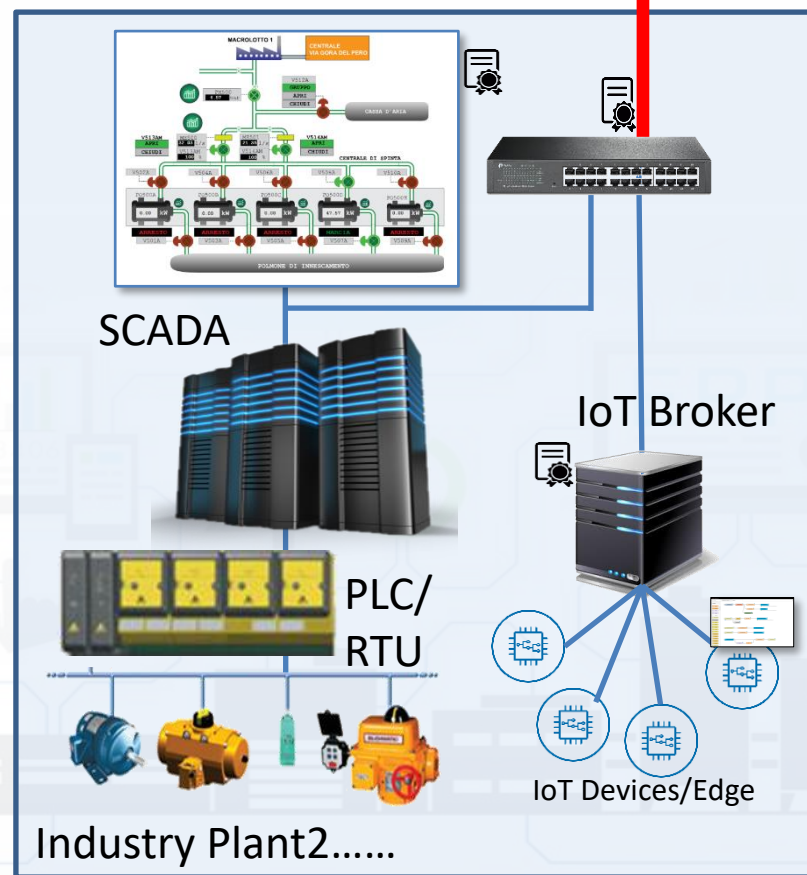
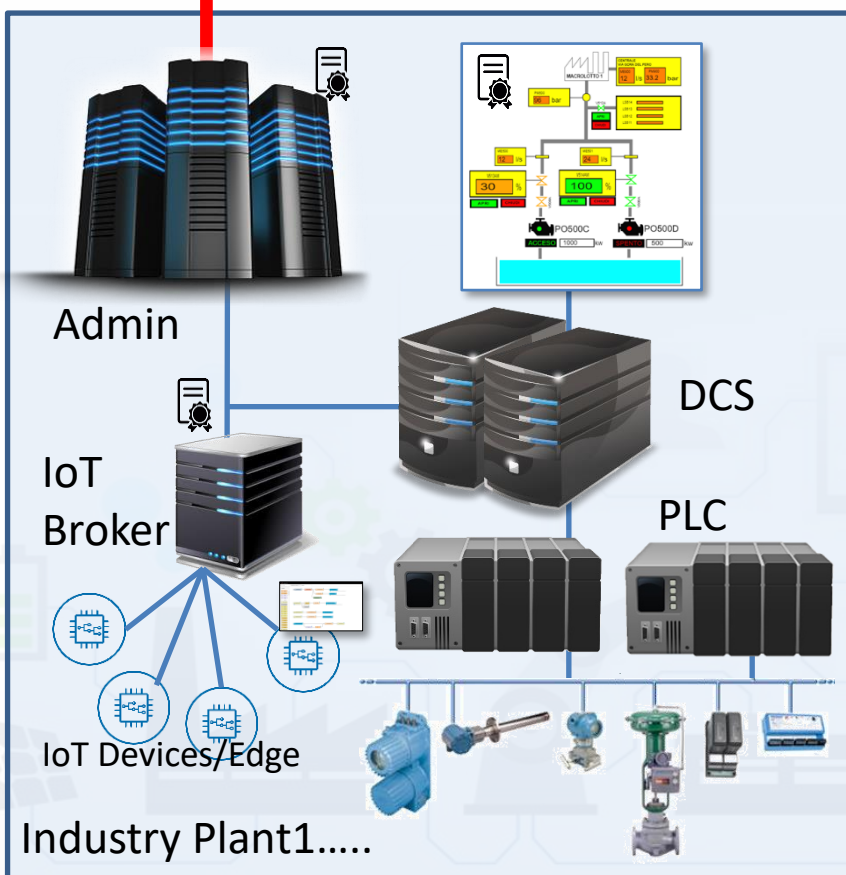


IoT Broker

SECURE

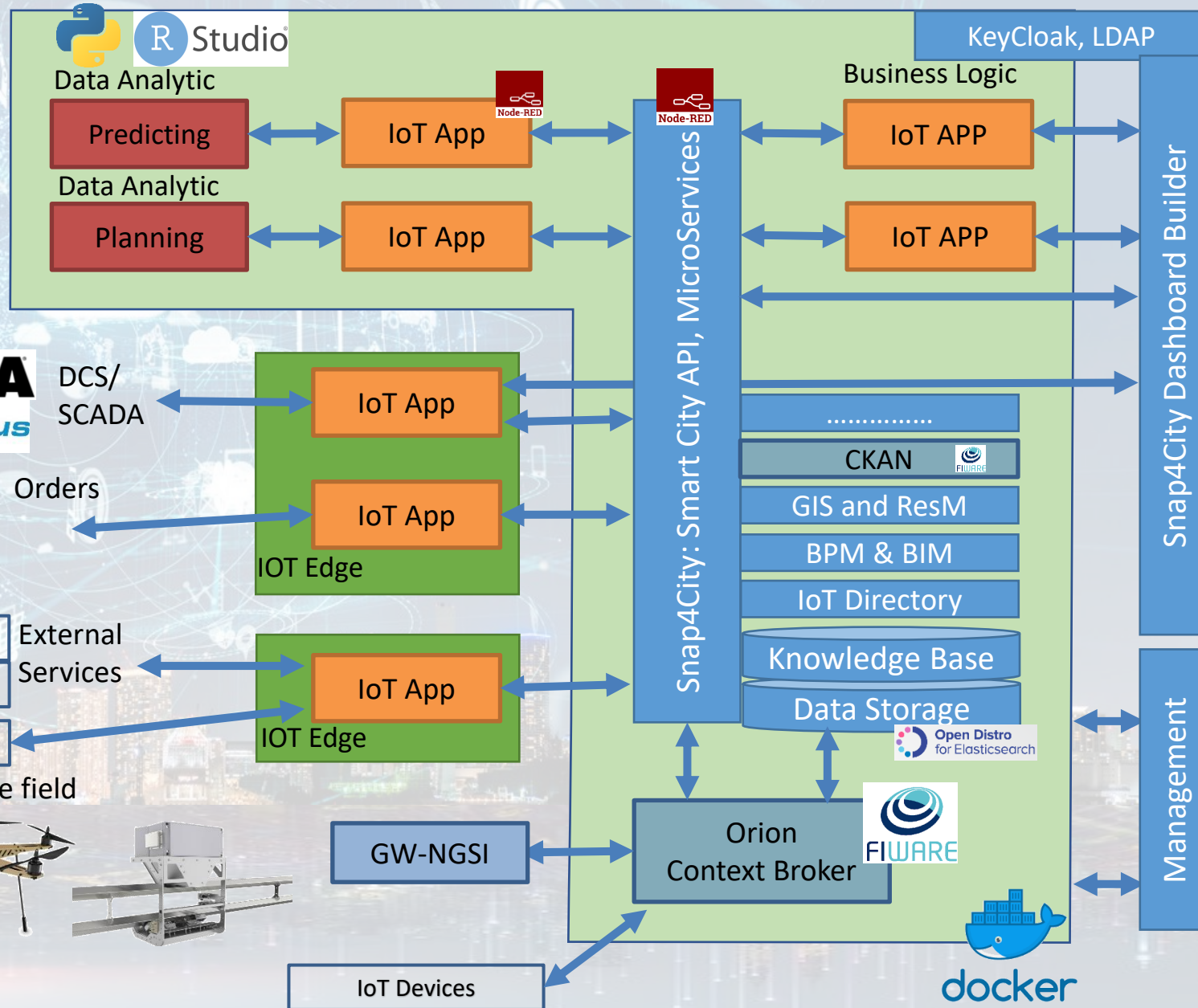


Internet



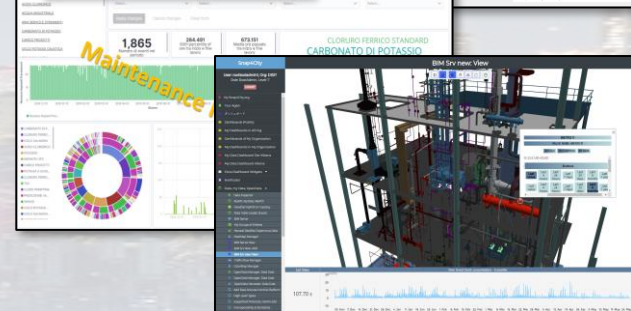
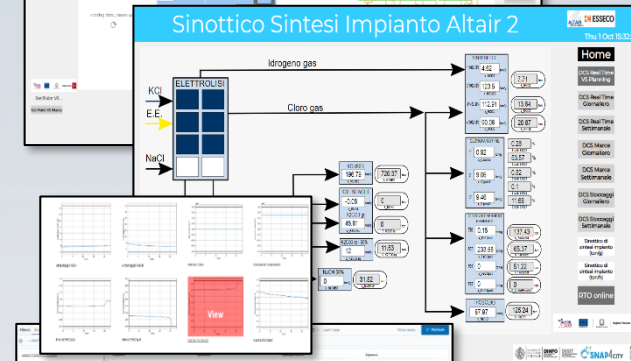
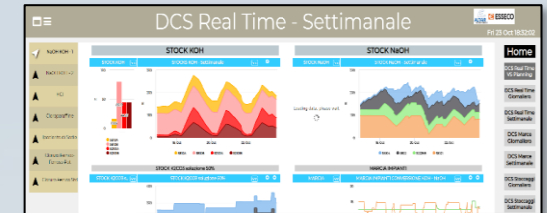
Control and Supervision on
Multiple Supply Chains
Industry 4.0 as a Service

Snap4Industry Detailed Architecture



Production Parameters

Dashboards, Visual Analytics, Synoptics, 3D, Maps





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Sii-Mobility



<http://www.Sii-Mobility.org>

Commenti dei cittadini,
Social Media



AVM trasporto
Pubblico



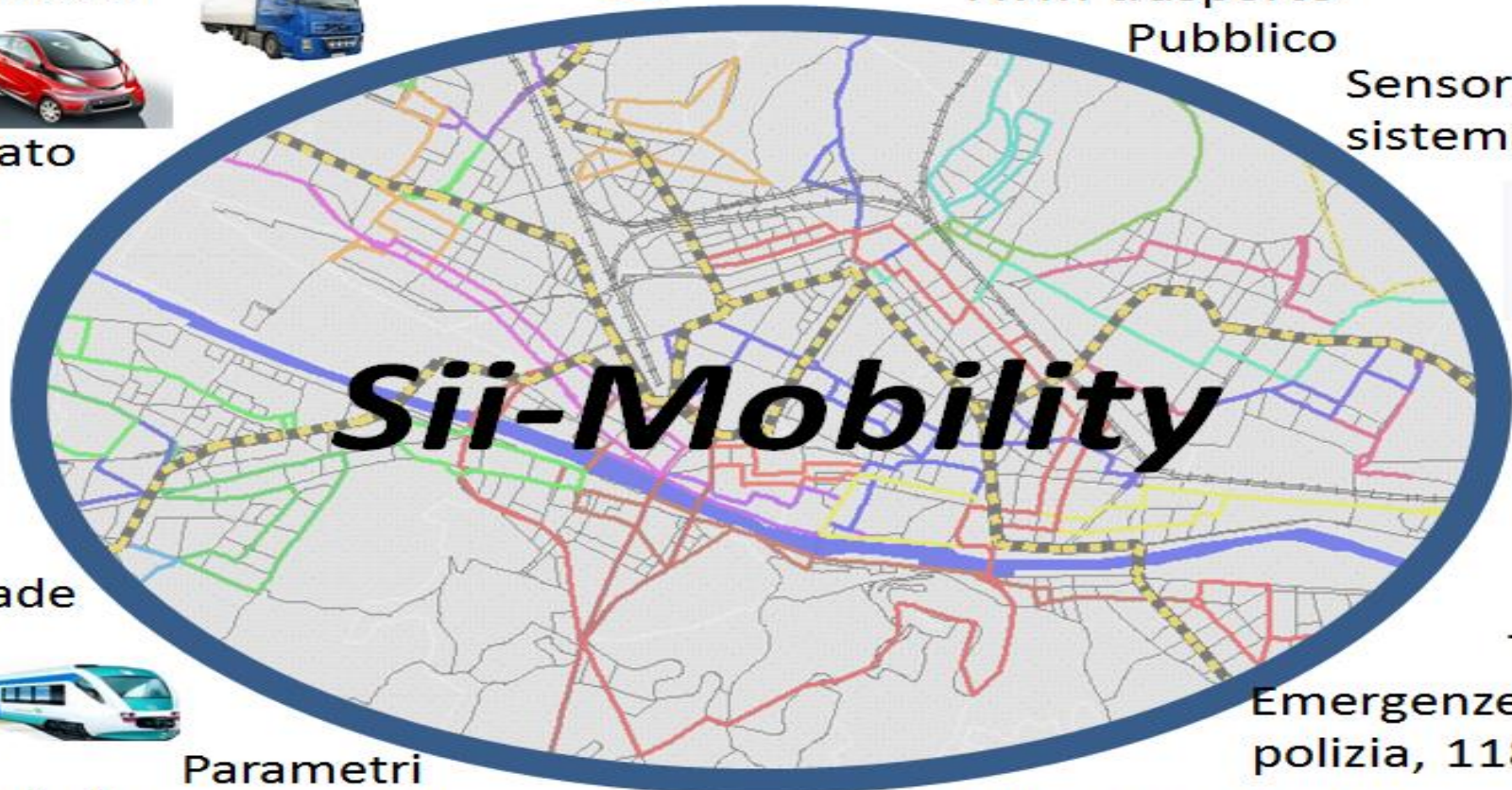
Sensori,
sistema monitoraggio

Merci



Sensori su
trasporto Privato

Sensori
Parcheggi



UTC



Infomobility



Varchi
Telematici, ZTL

Monitoraggio
traffico, autostrade



Rete
Ferroviaria

Parametri
ambientali

Servizi ed
enti

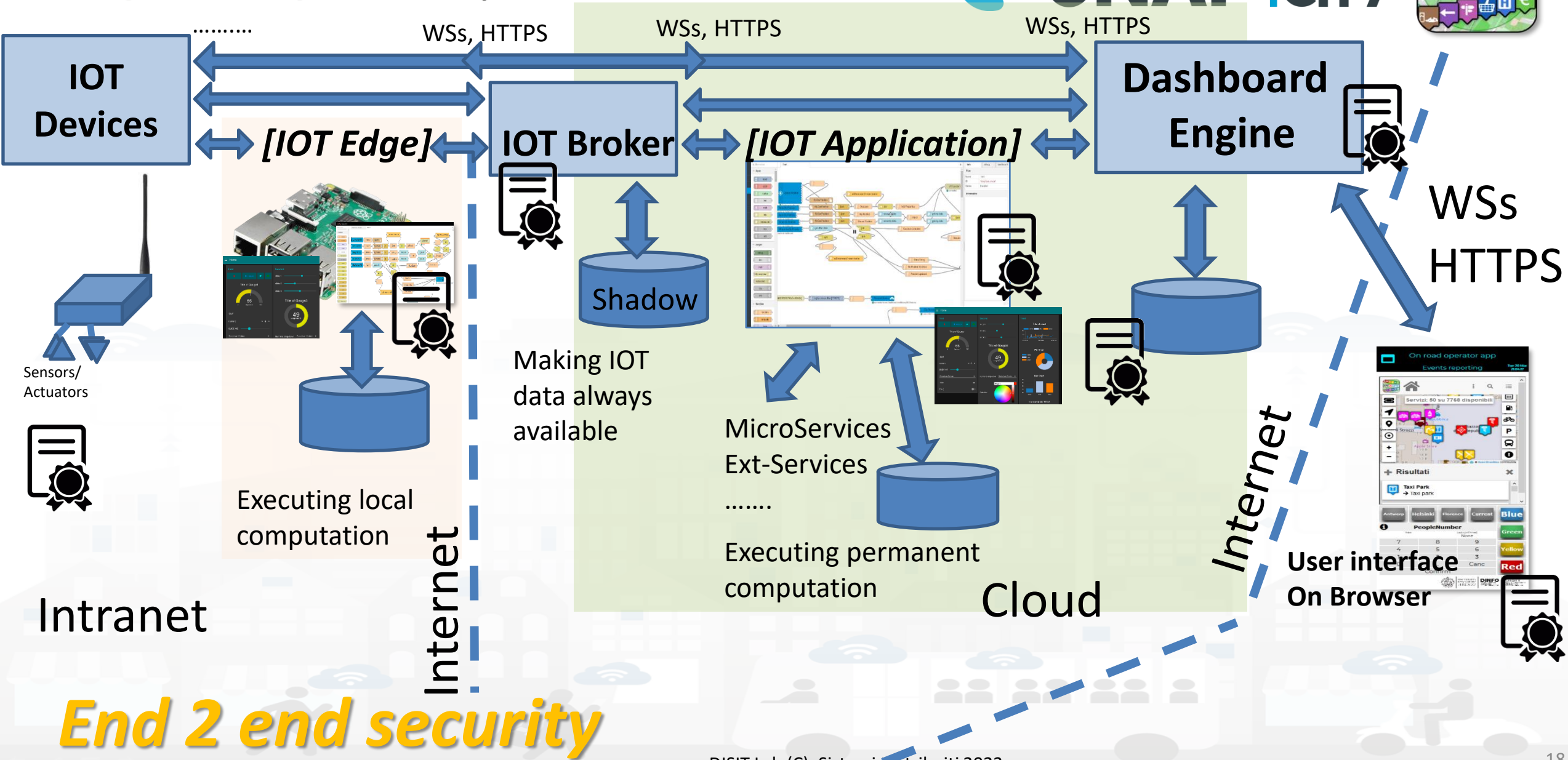


Ordinanze: eventi,
lavori pubblici, ..



Emergenze,
polizia, 118





End 2 end security

Smart City Control Room Florence Metropolitan City



reference



- **Multiple Domain Data**

- mobility and transport, accidents, public transport, parking, traffic flow, Traffic Reconstruction, ...
- civil protection, gov data, covid-19, social & social media, people flow, tourism, energy, ...

- **Multiple dash/tool Levels & Decision Makers**

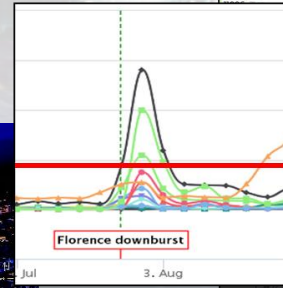
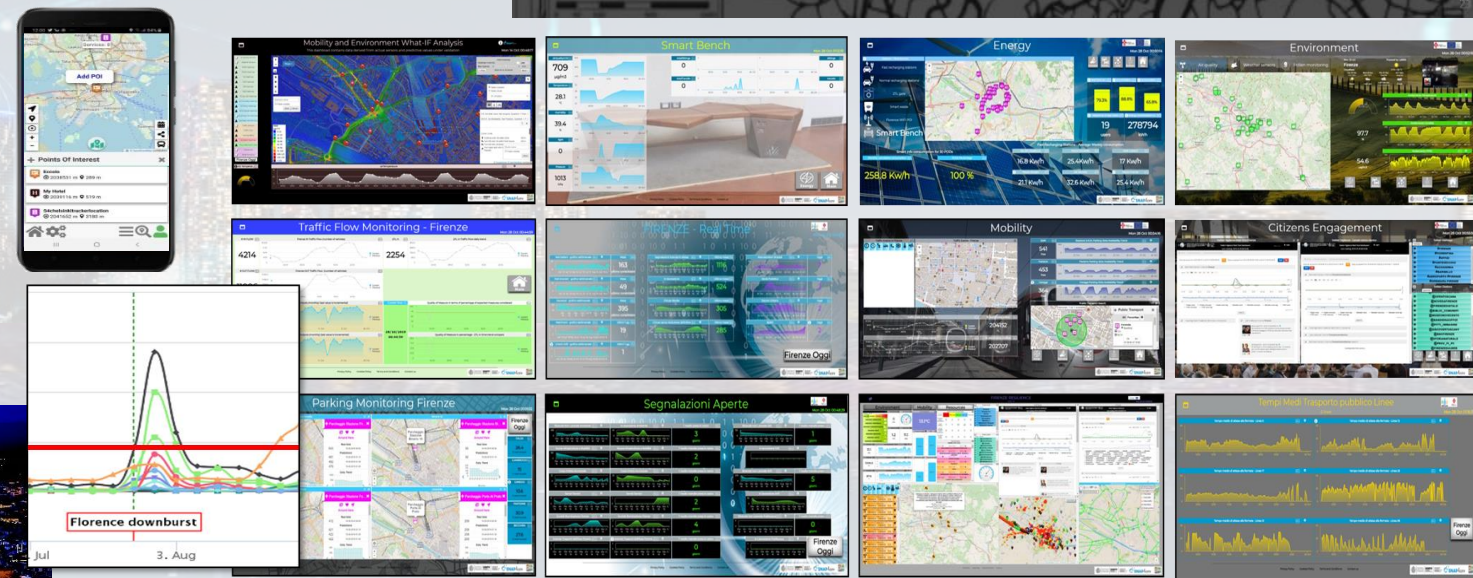
- **Historical and Real Time data**

- Billions of Data
- Predictions, what-if analysis

- **Services Exploited on:**

- Multiple Levels, Mobile Apps, API

- **Since 2017**



FIRENZE

INDICI DI CRITICITA' DELLA QUALITA' DELL'ARIA (ICQA)
2
inviata comunicazione alla cittadinanza

OZONO
200 μ/m^3
superata la soglia di informazione

39492 Utenti WiFi

STATI DI ALLERTA 9m

GENERAL METEO

MINIMO BASSO MEDIO ALTO

RISCHIO IDRAULICO

RISCHIO TEMPORALI

RISCHIO IDROGEOLOGICO

RISCHIO NEVE

RISCHIO GHIACCIO

Mar 16 Ott
Firenze

Nuvoloso
19°C / 24°C
Powered by LAMMA

Mer 17 Ott
16°C / 24°C
Nuvoloso

Gio 18 Ott
15°C / 26°C
Nuvoloso

Ven 19 Ott
Temp N/A
Sereni

Sab 20 Ott
Temp N/A
Sereni

TPL

N **14 57 21**

3' 2' 8' 0' 5' 2'

COLONNINE RICARICA 9m

180 INSTALLATE

81.1 % ATTIVE

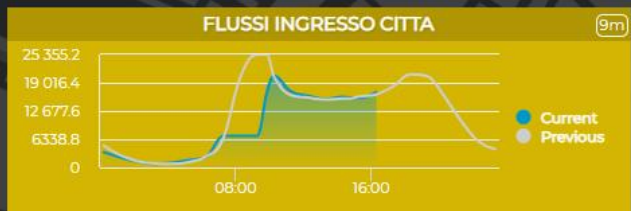
8.9 % IN USO

REPLICATE

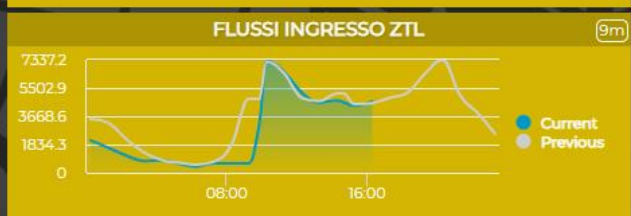
FLORENCE DASHBOARD

This dashboard is the main entry point to access dashboards realised in the REPLICATE H2020 EC project.

REPLICATE has received funding from the European Union's Horizon 2020 Research and Innovation Programme under grant agreement No. 691735.



TOTALE 9m
141608
VEICOLI



TOTALE ZTL 9m
41146
VEICOLI

SITUAZIONE VIABILITA 54s

4 INCIDENTI

0 CHIUSURE AL TRAFFICO (TOT)

0 CHIUSURE PER CANTIERI

0 PROGR. 0 NON PROG.

0 LIMITAZIONI AL TRAFFICO (TOT)

0 LIMITAZIONI PER CANTIERI

0 NON PROG. 0 PROGR.

4 TOT. EVENTI SULLA RETE

SMN 9m 63.4 % occupati su 901 posti	BINARIO16 9m 83 % occupati su 165 posti	FORTEZZA 9m 17.9 % occupati su 521 posti
LEOPOLDA 9m 36.3 % occupati su 300 posti	CALZA 9m 69.3 % occupati su 218	S.AMBROGIO 9m 67 % occupati su 379 posti
PARTERRE 9m 64.9 % occupati su 106 posti	CAREGGI 9m 90.4 % occupati su 406 posti	BECCARIA 9m 78.6 % occupati su 210 posti

STATO TRIAGE CAREGGI 9m

Red code Yellow code Green code Blue code White code

3 12 83 37 9

PM10
26
superamenti/anno

Riciclo rifiuto
56%

Rifiuto per abitante
0,629
t/pers/anno

PIL residenti
23.606
euro/pers

Tasso di disoccupazione
6,8%

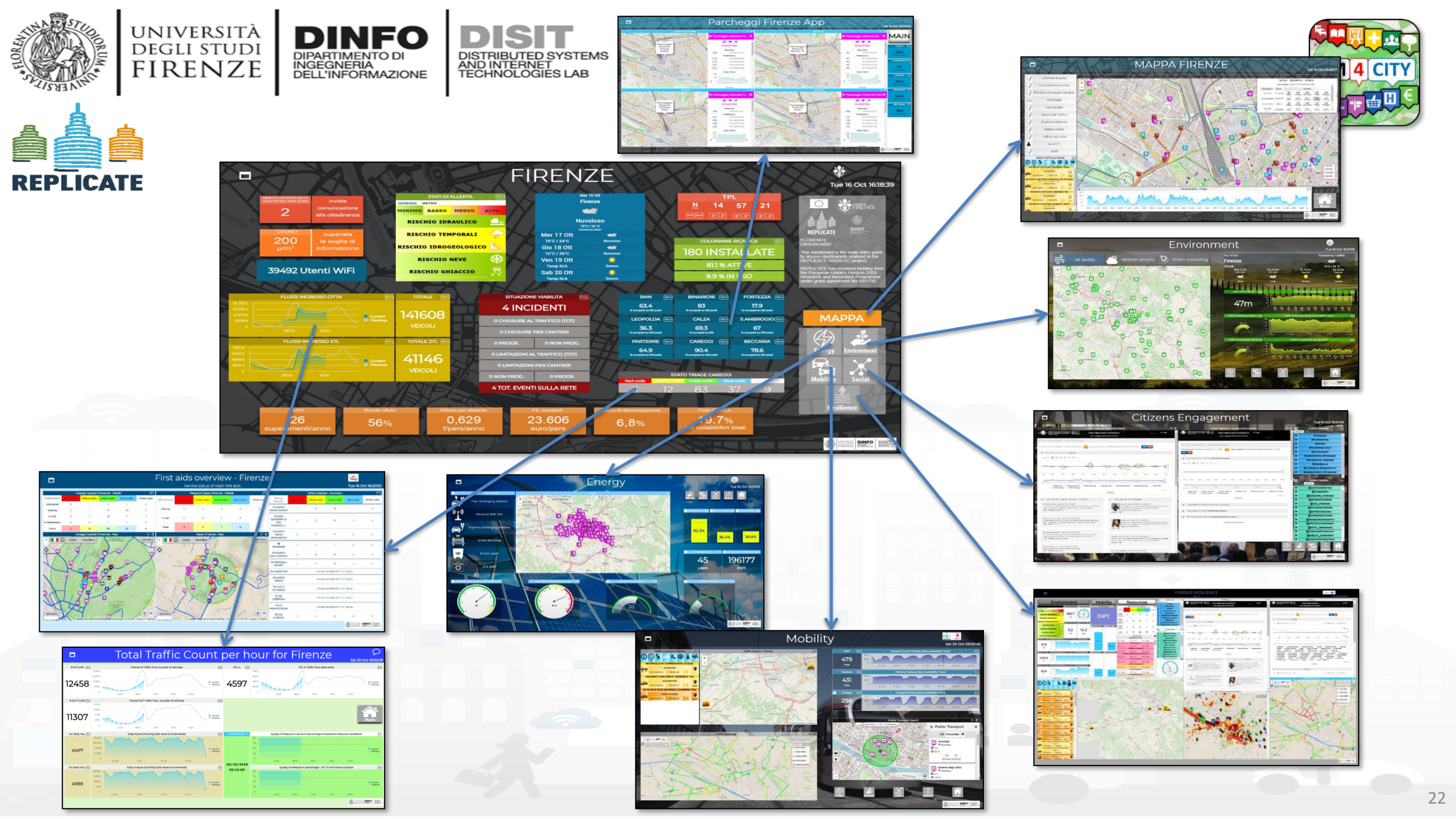
Piste Ciclabili
19.7%
km ciclabili/km totali

MAPPA

Energy Environment

Mobility Social

Resilience



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FIRENZE

Tue 16 Oct 16:18:39

2 incidenti comunicati alla cittadinanza

200 μm^3 superata la soglia di informazione

39492 Utenti WiFi

STATI DI ALLERTA

MINIMO BASSO MEDIO ALTO

RISCHIO IDRAULICO

RISCHIO IDROGEOLOGICO

RISCHIO NEVE

RISCHIO GHIACCIO

Mar 16 Ott
Firenze

Nuvoloso

Mer 17 Ott 16°C / 24°C
Gio 18 Ott 18°C / 24°C
Ven 19 Ott Temp N/A
Sab 20 Ott Temp N/A

TPL

N 14 57 21

COLONNINE RICARICA

180 INSTALLATE

81.1% ATTIVE
8.9% IN USO

SITUAZIONE VIABILITÀ

4 INCIDENTI

0 CHIUSURE AL TRAFFICO (TOT)

0 CHIUSURE PER CANTIERI

0 PROG. / 0 NON PROG.

0 LIMITAZIONI AL TRAFFICO (TOT)

0 LIMITAZIONI PER CANTIERI

0 PROG. / 0 NON PROG.

4 TOT. EVENTI SULLA RETE

MAPPA

Energy Environment
Mobility Social
Resilience

FLUSSI INGRESSO CITTÀ

TOTALE **141608** VEICOLI

FLUSSI INGRESSO ZTL

TOTALE ZTL **41146** VEICOLI

SMN 63.4

LEOPOLDA 36.3

PARTERRE 64.9

BINARIO6 83

GALZA 69.3

CAREGGI 90.4

FORTEZZA 17.9

SAMBERGIO 67

BECCARIA 78.6

STATO TRAFFICO CAREGGI

12 83 37 9

26 superamenti/anno

56% Emissioni ridotte

0,629 t/pers/anno

23,606 euro/pers

6,8% tasso di disoccupazione

19,7% tasso di ciclabilità totali

Parcheggi Firenze App

MAPPA FIRENZE



Environment

Air quality, Weather sensors, Pollen monitoring

Citizens Engagement

First aids overview - Firenze

Service status of main first aids

Energy

Renewable energy, Smart meters, ZTL gate

Mobility

Public Transport, Smart meters, ZTL gate

Total Traffic Count per hour for Firenze

FIRENZE RESILIENCE

Ciao roottooladmin!

Sat 12 Nov 19:16:39

3D MAP GLOBAL DIGITAL TWIN -NEWGUI



3D MAP

MAPS ▾

⚙️

📍

📏

🔄

🔍

16

📍

📍



Free street

Fluid traffic

Heavy traffic

Very heavy

TRAFFIC MANAGER FIPILI ADDED

TO MAP

✓

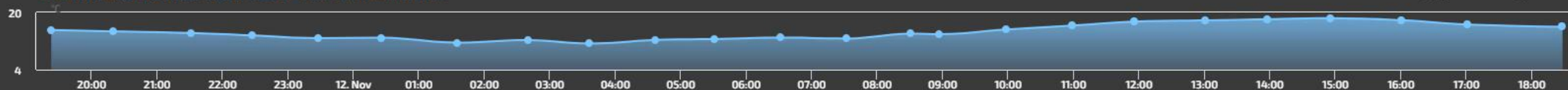
FirenzeFIPILITrafficRealtime

Traffic Heatmap Controls: 24H

Max Opacity: < 1 >

< Prev 2022-11-12 18:56:00

WEATHER_SENSOR_OW_3176959 - AIR TEMPERATURE





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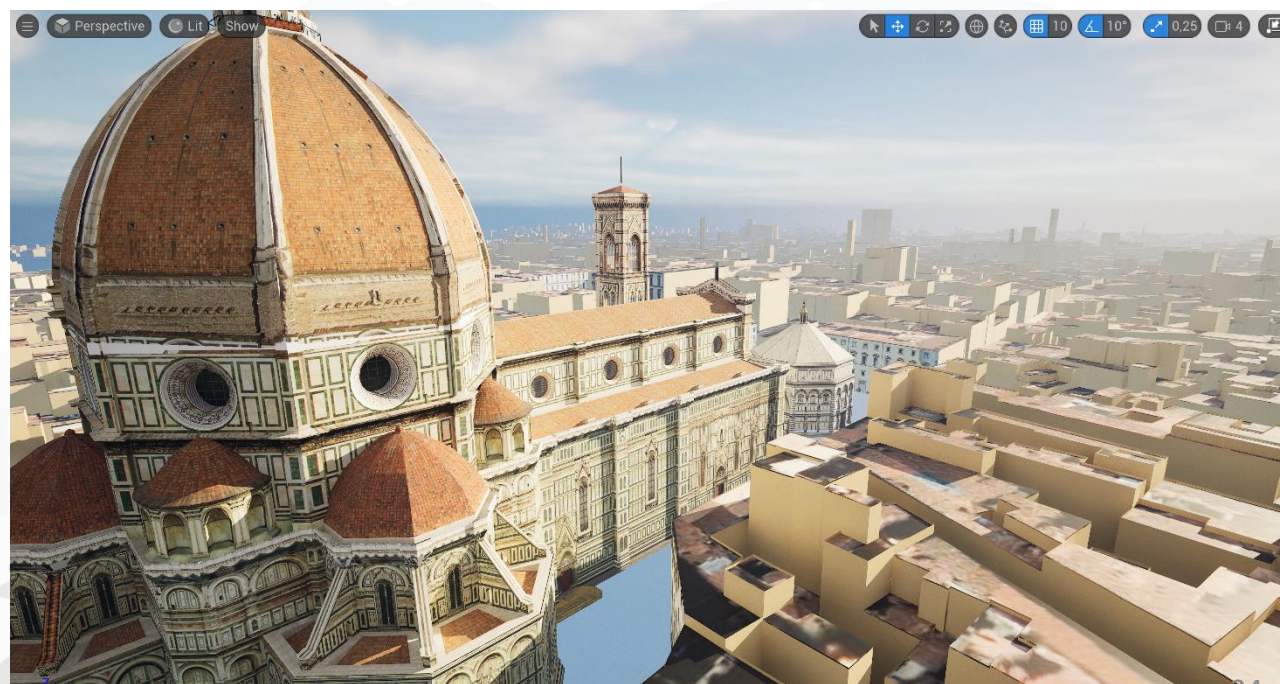
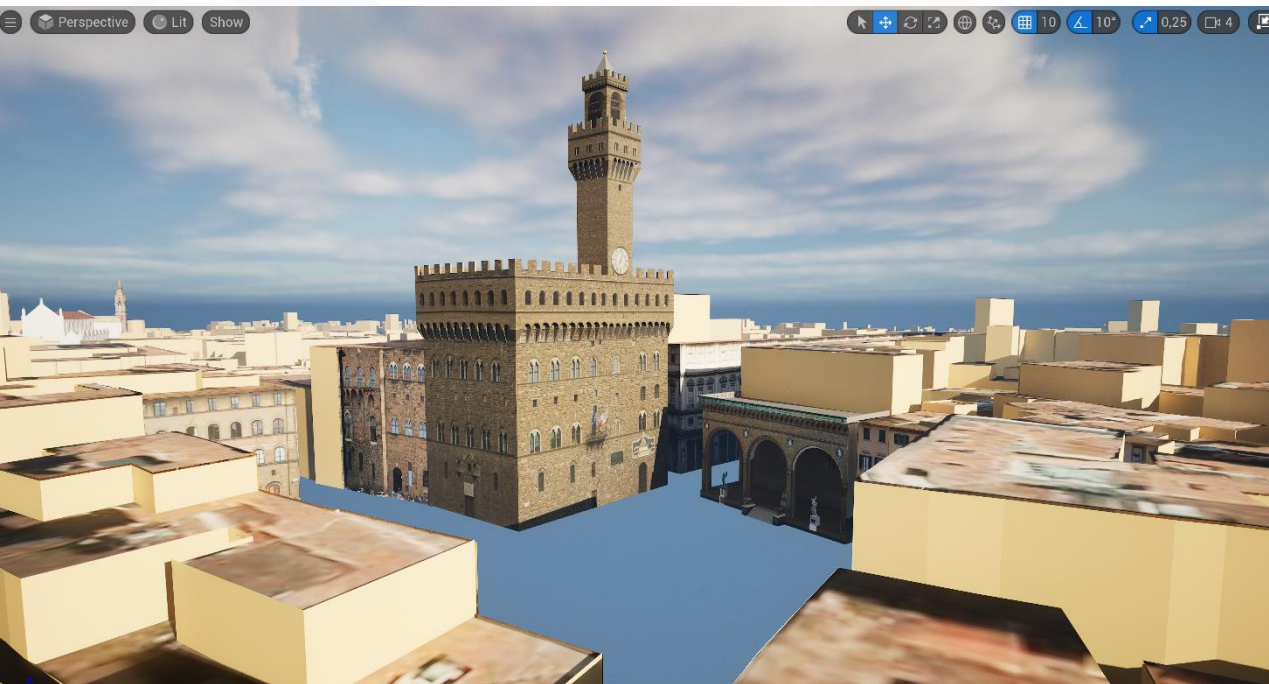
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 **SNAP4CITY**



OCULUS



Different Themes



Firenze - Trafair - AirQuality Heatmaps

This dashboard contains data derived from actual sensors and predictive values under validation

Sun 25 Oct 18:52:00

Legacy

- Air Quality Sensors
- Weather Sensors
- PM10 Heatmap
- PM2.5 Heatmap
- CO Heatmap
- CO2 Heatmap
- O3 Heatmap
- NO2 Heatmap
- Temp. AQI Heatmap
- Accident Heatmap
- Accident Heatmap 2
- Only HRES Anym. Graf
- Schools
- Recreation areas

IBIMET_SMART09 - air/temperature

18.6 °C

Trafair Main Dashboard

FIRENZE - TRAFAIR - AIRQUALITY HEATMAPS - NEWGUI

This dashboard contains data derived from actual sensors and predictive values under validation

Tue 3 May 20:42:51

BaloonDark

- Air Quality Sensors
- PM10 bars
- Weather Sensors
- PM10 Heatmap
- PM2.5 Heatmap
- CO Heatmap
- CO2 Heatmap
- O3 Heatmap

IBIMET... 9m

20.6 °C

Trafair Main Dashboard

3D MULTI DATA MAP - DIGITAL TWIN GLOBAL - FIRENZE - NEWGUI

Tue 3 May 14:31:42

Baloon

- Weather_sensor
- AirTemperatureAverage
- 2HourFlorence
- PM2.5 Heatmap
- GRAL Heatmap
- GRAL HRES
- Accident Heatmap
- Traffic Flow
- TFM FIRENZE Real Time
- TFM FIPILI Real Time
- TFM Pisa Real Time
- TFM Livorno Real Time
- TFM Modena Real Time
- TFM Santiago Real Time

METRO9_9m

240

METRO9 - VEHICLEFLOW

Traffic Flow Manager On Multiple Cities - Newgui

Wed 6 Jun 23:22:04

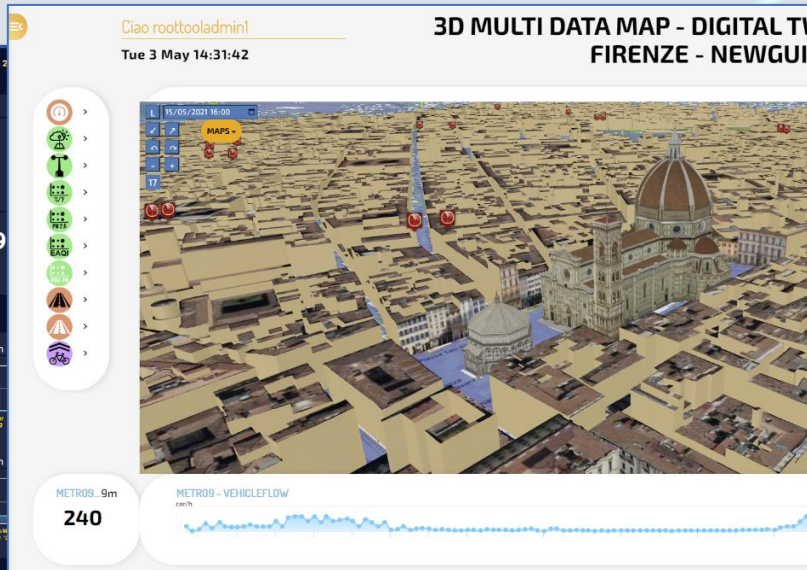
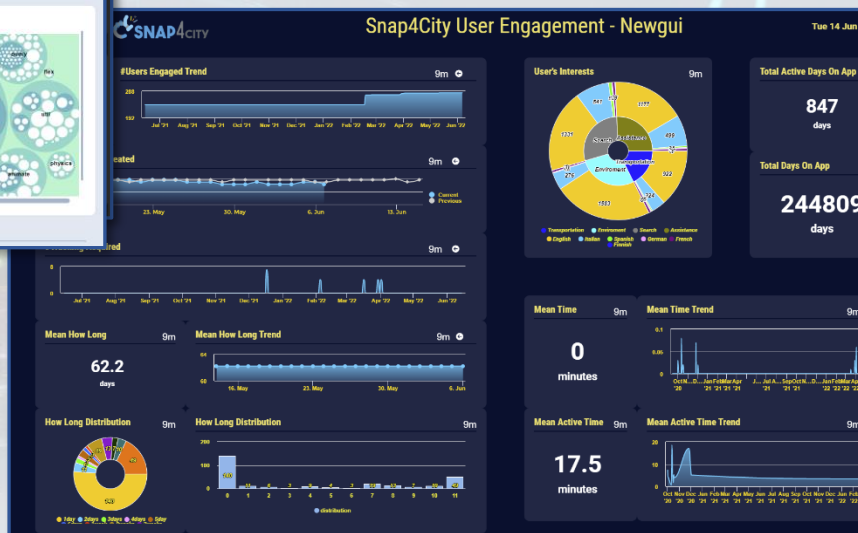
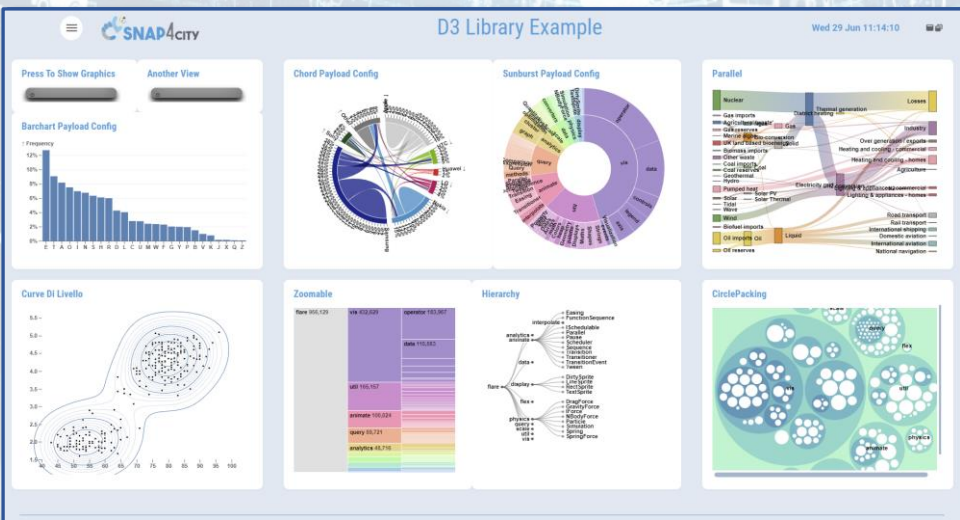
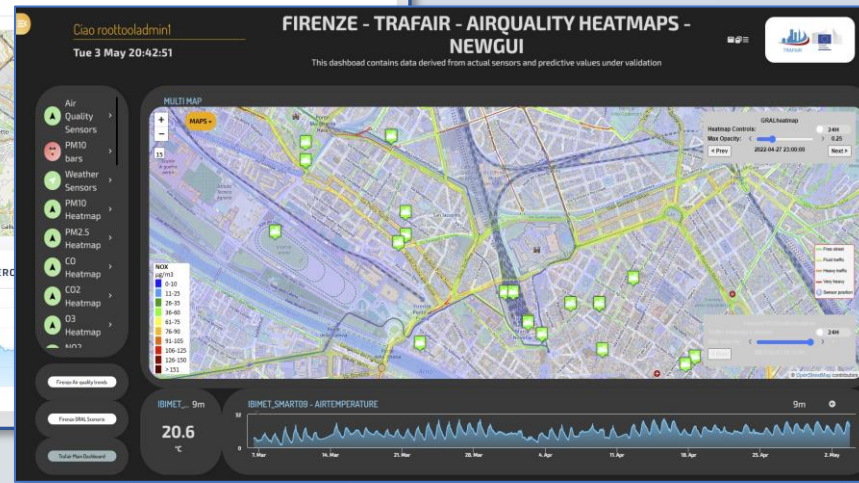
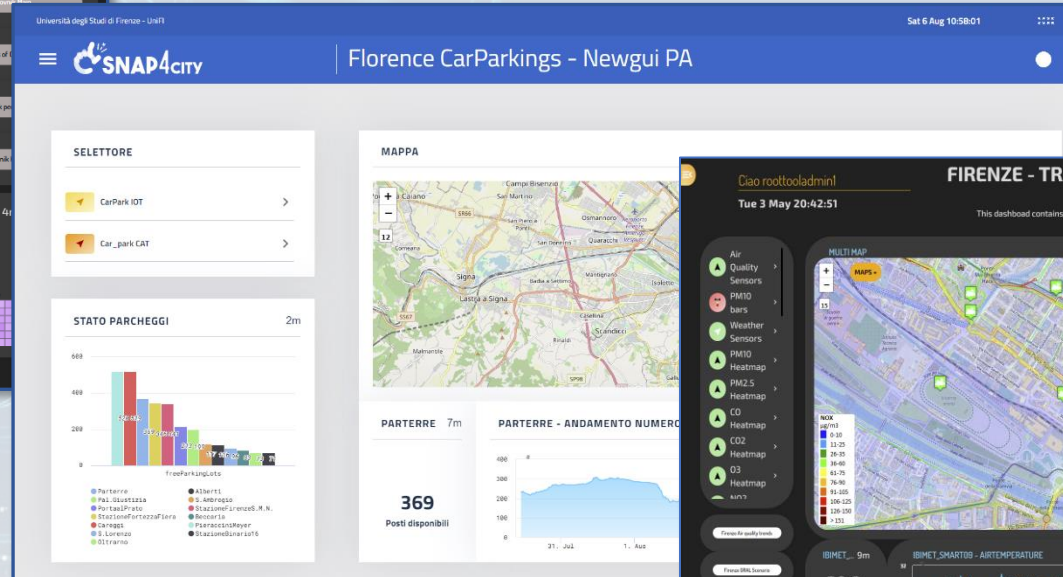
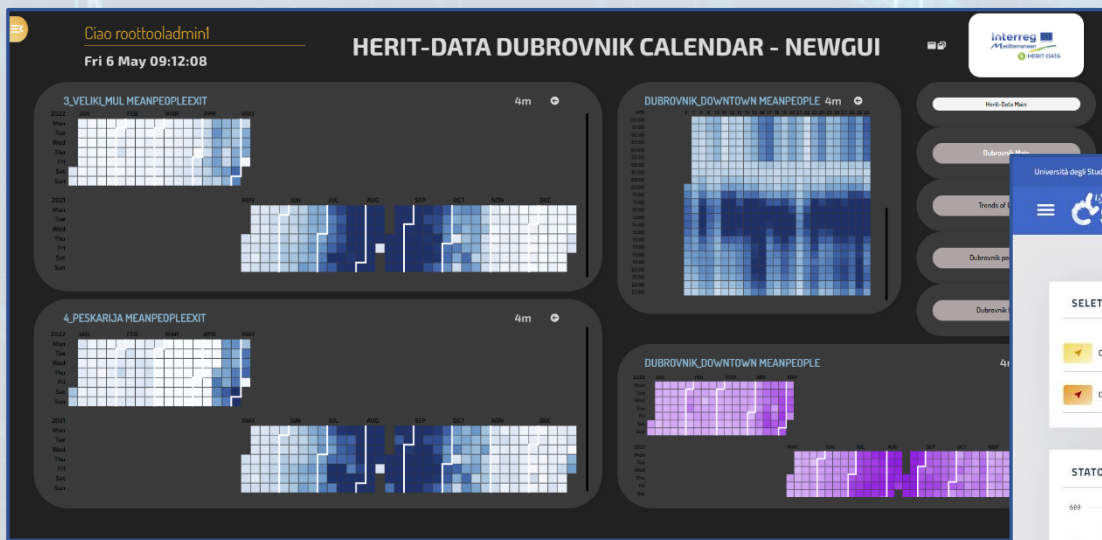
Gea

- Traffic Sensors
- Weather_sensor
- AirTemperatureAverage
- 2HourFlorence
- PM2.5 Heatmap
- GRAL Heatmap
- GRAL HRES
- Accident Heatmap
- Traffic Flow
- TFM FIRENZE Real Time
- TFM FIPILI Real Time
- TFM Pisa Real Time
- TFM Livorno Real Time
- TFM Modena Real Time
- TFM Santiago Real Time

METRO58 - AverageSpeed

9m

Different Themes



New styles/themes can be developed by specializing a few files from open source

<https://www.snap4city.org/793>

Snap4Altair Decision Support supervision and control, Industry 4.0



reference

• Multiple Domain Data

- Distributed Control System: energy, flows, storage, chemical data, settings, ..
- Cost of energy
- Orders
- Production Parameters
- Maintenance data

• Multiple Levels & Decision Makers

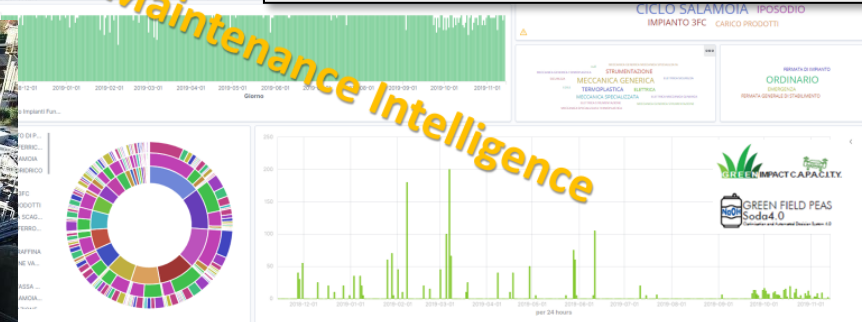
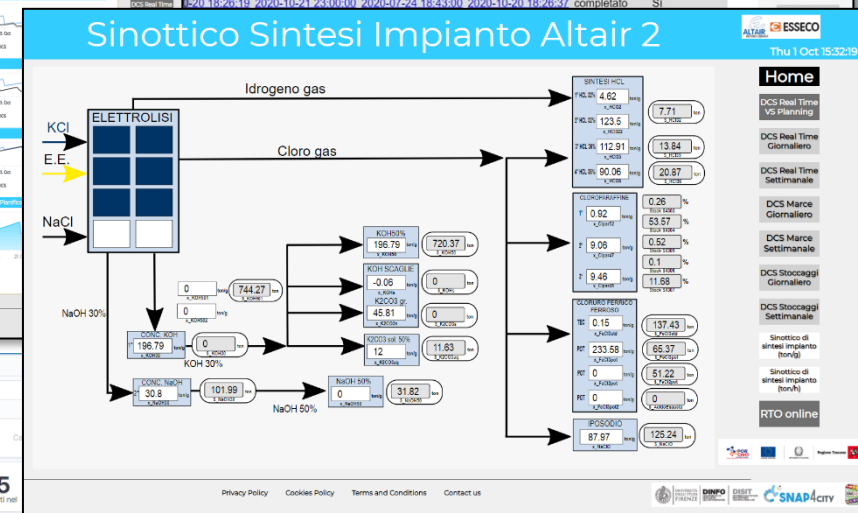
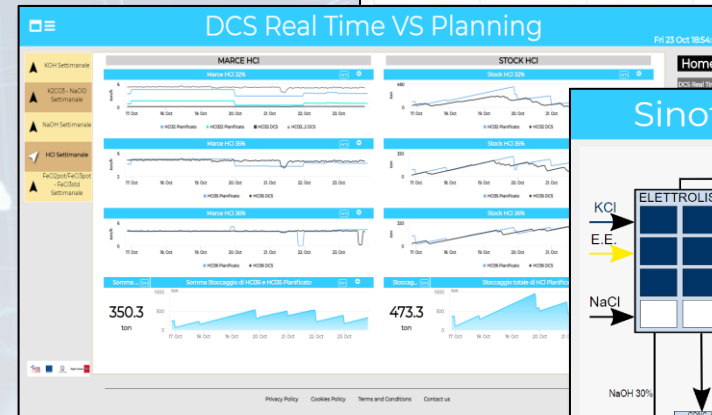
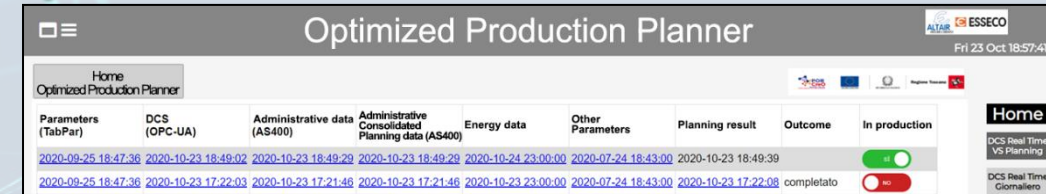
• Historical and Real Time data

- Billions of Data
- Optimized planning on chemical model
- Business Intelligence on Maintenance data

• Services Exploited on:

- Multiple Levels, Mobile Apps, API

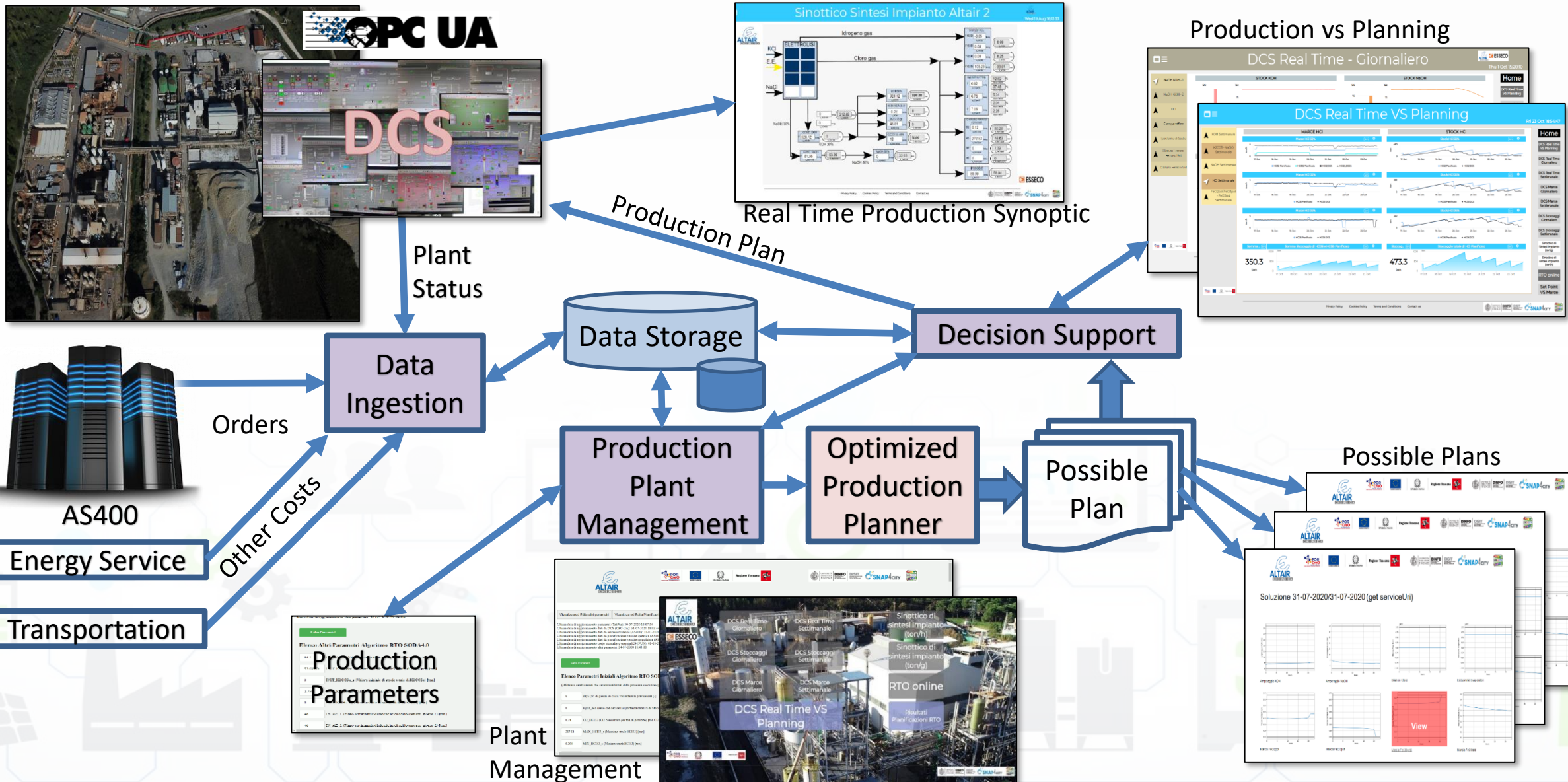
• Since 2020



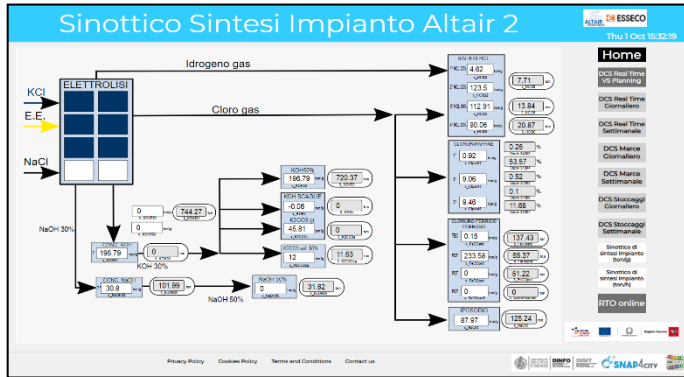


Green Impact Capacity (GIC) Altair Control room

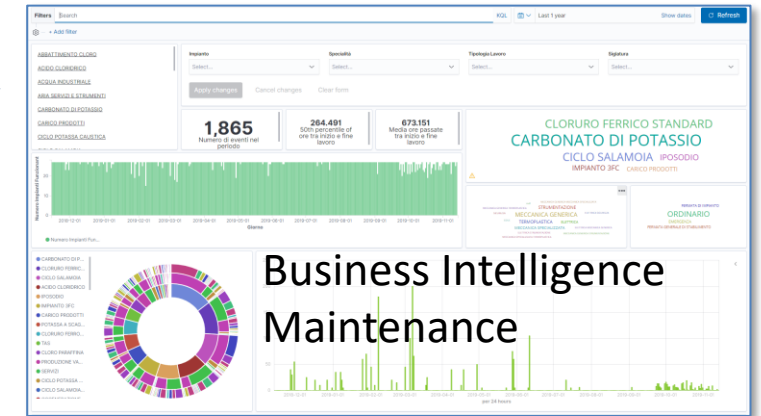




Workflow for Ticket management



Consumptions/productions

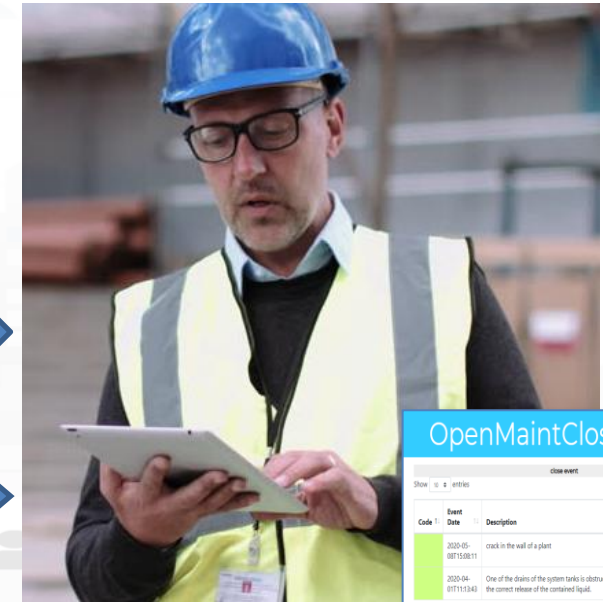
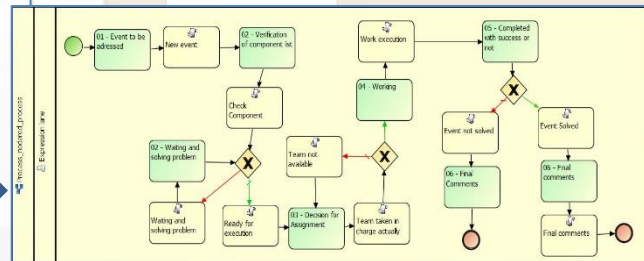


Events/actions

Business Intelligence
Maintenance

Dashboards and actions

OpenMaint: BPM Workflow
management, team assignment,
material control, ...



IOT App, Data
event firing,
event detection
and firing
Critical event
management

A view and data from the Thermal Camera



Detection BOX Snap4Thermal PV Firenze Tue 15 Mar 13:30:41



47
persone
carrozzina
bike

11 SUSTAINABLE CITIES AND COMMUNITIES



Available Data Analytics

- **Mobility and Transport**
- **City Users and Social**
- **Environment and Weather**
- **Time Series**
- **Semantic Reasoning**
- **Matrices, Images, Maps and 3D Digital Models**
- **Management and strategies**
- **Resilience and Risks Analysis**

<https://www.snap4city.org/download/video/course/da/>



Part 2 on Data Security and Privacy by Paolo Nesi
***Data Protection, Privacy and GDPR,
IoT Security and Blockchain***

University of Florence, DISIT lab, <https://www.disit.org>

<https://www.snap4city.org>

Paolo.nesi@unifi.it





Struttura di questo modulo

- Data protection
- GDPR
- IOT Network Security
- BlockChain



Course: Security and Privacy

Part on Privacy by Paolo Nesi

*Topic: **Data Protection***


University of Florence, DISIT lab, <https://www.disit.org>

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Paolo.nesi@unifi.it





- Distribution models 
- Terminologies
- Business Models & Value Chain
- Copy protection
- Conditional Access Systems
- Digital Rights Management
- Content Modeling and Packaging
- Licensing and content distribution
- Creative Commons Licensing
- Composition of Licenses, data aggregation



Content Services Aspects

- **Scalability:**
 - From a few to millions of transactions per hours
 - From a few to millions of subscribed users
 - From a few to millions of different content items
- **Availability and**
 - High reliability of the service, no or few interruptions → HA
- **Accessibility:**
 - Accessibility of the service, broadcast, mobile coverage
 - User accessibility aspects
- ***Other aspects discussed in the course***
 - *Privacy of the customers*
 - *Intellectually property management and protection, IPMP*
 - *Multichannel distribution*
 - *Interoperability of content on devices*



- **Architectures**

- A. Content/good *distribution***

- B. Content/good *production and management***

- C. Content/good *Protection and Security***

- D. Content/good *Modeling and Processing***



A) Content distribution models

- **Download, P2P download, ...:**
 - 1:N: one sender N receivers/users
 - N copies, propagation of seeding/sources sites
 - Network costs from $O(N) \rightarrow O(1)$
 - \rightarrow ecommerce, any web site
- **Broadcast Streaming (e.g., MPEG2-TS):**
 - 1:N: one sender N receivers/users
 - N users that play the same content at the same time
 - Network costs $O(1)$
 - \rightarrow DVB-T, DVB-S, DVB-H, DVB-SH
- **VOD, progressive download, P2P streaming/progressive:**
 - 1:1 stream processes, one sender process for each receiver/user, that play the same content a different time
 - Network costs $O(N) \rightarrow$ may be going to $O(1)$ if
 - \rightarrow Netflix, Disney+, Sky on demand, ...



B) Content production and management

- **Content Processing:**
 - adaptation,
 - production,
 - formatting,
 - packing,
 - protection etc.

- **Scalability GRID for content processing:**
 - User generated content management
 - Indexing for search
 - production on demand
 - massive production
 - transcoding



C) Content Protection and Security, aspects

- CP: Copy Protection
- CAS: Conditional Access Systems
- DRM: Digital Rights Management
- Based on technologies such as
 - **Certification** of: content, users, devices, etc.
 - **Authentication** of: users, actors, devices, etc.
 - **Authorization** of: users, groups, communities, ...
 - **Rights coding**: authorized functionalities, actions, etc.
 - **Signature** of: content, DLL, EXE, ..
 - **Identification** of: content, users, devices, etc.
 - **Watermark and fingerprint** of: content, descriptors,any....
 - **Coding** and Encryption of:everything.....



D) Content Modeling and Processing

- **The content model impacts on:**
 - **Format: XML, JSON, binary**
 - **Content gathering and ingestion**
 - **Production and production-process definition**
 - Workflow Management systems
 - Cooperative work
 - **CMS, DMS, Content/Media Management Systems**
 - Database management systems
 - query support, distributed queries, etc.
 - **CRM: Customer Relationship Management**
 - Managing relationships with clients: business models, marketing
 - **Content description for**
 - Search, classification/indexing, retrieval
 - **Content protection for enforcing respect of**
 - IPR: CAS, DRM,
 - **Metadata: programme/guide production**
 - EPG, GuidePlus, ShowView, TVAnytime, etc.



Acronyms and Definitions

- IPR: Intellectually Property Right
- CA: Certification Authority, chain of certificates
- TPM: Technological Protection Model
- FTA: Fault Tolerance Architectures
- HA: high availability, 99.999% uptime
- VOD: video on demand
- PPP: pay per play
- PPV: pay per view
- VOIP: voice over IP
- TS: Transport Stream
- GDPR: General Data Protection Regulation
- EPG: electronic program guide
- Etc.

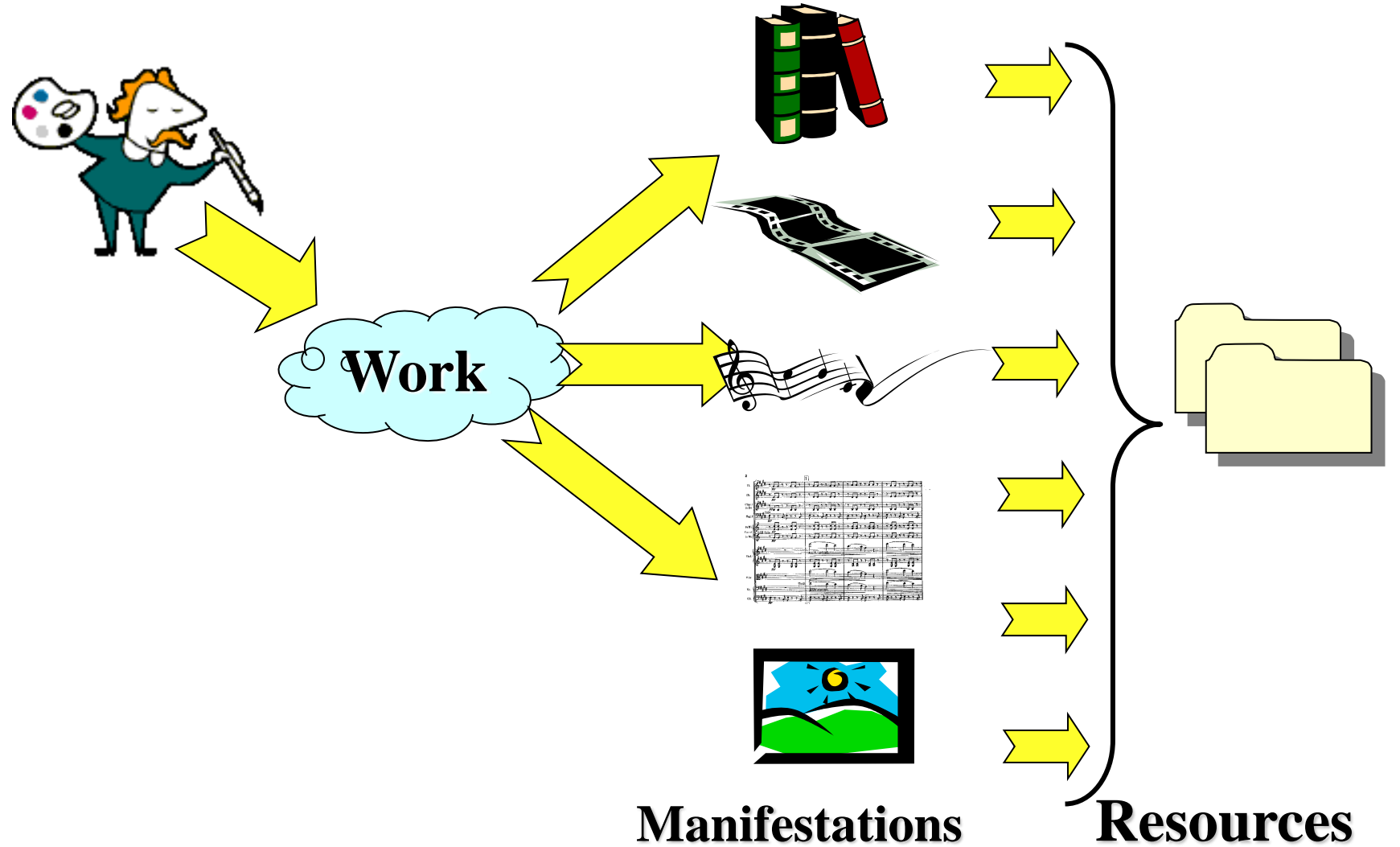


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Terminology

- The user/artist creates a Work
- The work may be used to produce several manifestations
- The work starts as private data, and may be distributed for business






Some Actors of the value chain, “definitions”

- **Right/Content Owners**, artists, normal users, etc.
 - who has the rights on the initial work, non digital
- **Content Producers**, Publishers, also social network are producer/distributors
 - Who is producing the manifestations of the work, define its rights, may produce the digital resources or not, etc.
- **Content Integrators**, aggregators
 - Who is Integration/aggregation: resources + metadata ++ , added value, etc., may be add other rights, etc.
- **Content Distributors**,
 - Who is distributing digital content
- **Final Users**,
 - Who is using (or should use) the digital content on behalf of the rights obtained
- **Users**, in general
 - All the above actors that use in some way content on the basis of the rights obtained

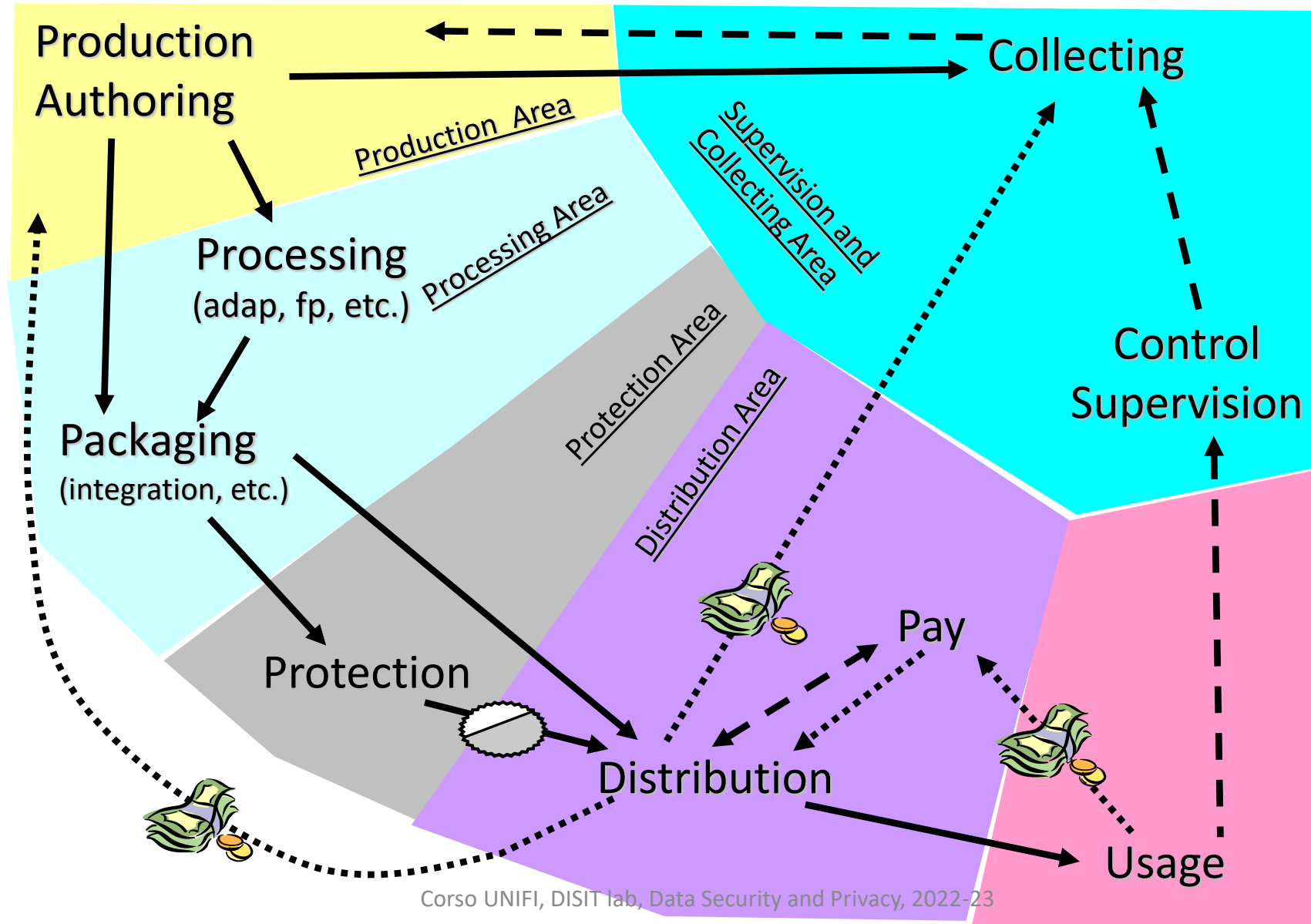


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Simplified Traditional value chain

www.disit.org

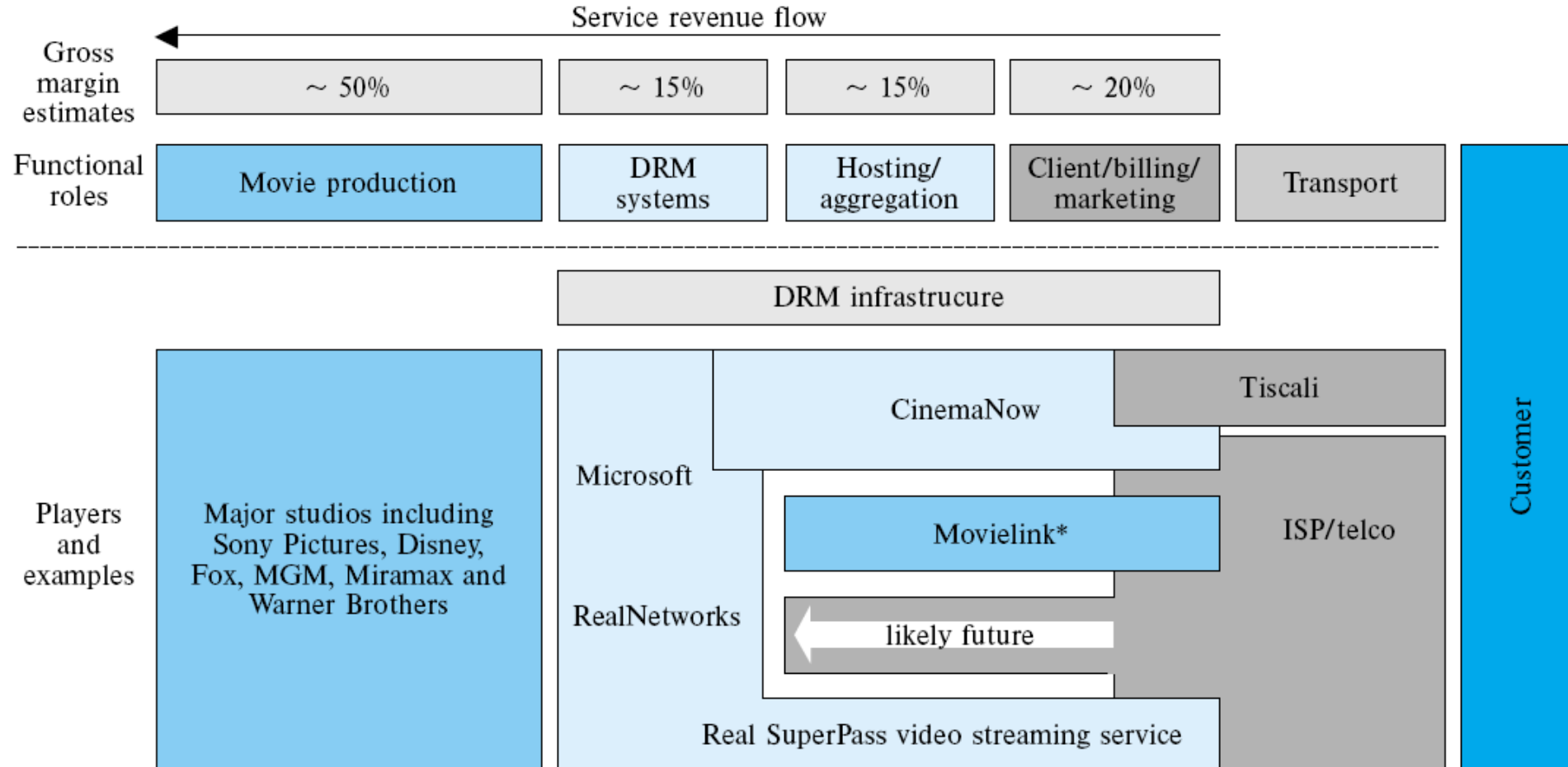




- **B2B: Business to Business**
 - Among digital good: producer, publishers, integrator, resellers, distributors, etc.
 - Each of them add a value and thus charge to final price of the digital good, ...
- **B2C: Business to Consumer**
 - From distributors to consumers
 - The final part of the value chain
- **C2C: Consumer to Consumer**
 - File and good sharing
 - UGC (User Generated Content) sharing
 - Recently IPR management
- **B2B2C**
 - Integrated B2B to B2C



Ex: Broadband VOD value chain



* US only at time of writing

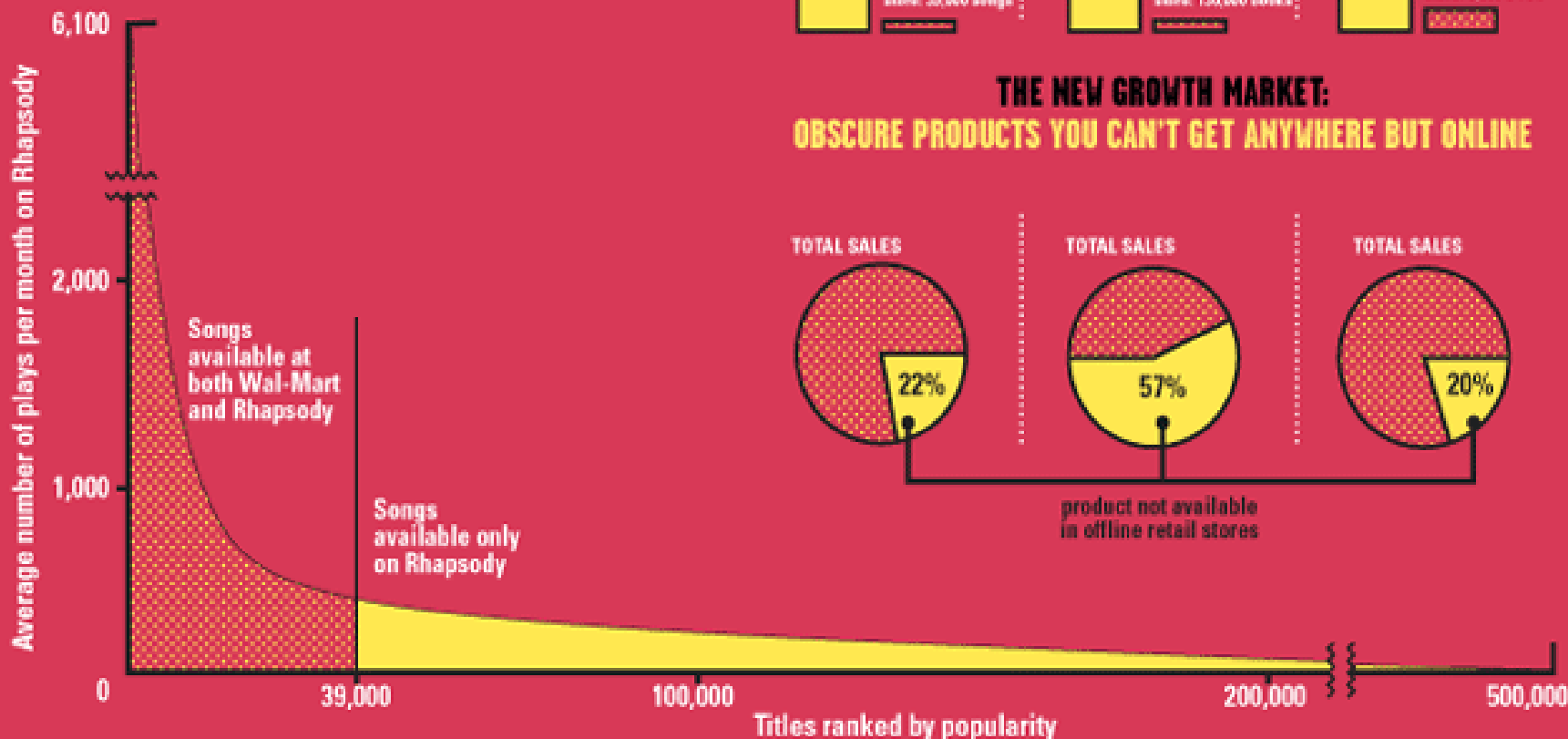
Source EITO2005

The Long Tail, paradigm



ANATOMY OF THE LONG TAIL

Online services carry far more inventory than traditional retailers. Rhapsody, for example, offers 19 times as many songs as Wal-Mart's stock of 39,000 tunes. The appetite for Rhapsody's more obscure tunes (charted below in yellow) makes up the so-called Long Tail. Meanwhile, even as consumers flock to mainstream books, music, and films (right), there is real demand for niche fare found only online.



Corso UNIFI, DISIT lab, Data Security and Privacy, 2022-23



- **Initial hypothesis**


- 80% of transactions are developed with the 20% of products
- 20% of transactions are developed with the rest, 80%

- **The 80/20 ratio depends on:**

- Content marketing model
- Content nature and topic
- Stimulus and recommendations provided to the users
- User skill and evolution/renovation
- Etc.

- Due to these facts, in most cases, the ration 80/20 is not anymore true, its trend in many case is towards the 60/40



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Copy Protection solution

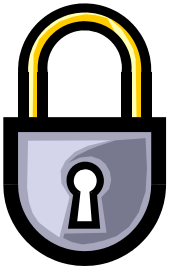
- **Digital items can be freely copied**
 - The copy is a feature of the operating system, file system
 - The operating system cannot be typically controlled
 - *Technology Providers are trying to enforce more control on the Operating System → STB*
- **CP (copy protection) solutions:** prevents the Copy of digital content
 - Old Technologies:
 - Programs + hardware key
 - Holes in Floppy Disk
 - Special formatting in CDs/DVDs
 - Etc.



Analog Hole

- **Comunque uno protegga** un certo contenuto audio video quando questo viene riprodotto nel ***dominio analogico dei sensi***:
 - la riproduzione finisce per produrre effetti nel mondo analogico:
 - Il suono percepito dal sistema uditivo umano
 - Le immagini percepite dalla vista
- **Questo implica che:**
 - tramite sistemi di registrazioni del: Suono o delle immagini come registratori si puo' sempre effettuare una copia privata di tale materiale ***registrando nel dominio analogico***
 - la copia da analogico puo' avere una qualita' inferiore dell'originale dipendentemente dall'originale stesso
- **Dal punto di vista legale:**
 - Puo' essere una violazione dipendentemente da chi fa questa operazione, se non ha il diritto di Copy etc.
 - Chi ha comprato un certo materiale ha il diritto di copia privata

- Encryption è il processo che codifica un messaggio in modo da nascondere il contenuto
- Si basa sull'uso di parametri segreti: *chiavi*
- Si dividono in due classi fondamentali
 - Chiavi segrete condivise (*secret-key*)
 - Coppie di chiavi pubblica/privata (*public-key*)
- Applicazioni
 - Autenticazione
 - Firma digitale



- Un messaggio si dice criptato quando il mittente applica alcune regole per trasformare il testo originale (*plaintext*) in un altro testo (*ciphertext*)

$$E(K_1, M) = \{M\}_K$$

- Il ricevente deve conoscere la trasformazione inversa per ritrasformare il *ciphertext* nel messaggio originale

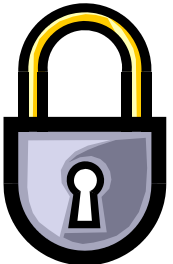
$$D(K_2, \{M\}_K) = M$$

$$K_1 = K_2$$

simmetrico

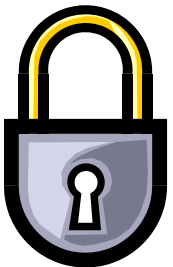
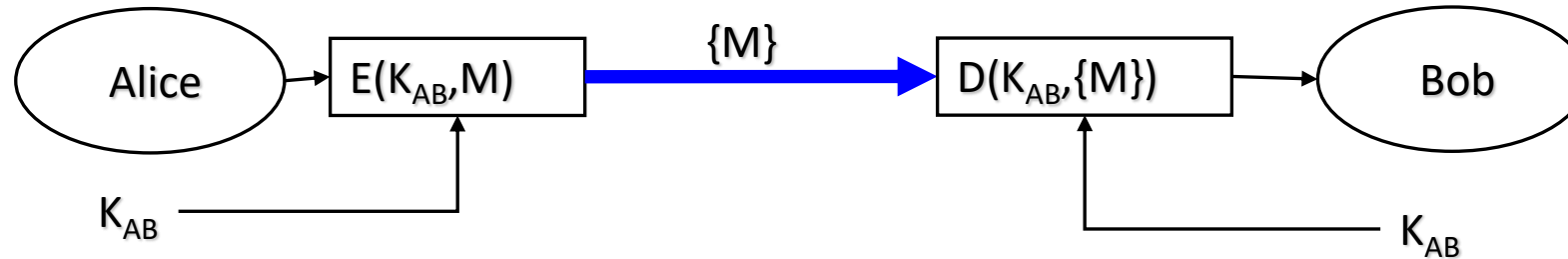
$$K_1 \neq K_2$$

asimmetrico



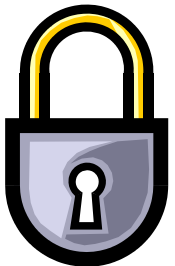
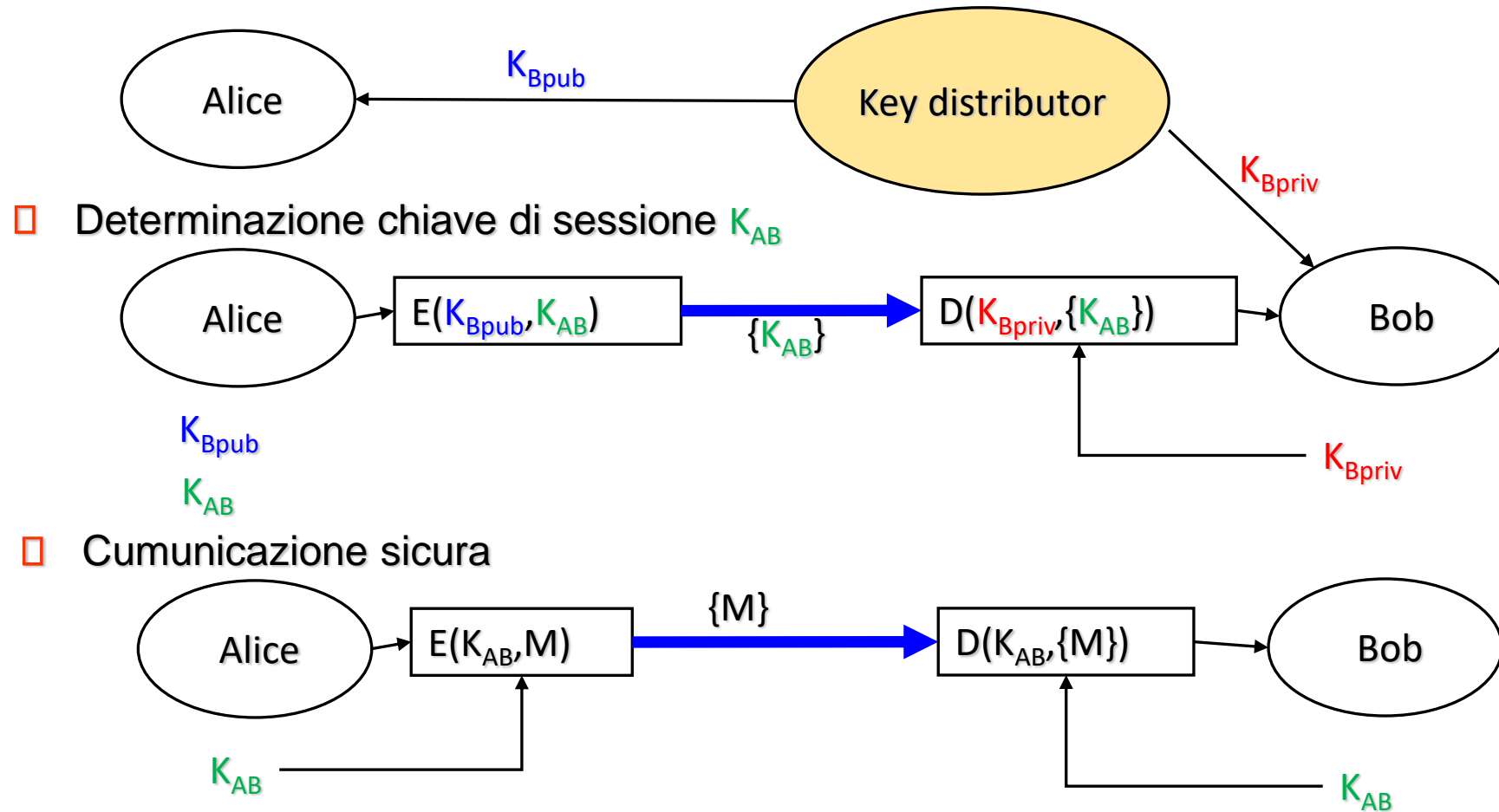
Scenario 1: secret communication

- Alice vuole inviare alcune informazioni segretamente a Bob
 - $\{M\} = E(K_{AB}, M)$
- Alice e Bob conoscono entrambi la chiave segreta K_{AB}
- La comunicazione è segreta finché K_{AB} non è compromessa




Scenario 3: authenticated with public-key

- Richiesta chiave pubblica





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- **Systems that controls the access to the content**
 - Typically used on streaming towards STB/Decoders
 - Copy is assumed not possible since the content is not stored locally and neither accessible to the final user.
- **For PC:**
 - Partially suitable for open platforms such as PC
 - On PC: SSL, HTTPS, etc.
 - Temporary storage of smaller content on the disk, may be encrypted
- **For STB, Set Top Box (commonly named as decoder):**
 - The most interesting and diffuse solution

- **Systems that controls the access to the content for STB**

- Streaming towards STB/Decoders

- DVB-T, DVB-S, DVB standards for CAS

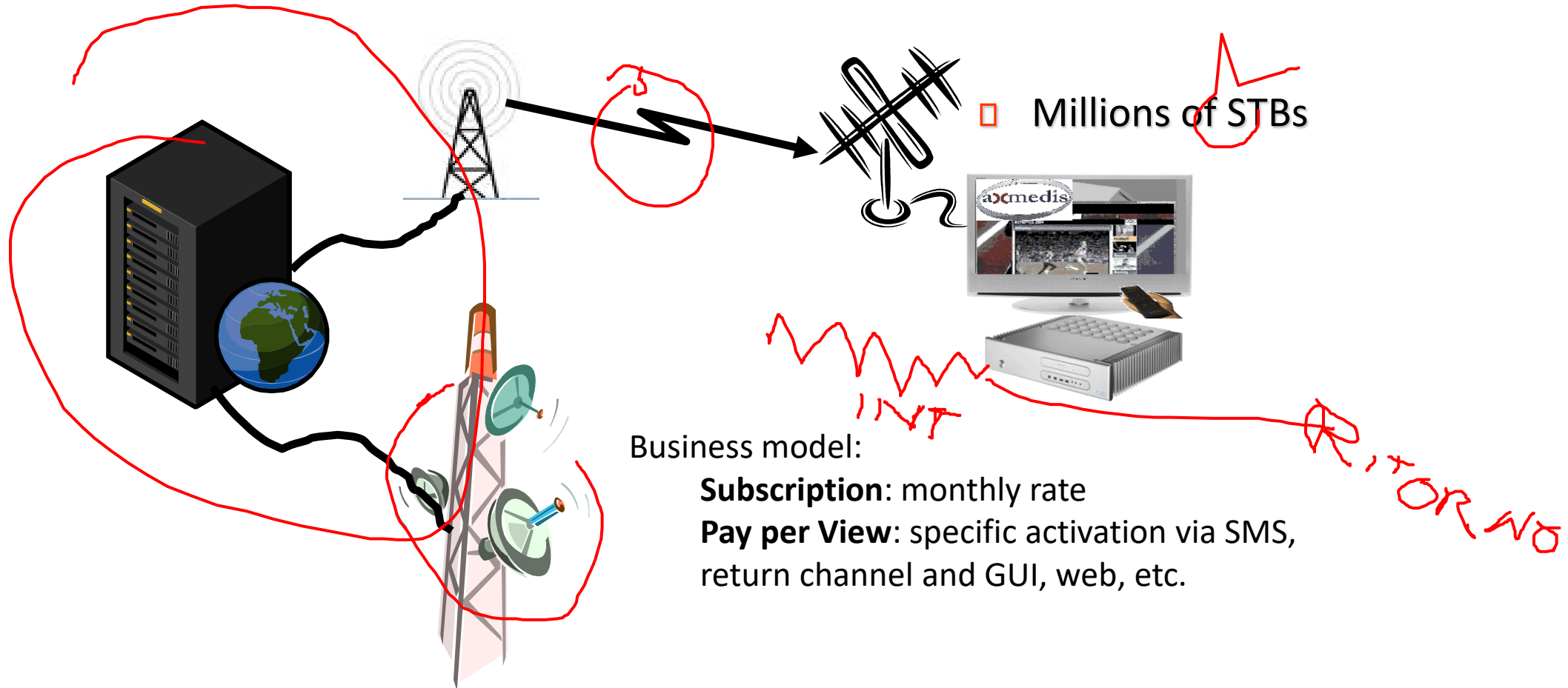
- Copy is assumed not possible since the content is not locally stored and accessible to the final user. Recently can be temporary stored, see MySky.

- **Protection and solution**

- ~~Streaming in broadcast~~
- Adoption of MPEG-2 TS (other models such as RTSP)
- *For example:* Irdeto, Nagravision, NDS
- Key distributed into the stream, accessible with another key
- Adoption of SmartCard for some business models
- Adoption of the Return Channel for some business models

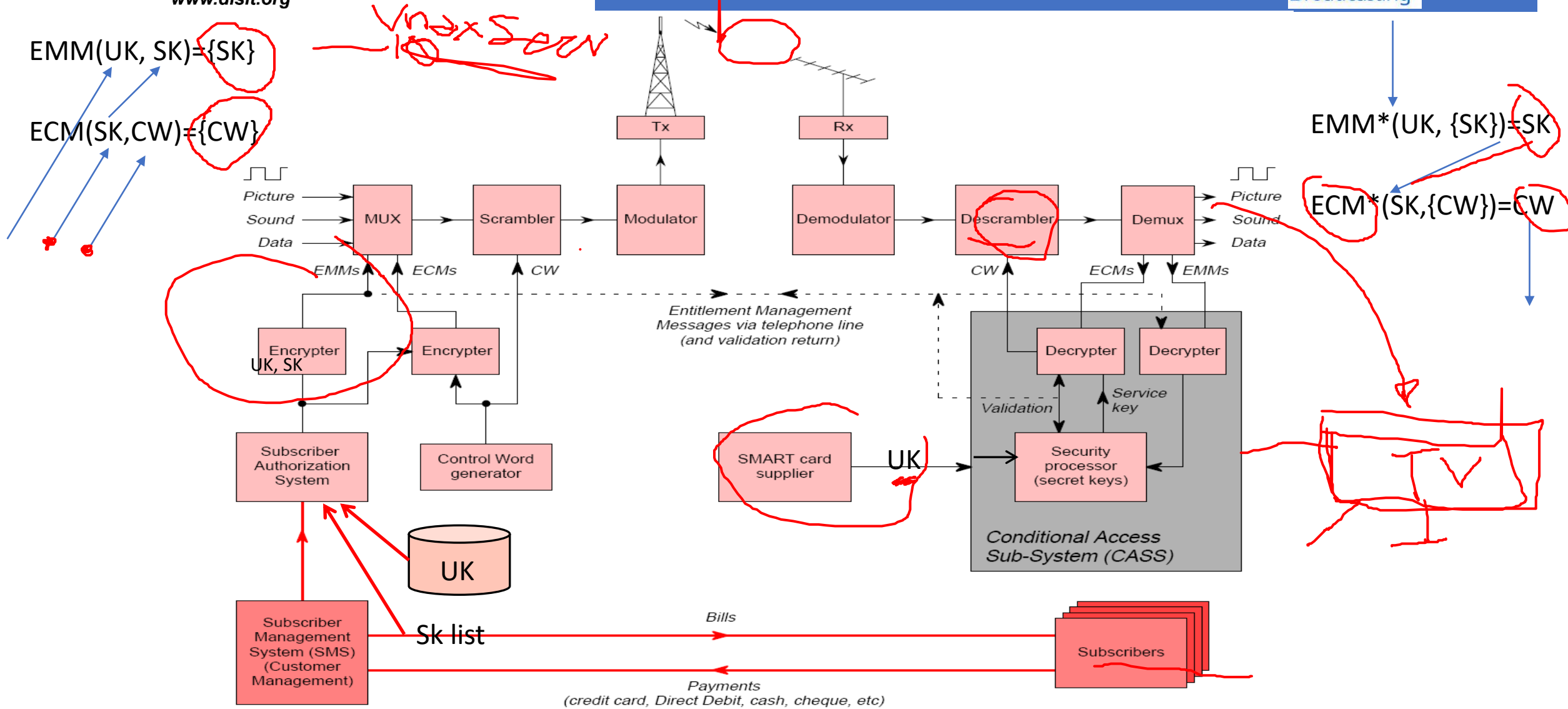


- DVB Server



- Cifrare il TS da lato Server per poi abilitare lato client solo quelli che stipulano un contratto,
 - E pertanto solo questi ottengono una licenza
- La cifratura avviene tipicamente con algoritmi standard (scrambling) e vengono cambiate le chiavi molto spesso.
- Oltre a questo, nel TS vengono anche inviate alcune info che possono essere usate per recuperare la chiave di decifratura.
- La chiave non viene inviata in chiaro per ovvi motivi.

- **CW: Control word**, chiave utilizzata per cifrare il flusso digitale
- **SK: Service Key**, serie di chiavi,
 - una per ogni servizio/canale contenuto nello stream,
 - Per ogni SK viene creato un oggetto cifrato **chiamato ECM**
- **UK, User key**, permette all'utente di ottenere la SK, decrypt.
 - Ogni utente ha un UK diversa
 - Per esempio nascosta in una SMARTCARD
- **ECM: Entitlement Control Message**, $ECM(SK, CW) = \{CW\}$
 - viene inviata in broadcast
 - contiene la CW cryptata tramite la SK
- **EMM, Entitled Management Message**, $EMM(UK, SK) = \{SK\}$
 - viene inviato in broadcast
 - contiene una SK encrypted che puo' essere decifrata solo con una UK (come quella usata per encryption)



- **Client side:**

1. arriva un EMM per un certo servizio i , dallo stream che viene passato al SecProcessor che ha la UK (user key)
2. Il SecProcessor produce la $SK(i)$ (service key) usando la sua UK se possibile, cioè se abbonato al servizio
3. Questa $SK(i)$ (ve ne sono n , una per ogni servizio/canale) viene usata per estrarre la CW (i) da ECM (i)
4. $CW(i)$ viene usato per decriptare il ProgStream/servizio (i)

- **Server side:**

1. Le CW per ogni servizio i sono generate in modo periodico
2. $SK(i)$ (n elementi) sono generate per ogni servizio i degli n
3. $SK(i)$ viene usata per codificare $CW(i)$ into ECM(i) del servizio i
4. La User List viene usata per codificare le n $SK(i)$ into m EMM (l,u), un EMM per ogni servizio e per ogni utente, u .

- **ECM_n** = { per ogni servizio i si ha SK_i , $ECM_i = \text{Encryp}(CW_t, SK_i)$ }
 - Con: i di n ; dove: n e' il numero di oggetti/servizi
 - CW_t cambia nel tempo
 - n ECM, uno per ogni SK
 - complessita' $O(n)$
 - Invio ogni 2 secondi, in anticipo
 - I servizi possono essere canali diversi, PS diversi

- **EMM_m** = { per ogni utente j di m : $EMM_{j,i} = \text{Encryp}(SK_i, UK_j)$ }
 - Con: j di m ; dove: m e' il numero di utenti
 - Con: i di n ; dove: n e' il numero di oggetti/servizi
 - m EMM, uno per ogni servizio i -esimo SK (Service Key si trova dentro la EMM e viene decrypted tramite la UK)
 - complessita' $O(mn)$
 - Invio ogni 10 secondi, in anticipo



References

- <http://www.dvb.org>
- <http://www.mhp.org>
- <http://www.interactivetvweb.org/>
- <http://www.etsi.org>
- <http://erg.abdn.ac.uk/research/future-net/digitalvideo/>
- <http://www.dgtvi.it>
- Memorandum of Understanding
<http://www.dvb.org/documents/mou2001.pdf>
- List of DVB Members,
<http://www.dvb.org/index.php?id=27>
- DVB Worldwide <http://www.dvb.org/index.php?id=228>

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- **DRM: Digital Rights Management**
 - general term many times abused, confused, ...
- Management of Digital Rights
 - Limited to the management of rights of digital content ? → NO!!!
- **Digital Management of Rights → YES!!!**
 - More correct and reasonable
 - Management of both rights for original *works* and related *manifestations*, digital *resources*, etc.
 - in many solutions DRM is not intended in this way



- **DRM: Digital Rights Management**

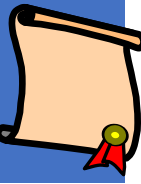
- A set of technologies and solution to cope with Digital Management of Rights

- **1st generation of DRM were covering:**

- security and encryption
- prevent non authorized copying, i.e., CP solutions

- **2nd generation of DRM covers:**

- Content: description, identification, trading, protection,
- monitoring, and tracking of all forms of rights usages over contents, including management of rights holders relationships



- To allow exploiting digital content functionalities (rights) in a controlled manner
 - To who has been **authenticated/certified**
 - To do what (are the rights) is defined in a formal **license**
 - **Verifying/Control/Supervise** if the above conditions and others are respected
 - By using technologies to **protect content** (e.g., encryption, fingerprint, watermark, etc.)
- Cons:
 - Registration of users (in some case can be relaxed)
 - Authentic. of users and/or tools/terminal/devices
 - Control of users
- *It has to be supported by a set of additional technical solutions*

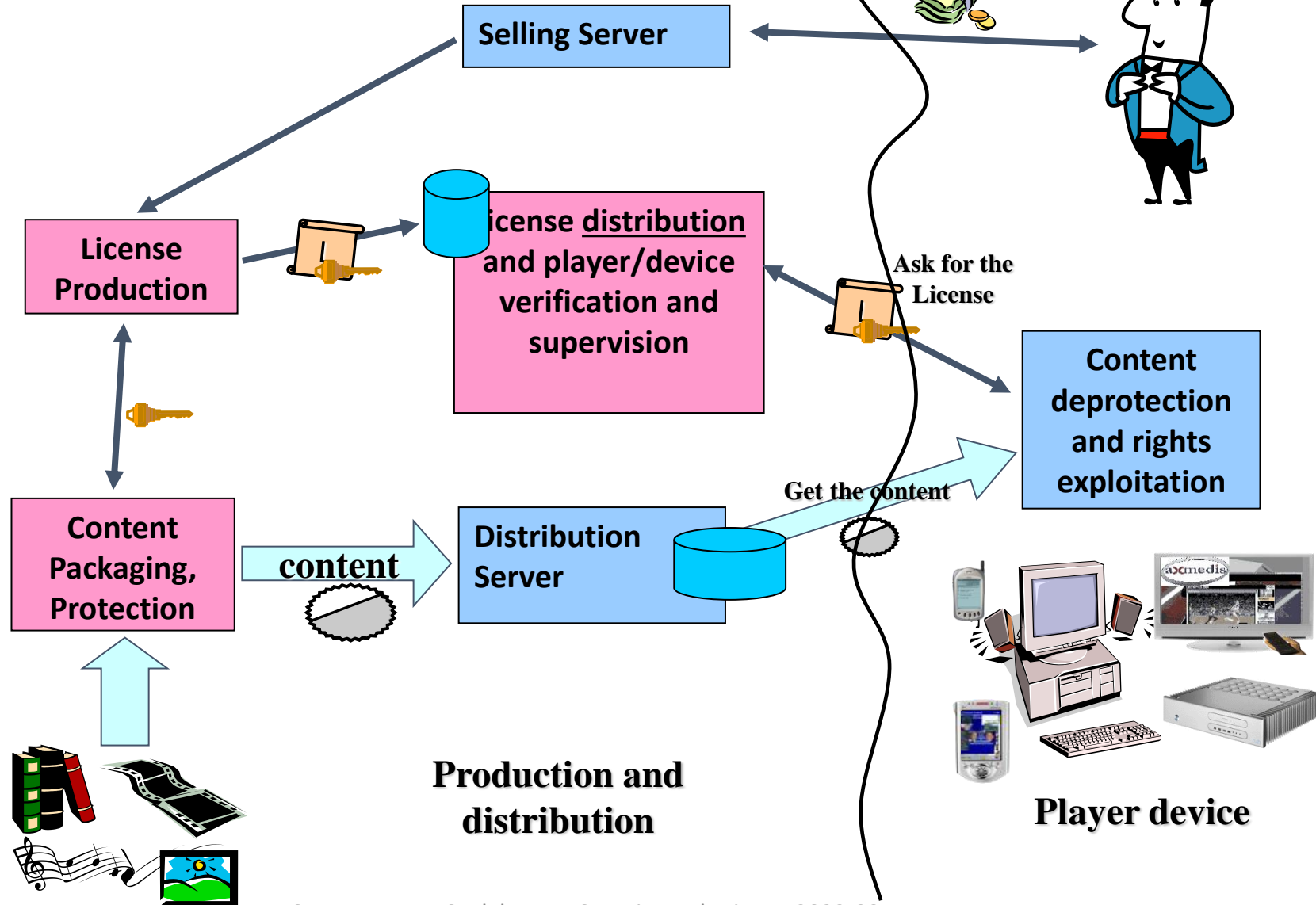


- Prevent the rights exploitation to who has not acquired the rights
 - from some rights owner or authorized reseller
- Verifying/Control if the allowed rights are respected:
 - In the whole value chain or at least at the end users
- Support/adoption of protection solution to
 - Enforce the rights control on the players and tools by which the users are accessing to the content.
- **Recently**, strongly rejected by the final users since most the DRM solutions also enforce some limitations with respect to the TRU (traditional rights usages):
 - Cracking the DRM solutions
 - Redistributing the content violating the IPR via P2P, Social Network, direct contacts, etc.



- The **collection of money/revenues (creation of revenue streams)** related to the exploitation of rights is traditionally/partially covered by Collecting Societies (clearing houses)
- **Collecting Societies**
 - Are Focussed on one or more rights types
 - thus, one or more for each Country/area
 - Guarantee/protect the interests of the content/rights owners
 - Are territorially distributed, while in Europe some liberalisation has been performed, permitting in some measure the competitions among different European CS
- They are some common agreement among the majors Collecting Societies in Europe: SIAE, SGAE, SAGEMA, etc.

Simple protection with Key sending





What Should be the DRM



- To allow exploiting the (digital) content functionalities (rights) in a controlled/supervised manner
 - To who has been **authenticated/certified**
 - To do what (are the rights) is defined in a formal **license**
 - By using technologies to **protect content** (e.g., encryption, fingerprint, watermark, etc.)
 - **Verifying/Control/Supervise** if the above conditions and other issues are respected,
 - including the *possibility of keep trace of the activity performed by the users and reporting/using them to the distributors* (this part is disputable since for the privacy)



- **Digital Encryption/decryption**
 - DRM may use strong encryption (# bits) never been cracked
- **Digital signatures**
 - content may be digitally signed to prevent tampering
 - license has to be digitally signed, etc.
 - event reporting has to be digitally signed, etc.
- **Unique identification of elements:**
 - Users, Content Objects, devices/players, ...
 - Distributors and rights, ...
- **Authentication and certification of users and devices**
 - To prevent compromised players or non trusting users to receive or distribute other content,
 - Black list of devices, licenses, users, etc.



- **Separation of licenses from content**

- licenses should be kept separate from content
- The license formalises what can be done by a given user on a given content
- thus content can be protected once for all and widely distributed via any kind of channel including P2P

- **Revocation of User, User ID**

- The user that has violated the solution is black listed, banned.
- He cannot exploit any right on content !!, may be too strong..

- **Revocation of licenses, via License ID**

- Revocation of rights authorization, for that content-right
- various ways to prevent players from exploiting content

- **Revocation of Content, via Content ID**

- Content with the listed IDs cannot be played on players.

- **Revocation of Player, Player ID**

- Players with the listed IDs cannot be used to open protected content, lost of certification.





- Distribution models
- Terminologies
- Business Models & Value Chain
- Copy protection
- Conditional Access Systems
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- **Content Packaging to contain the following information**
 - Metadata + semantic descriptors
 - Digital Resources: items, digital essences,
 - Protection Information: (how to prot/deprot the content).....
 - License:who can use, when, how, etc...

- **The Package should allow to be**
 - Protected
 - Streamed (so called real-time) and/or downloaded,
 - Shared on P2P, etc..
 - Ported on physical supports,
 - Adapted, etc..
 - Coded in binary and/or XML, etc.
 - etc.

Content Elements of the package

- **Metadata:**

- Identification information, unique ID, distributor ID, etc.
- Classification information also for indexing: Dublin core, etc.
- Semantic Descriptors, MPEG-7, for indexing, etc.
- References to Owner, to distributor, etc.
- Etc.

Metadata

- **Digital Resources:**

- Any digital information: images, doc, txt, video, game, application, file, audio, etc.
- Hierarchy of digital resources

Resource

- **Protection Information:**

- What has to be done to access at a given information/resource
- Tools used, their parameters, etc.

Prot-Info Model



- **License:**

- Which rights are provided, who is the recipient, conditions, etc.

License Model





Packing Content vs other aspects

- The **package** may contain several information: metadata, info, several files, etc. →
 - Cross media content
 - hierarchical content and structure
- The **package** to be protected has to be encoded in some file and format. For example, to be encrypted with some algorithm
 - Protection by ignorance (algorithm and key)
 - Protection by complexity
- The **key** has to reach the player only via specific protected channels
 - If the key is reached and the algorithm is known the protection is violated
- The **player** has to **enforce** the protection and has to provide a precise semantics for the rights
- The **license** is a description of the conditions under which the key can be taken, passed, used to/by the player



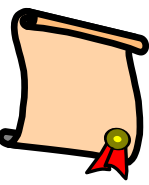
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- **XrML 2.0: eXtensible rights Markup Language**
 - <http://www.xrml.org/>
 - General purpose
 - ContentGuard, Nov. 2001, Microsoft
 - Derived from DPRL
 - Usato come base per MPEG-21
- **Windows Media DRM**
 - Derived from XrML
- **MPEG-21:**
 - REL: Rights Expression Language
 - Derived from XrML
 - RDD: Rights Data Dictionary
- **OMA ODRL: Open Digital Rights Management**
 - Expression language for mobiles
 - In some way simpler than MPEG-21 REL
 - ..

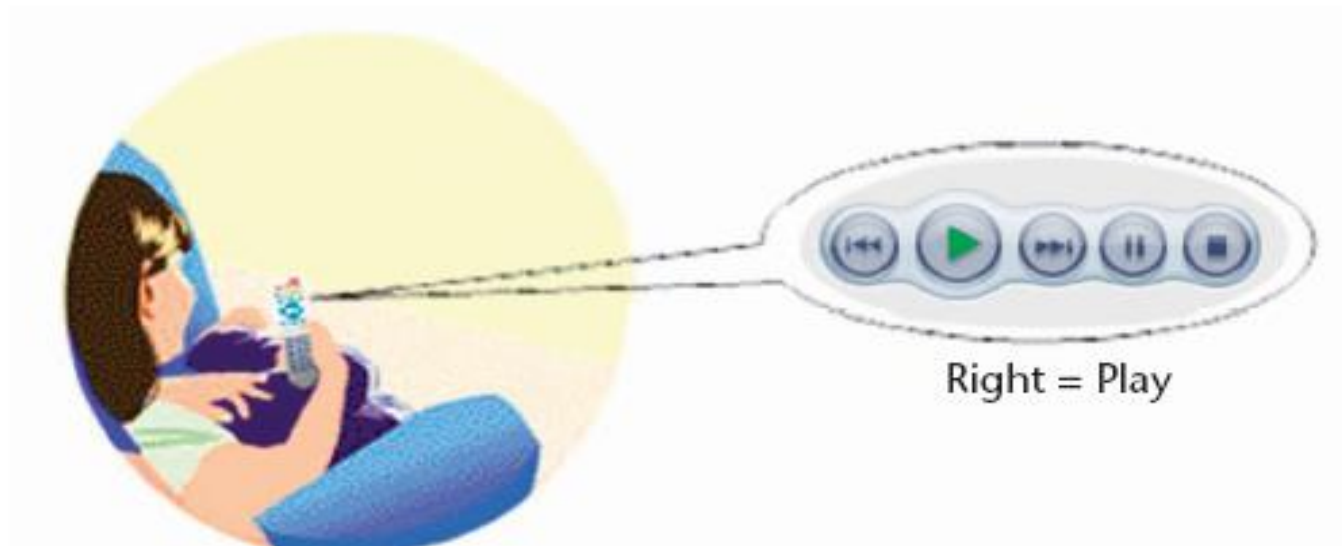




Condition = November 2003



Resource = Ocean Wilds



Right = Play

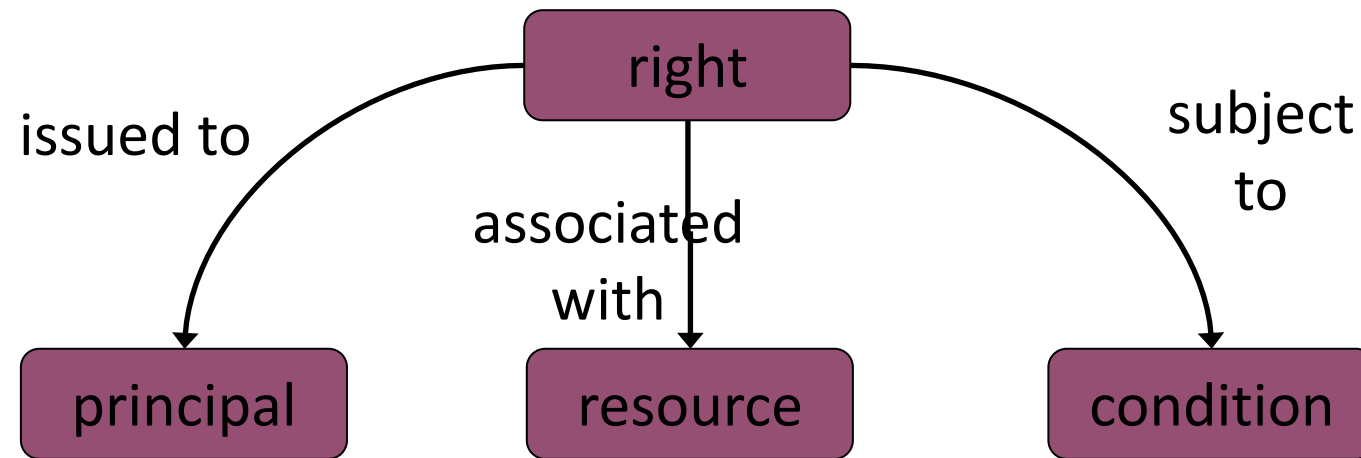
- Rosy can Play 3 times the Ocean Wilds in November 2003.



- **REL is a machine-readable language, XML**
 - to declare rights and permissions
 - uses terms defined in the Rights Data Dictionary, RDD
- **REL allows to define licenses** that give specific permissions to Users to perform certain actions on certain resources, given that certain conditions are met
 - Grants can also allow Users to delegate authority to others
- **Systems and device have to**
 - parse and validate the REL formalizations
 - check permissions before any further action is done
- **REL licenses** are wrapped into MPEG-21 Digital Items when the object is governed
- **MPEG-21 DID parser** is responsible for discovering and identifying where to gather licenses



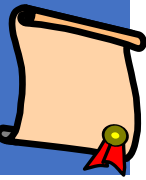
- REL grant formalization consists of
 - principal to whom grant is issued
 - rights the grant specifies
 - resource to which right in grant applies
 - condition to be met before grant can be exercised



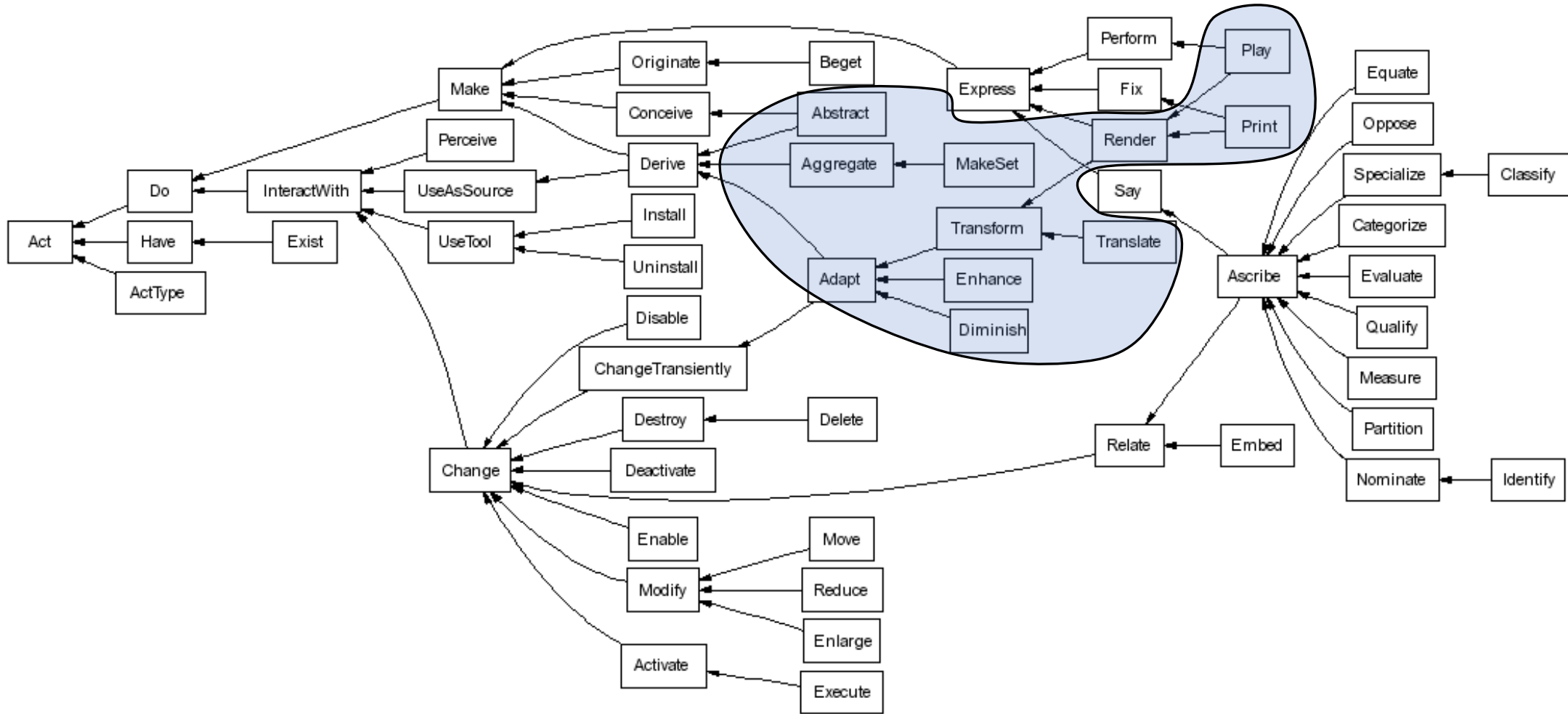
REL – Principal and Rights



- **Principal:** Party to whom a grant conveys usage rights.
 - authentication mechanism by which the principal can prove its identity.
 - a principal that must present multiple credentials, all of them must be simultaneously valid, to be authenticated.
- **Right:**
 - Action or activity that a principal may perform using a resource under some condition.
- **Resource:**
 - Object/content to which the principal can be granted a right.
- **Condition:**
 - Terms under which rights can be exercised.
- **MPEG REL provides** a right element to encapsulate information about rights and provides a set of commonly used, specific rights, notably rights relating to other rights, such as issue, revoke and obtain.
 - Extensions to MPEG REL could define rights appropriate to using specific types of resource.
 - For instance, the MPEG REL content extension defines rights appropriate to using digital works (e.g., play and print)



- Example: *Act* hierarchy.



Possible values for term



• Principal

- AllPrincipals and KeyHolder

• Rights

- Issue, Obtain, PossesProperty and Revoke

• Resources

- DigitalResource, Revocable and ServiceReference

• Conditions

- AllConditions, ExerciseMechanism, ExistsRight, Fullfiler, PrerequisiteRight, RevocationFreshness, ValidityInterval

- CallForCondition
- ExerciseLimit
- FeeFlat
- FeeMetered
- FeePerInterval
- FeePerUse
- FeePerUsePrePay
- SeekAproval
- Territory
- TrackQuery
- TrackReport
- TransferControl
- ValidityIntervalFloating
- ValidityIntervalStartsNow
- ValidityTimeMetered
- ValidityTimePeriodic

□ Examples of Rights

- Adapt
- Delete
- Diminish
- Embed
- Enhance
- Enlarge
- Execute
- Install
- Modify
- Move
- Play
- Print
- Reduce
- Uninstall



Esempi di Licenze: creator to distrib

```
<?xml version="1.0" encoding="UTF-8" standalone="yes"?>
<!-- License model for giving right adapt to the distributor -->
<r:license xmlns:dsig="http://www.w3.org/2000/09/xmldsig#" xmlns:mx="urn:mpeg:mpeg21:2003:01-REL-MX-NS" xmlns:r="urn:mpeg:mpeg21:2003:01-REL-R-NS"
  xmlns:sx="urn:mpeg:mpeg21:2003:01-REL-SX-NS" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:schemaLocation="urn:mpeg:mpeg21:2003:01-REL-R-
  NS ../schemas/rel-r.xsd urn:mpeg:mpeg21:2003:01-REL-SX-NS ../schemas/rel-sx.xsd urn:mpeg:mpeg21:2003:01-REL-MX-NS ../schemas/rel-mx.xsd">
  <r:grantGroup>
    <r:grant> <r:keyHolder> <r:info><dsig:KeyName>AXDID:Distributor</dsig:KeyName> </r:info>
      </r:keyHolder>
      <mx:adapt/>
      <mx:diReference><mx:identifier>AXOID:Identifier</mx:identifier> </mx:diReference>
    </r:grant>
  </r:grantGroup>
  <!--The license is issued by the creator.-->
  <r:issuer> <r:keyHolder> <r:info> <dsig:KeyName>AXCID:Creator</dsig:KeyName></r:info>
    </r:keyHolder>
  </r:issuer>
</r:license>
```

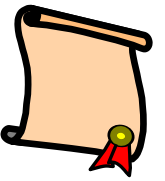



• CAS

- Irdeto: <http://www.irdeto.com/>
- Nagravision: <http://www.nagravision.com/>
- NDS: <http://www.nds.com/>

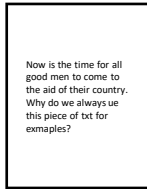
• DRM

- MPEG-21: <http://www.dsi.unifi.it/~nesi/DISIT-Introduction-to-MPEG-21-v1-0.pdf>
- AXMEDIS DRM for dummies: a full round into the content protection, production of licenses, etc.
- <http://www.axmedis.org>
- http://www.axmedis.org/documenti/view_documento.php?doc_id=3964

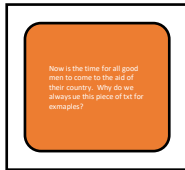


Render Rights

Print



View



Play



Transport Rights

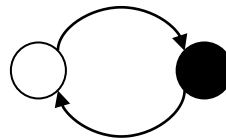
Copy



Move

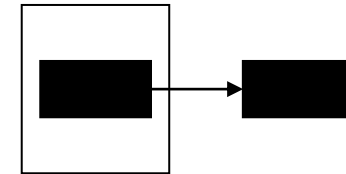


Loan

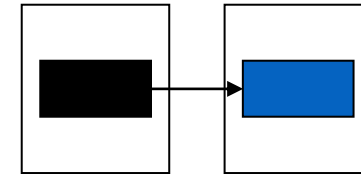


Derivative Work Rights

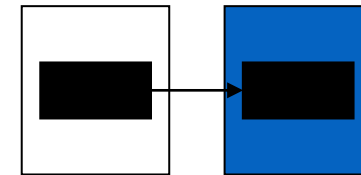
Extract



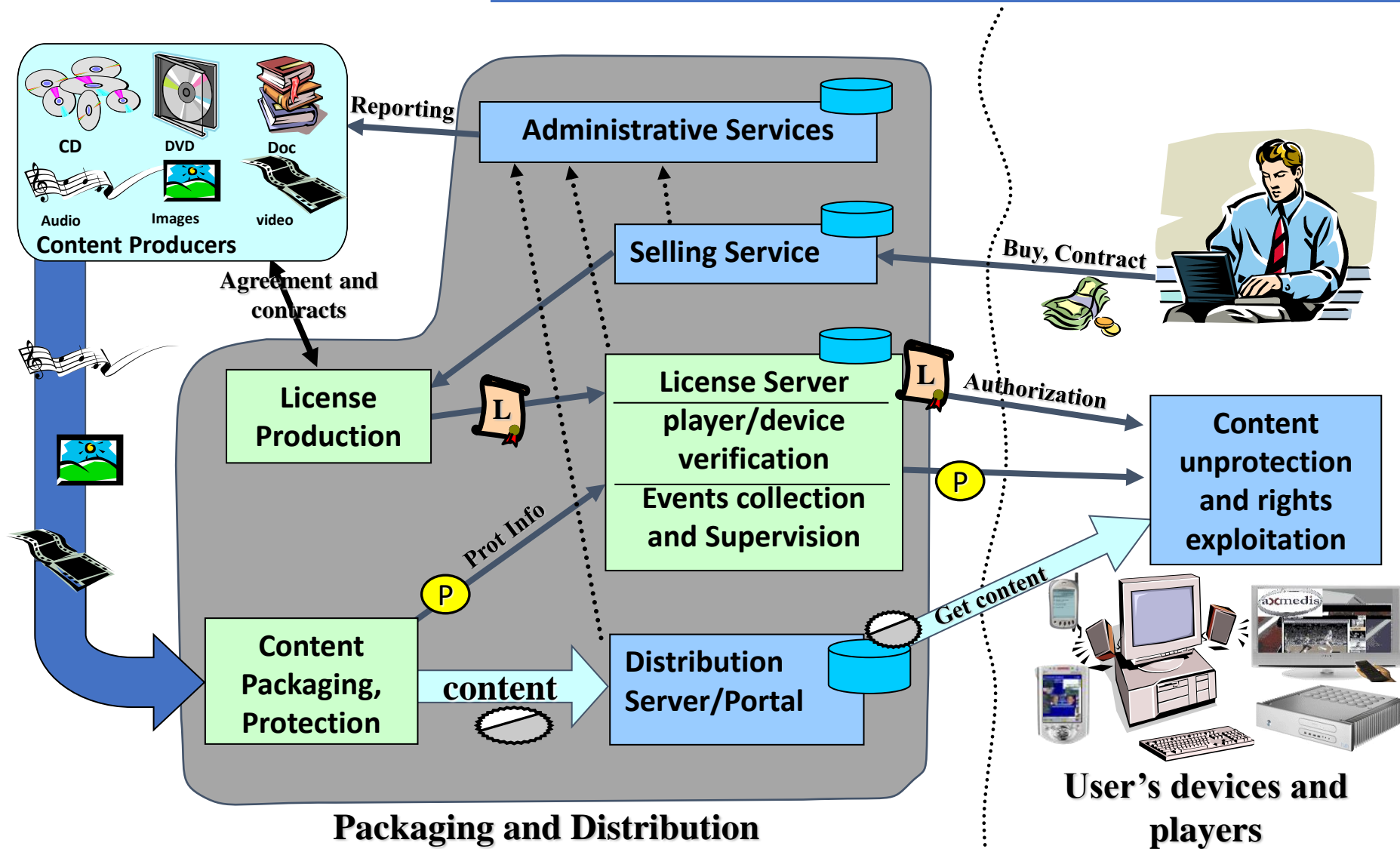
Edit



Embed



Based model for DRM





Different kinds of Packages

	Package	Protection	Which Files	Distribution models	Annotations	Metadata custom + descriptors
MPEG-21	Xml	Yes/DRM	any	Yes/DIS	(Yes)	Yes
MPEG-4	Yes (xml/bin)	Yes/CAS	Audio video	Yes/Stream	No	No
MXF	Yes (xml/bin)	No	Audio video	Download	No	Yes/No
SCORM/IMS	Yes (xml/bin)	Yes /CAS	any	Download	No	Yes
AXMEDIS	Yes (xml/bin)	Yes /DRM	any	Yes all	(Yes)	Yes
ZIP	Bin	Yes (pwd, CAS)	any	Download	No	No
NewsML	Yes (xml/bin)	Yes (Zip, Pwd, cas)	any	Download	No	Yes



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- e' necessario includere anche alcune slide relative alle licenze CC.
 - Fino ad ora abbiamo visto tecnologia al servizio della protezione dei dati e della proprieta' intellettuale
 - CC mette a disposizione degli strumenti, dei formalismi legali che possono essere o meno adottati da chi pubblica i propri contenuti
 - Questi sono license formalizzate:
 - **Struttura della Licenza CC:**
 - Legal Code: testo legale che la descrive
 - Commons Deed: short description della licenza
 - Digital Code: metadati da associare
- (see S. Aliprandi, 2005, 2006...)



<http://www.copyleft-italia.it/cc/brochureCCv2.pdf>



- ***Le licenze CC***

- Nate in USA
- Sono state adattate alla legislazione nazionale di diversi stati e anche a livello europeo
 - specialmente per contenuti generati dagli utenti e in ambito culturale
 - Questo permette in un certo qual modo di avere una trascodifica fra le questioni legali nazionali e quelle di altre nazioni, ma solo per certe questioni.
- Licenze CC ipotizzano uno share, copyleft
- E' stato fatta una codifica delle licenze CC in MPEG-21 REL
 - Non e' vero l'opposto, tutte le licenze che si possono formalizzare in MPEG-21 non hanno una controparte in CC

Le tre forme delle licenze

Ogni licenza Creative Commons si manifesta sotto tre forme differenti. La licenza vera e propria è detta **Legal Code**: è un testo piuttosto denso di concetti giuridici, abbastanza lungo e tendenzialmente comprensibile a coloro che hanno una formazione di tipo giuridico. E' questa la licenza che verrà esaminata dal giudice qualora emergesse una controversia legale sull'uso dell'opera licenziata. Tuttavia, Creative Commons ha pensato anche di riassumere i concetti essenziali delle licenze in versioni sintetiche (i cosiddetti **Commons Deed**) facili da capire anche per i semplici utenti e contraddistinti da efficaci *visuals*. Inoltre, ogni licenza è convertibile in alcune righe di linguaggio informatico (il cosiddetto **Digital Code**) che fungono da *metadati*, ovvero da informazioni digitali che permettono ai motori di ricerca di individuare e riconoscere correttamente l'opera che li contiene.

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Come applicare una licenza CC

Il concetto è semplicissimo: poiché il modello tradizionale e standardizzato è quello "tutti i diritti riservati", se vogliamo applicare un modello alternativo dobbiamo segnalarlo esplicitamente. Possiamo ad esempio utilizzare un disclaimer di copyright come quello che trovate nella pagina successiva di questa brochure, in cui indicare con chiarezza chi è il titolare dei diritti d'autore e quale licenza ha scelto per la sua opera. Nient'altro! Non sono necessarie particolari formalità di registrazione o certificazione da parte di nessun ente.

Sul sito ufficiale Creative Commons sono poi disponibili informazioni più specifiche per l'inserimento della licenza in versione *digital code* nei file digitali con cui l'opera circolerà.

Come trovare opere sotto licenze CC

In generale è possibile utilizzare lo specifico motore di ricerca che si trova al sito <http://search.creativecommons.org> ;

- oppure fare riferimento ad archivi on-line come:
 - <http://sciencecommons.org/> (letteratura scientifica);
 - www.jamendo.com (musica);
 - <http://ccmixter.org/> (musica, suoni e campionature musicali);
 - www.flickr.com/creativecommons (immagini);
 - www.spinxpress.com/getmedia (video e contenuti multimediali);
 - <http://ocw.mit.edu/> (materiale didattico e manualistica);
 - commons.wikimedia.org (opere varie).

Per saperne di più...

...oltre a navigare attentamente sui siti ufficiali di Creative Commons e a frequentare le mailing list pubbliche della community (www.creativecommons.it/Liste), potete leggere la voce "Creative Commons" su www.wikipedia.org e le voci ad essa correlate; navigare sul sito www.copyleft-italia.it/cc e leggere le pubblicazioni liberamente scaricabili dal sito www.copyleft-italia.it/pubblicazioni, fra cui si segnalano principalmente:

- ALIPRANDI, Copyleft & opencontent. L'altra faccia del copyright (ed. PrimaOra, 2005);
- ALIPRANDI (a cura di), Compendio di libertà informatica e cultura open (ed. PrimaOra, 2005);
- ALIPRANDI, Teoria e pratica del copyleft. Guida all'uso delle licenze opencontent (ed. NDA Press, 2006);
- ALIPRANDI, Capire il copyright. Percorso guidato nel diritto d'autore (ed. PrimaOra, 2007);
- LESSIG, Cultura libera (ed. Apogeo, 2005).

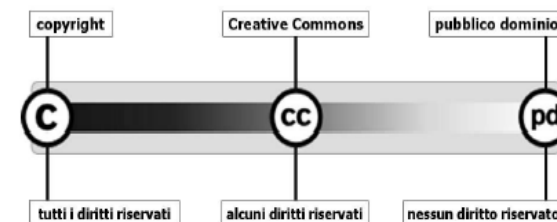
Brochure a scopo divulgativo realizzata da Simone Aliprandi per il Progetto Copyleft-Italia.it nel gennaio 2008. Parte del materiale qui riportato è tratto dai siti ufficiali Creative Commons e dall'opera "Il copyleft in tasca. Vademecum con i concetti base del copyleft" (www.copyleft-italia.it/vademecum).

L'URL originario di questo documento è: www.copyleft-italia.it/cc.

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Brochure a scopo divulgativo a cura del
Progetto Copyleft-Italia.it

[cOpyleft-italia.it](http://copyleft-italia.it)
www.copyleft-italia.it

NUOVI MODELLI PER IL DIRITTO D'AUTORE

Che cos'è Creative Commons (e cosa non è)

[tratto da www.creativecommons.it/cosa-fa-cc]

Le Creative Commons Public Licenses (CCPL) sono delle licenze di diritto d'autore che si basano sul principio di "alcuni diritti riservati". Le CCPL, infatti, rendono semplice, per il titolare dei diritti d'autore, segnalare in maniera chiara che la riproduzione, diffusione e circolazione della propria opera è esplicitamente permessa.

Il funzionamento delle CCPL è reso possibile dal fatto che la legge italiana sul diritto d'autore - così come, in generale, le corrispondenti normative nazionali e internazionali - riconosce al creatore di un'opera dell'ingegno una serie di diritti; allo stesso tempo, la legge permette al titolare di tali diritti di disporne liberamente.

Uno dei modi in cui ciò si può fare è il meccanismo contrattuale della licenza, tramite cui il titolare dei diritti (il cosiddetto "licenziante") concede o meno alcuni diritti alla controparte (il cosiddetto "licenziatario") ovvero qualsiasi fruitore dell'opera. È importante sottolineare come le CCPL, e in generale tutte le licenze di diritto d'autore, non siano la fonte dei diritti in oggetto: è grazie alla legge che tali diritti sorgono. Le CCPL sono solo uno strumento tramite cui il titolare dei diritti concede determinati permessi ai licenziatari.

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Creative Commons Italia promuove l'uso delle licenze Creative Commons e la riflessione sulle motivazioni che hanno portato alla loro creazione, ma non svolge attività di consulenza legale, né di registrazione, archiviazione o catalogazione di opere dell'ingegno, siano esse rilasciate sotto una licenza Creative Commons o meno.

Le licenze Creative Commons

Caratteristiche

[tratto da www.creativecommons.it/Licenze/Spiegazione]

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- ottenga il tuo permesso per fare una qualsiasi delle cose che hai scelto di limitare, per esempio, usi commerciali, o creazione di un'opera derivata;
- mantenga l'indicazione di diritto d'autore intatta su tutte le copie del tuo lavoro;
- faccia un link alla tua licenza dalle copie dell'opera;
- non alteri i termini della licenza;
- non usi mezzi tecnologici per impedire ad altri licenziatari di esercitare uno qualsiasi degli usi consentiti dalla legge.

Ogni licenza permette che i licenziatari, a patto che rispettino le tue condizioni:

- copino l'opera;
- distribuiscano l'opera;
- comunichino al pubblico, rappresentino, eseguano, recitino o esponano l'opera in pubblico, ivi inclusa la trasmissione audio digitale dell'opera;
- cambino il formato dell'opera.

Struttura

Le licenze Creative Commons si strutturano idealmente in **due parti**: una prima parte in cui si indicano quali sono le **libertà** che l'autore vuole concedere sulla sua opera; e una seconda parte che chiarisce a quali **condizioni** è possibile utilizzare l'opera.

PRIMA PARTE - Le libertà per l'utente

Tutte le licenze consentono la copia e la distribuzione dell'opera:



Tu sei libero di riprodurre, distribuire, comunicare al pubblico, esporre in pubblico, rappresentare, eseguire e recitare quest'opera.

Alcune licenze consentono anche la modifica dell'opera:



Tu sei libero di modificare quest'opera.

SECONDA PARTE - Le condizioni per l'utilizzo dell'opera

Le licenze Creative Commons si articolano in **quattro clausole base**, che l'autore può scegliere e combinare a seconda delle sue esigenze.



Attribuzione - Devi riconoscere la paternità dell'opera all'autore originario.¹

¹ Questa clausola è presente *di default* in tutte le licenze. Essa indica che, ogni volta che utilizziamo l'opera, dobbiamo segnalare in modo chiaro chi è l'autore.



Non commerciale - Non puoi utilizzare quest'opera per scopi commerciali.²

² Significa che, se distribuiamo copie dell'opera, non possiamo farlo in una maniera tale che sia prevalentemente intesa o diretta al perseguimento di un vantaggio commerciale o di un compenso monetario privato. Per farne tali usi, è necessario chiedere uno specifico permesso all'autore.



Non opere derivate - Non puoi alterare, trasformare o sviluppare quest'opera.³

³ Quindi se vogliamo modificare, correggere, tradurre, remixare l'opera, dobbiamo chiedere uno specifico permesso all'autore originario.



Condividi allo stesso modo - Se alteri, trasformi o sviluppi quest'opera, puoi distribuire l'opera risultante solo per mezzo di una licenza identica a questa.⁴

⁴ Questa clausola (un po' come succede nell'ambito del software libero) garantisce che le libertà concesse dall'autore si mantengano anche su opere derivate da essa (e su quelle derivate dalle derivate, con un effetto a cascata).

Le attuali sei licenze

- Attribuzione
- Attribuzione-NonOpereDerivate
- Attribuzione-NonCommerciale-NonOpereDerivate
- Attribuzione-NonCommerciale
- Attribuzione-NonCommerciale-CondividiAlloStessoModo
- Attribuzione-CondividiAlloStessoModo



Ogni Licenza chiede che il Licenziatario



- Ottenga il tuo permesso per fare una qualsiasi delle cose che
 - hai scelto di limitare con la licenza,
 - for example: limitare gli usi commerciali o quelli di opera derivata
- Mantenga l'indicazione di diritto di autore intatta su tutte le copie del tuo lavoro
- Riporti un link alla licenza originale dalle copie dell'opera
- Non alteri i termini della licenza originale
- Non usi mezzi tecnologici per impedire ad altri licenziatari di esercitare uno qualsiasi degli usi consentiti dalla legge



seguenti azioni a patto che:
i licenziatari rispettino le condizioni della licenza

- Possono
 - Copiare l'opera;
 - Distribuire l'opera;
 - Comunicare al pubblico, rappresentare, eseguire, recitare o esporre l'opera in pubblico,
 - trasmissione audio digitale dell'opera;
 - Cambiare il formato dell'opera (adattare)



• **Liberta' per l'utente**

- Sei libero di distribuire, comunicare, rappresentare, eseguire, recitare o esporre l'opera
 in pubblico, ivi inclusa la trasmissione audio digitale dell'opera;

- Sei libero di modificare questa opera


• **Condizioni di uso**



- Devi riconoscere la paternita' di questa opera
- Per esempio citando e riportando un link alla sorgente



- Offrono 6 diverse articolazioni
 - per artisti, giornalisti, docenti, istituzioni e, in genere, creatori che desiderino **condividere in maniera ampia** le proprie opere secondo il modello "**alcuni diritti riservati**".
- **Altre condizioni d'uso, il detentore dei diritti puo'**
 - non autorizzare a priori **usi prevalentemente commerciali** dell'opera (opzione *Non commerciale*, acronimo inglese: *NC*)
 - non autorizzare la creazione di **opere derivate** (*Non opere derivate*, acronimo: *ND*); no extract, no aggregate, ..
 - Imporre di rilasciarle **con la stessa licenza dell'opera originaria** (*Condividi allo stesso modo*, acronimo: *SA*, da "Share-Alike").

Le combinazioni di queste scelte generano 6 licenze CC, disponibili anche in versione italiana, come descritto in seguito!

2 of the 6 CC licenses, 1/3



• Attribution Non-commercial No Derivatives (by-nc-nd)



- The most restrictive of our six main licenses, allowing redistribution.
- This license is often called the “free advertising” license
- *it allows others to download your works and share them with others as long as they mention you and link back to you,*
- they can't change them in any way or use them commercially

• Attribution Non-commercial Share Alike (by-nc-sa)



- *Let others remix, tweak, and build upon your work non-commercially, as long as they credit you and license their new creations under the identical terms.*
- Others can download and redistribute your work just like the by-nc-nd license, but they can also translate, make remixes, and produce new stories based on your work.
- All new work based on yours will carry the same license, so any derivatives will also be non-commercial in nature.

- **Attribution Non-commercial (by-nc)**



- Let others remix, tweak, and build upon your work non-commercially, and their new works must also acknowledge you and be non-commercial,
- they don't have to license their derivative works on the same terms.

- **Attribution No Derivatives (by-nd)**



- allows for redistribution, commercial and non-commercial,
- as long as it is passed along unchanged and in whole, with credit to you

- **Attribution Share Alike (by-sa)**

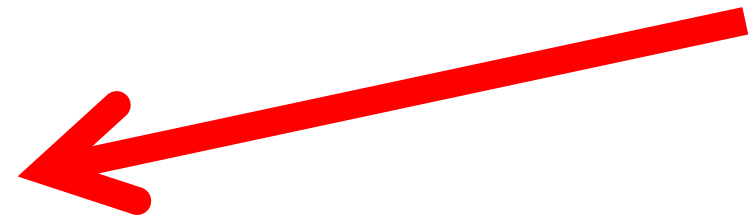
- *lets others remix, tweak, and build upon your work even for commercial reasons, as long as they credit you and license their new creations under the identical terms.*
- This license is often compared to open source software licenses.
- All new works based on yours will carry the same license, so any derivatives will also allow commercial use.

- **Attribution (by)**

- *lets others distribute, remix, tweak, and build upon your work, even commercially, as long as they credit you for the original creation.*
- This is the most accommodating of licenses offered, in terms of what others can do with your works licensed under Attribution.



- Distribution models
- Terminologies
- Business Models & Value Chain
- Copy protection
- Conditional Access Systems
- Digital Rights Management
- Content Modeling and Packaging
- Licensing and content distribution
- Creative Commons Licensing
- Composition of Licenses, data aggregation





Compatibility Chart

www.disit.org

Handwritten red circle containing "BY SA" and "O".

	A PUBLIC DOMAIN	PUBLIC DOMAIN	CC BY	CC BY SA	CC BY NC	CC BY ND	CC BY NC SA	CC BY NC ND
PUBLIC DOMAIN	✓	✓	✓	✓	✓	✗	✓	✗
PUBLIC DOMAIN	✓	✓	✓	✓	✓	✗	✓	✗
CC BY	✓	✓	✓	✓	✓	✗	✓	✗
CC BY SA	✓	✓	✓	✓	✗	✗	✗	✗
CC BY NC	✓	✓	✓	✗	✓	✗	✓	✗
CC BY ND	✗	✗	✗	✗	✗	✗	✗	✗
CC BY NC SA	✓	✓	✓	✗	✓	✗	✓	✗
CC BY NC ND	✗	✗	✗	✗	✗	✗	✗	✗

Handwritten red letter 'B'.



- **Licenses:** MPEG-21, ODRL, XACML, Xrml, etc..
 - Suitable for media, Unsuitable for data
- **Data Licenses:** CC, ODC, OGL, IODL
 - Mainly open data and declinations
 - **Permissions:** derivative, commercialize, derivative...
 - **Restrictions/duties:** attribution, notice, ...
- **Getting Composing Data Set → Licences Composition is needed**
 - See www.disit.org/6877 extension
- Formal models to **grant rights**
- Techniques for **right enforcement/verification**
 - **Almost missing on RDF stores**

License Combination example

dataset/graph description	license	SD	Duties			permissions			user categories				
		sharelike	attribution	notice	derivative	commercialize	redistribute	reproduce	Citizen	Tourist	Police	Civil protection	Firefighters
DigitalLocation	CC-By-NC-SA	✓	✓	✓	✓	✗	✓	✓	✓	✓	✓	✓	✓
Energy Cabins	protected	✗	✗	✗	✗	✗	✗	✓	✗	✗	✓	✓	✓
Commercial firms	CC-By	✗	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Graph street	CC-By	✗	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Services on the city	CC-By-NC	✗	✓	✓	✓	✗	✓	✓	✓	✓	✓	✓	✓
Renting bikes	CC-By	✗	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Taxi	CC-By	✗	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Enogastronomy	CC-By	✗	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓



Course: Security and Privacy

Part on Privacy by Paolo Nesi

*Topic: **Privacy and GDPR***

University of Florence, DISIT lab, <https://www.disit.org>

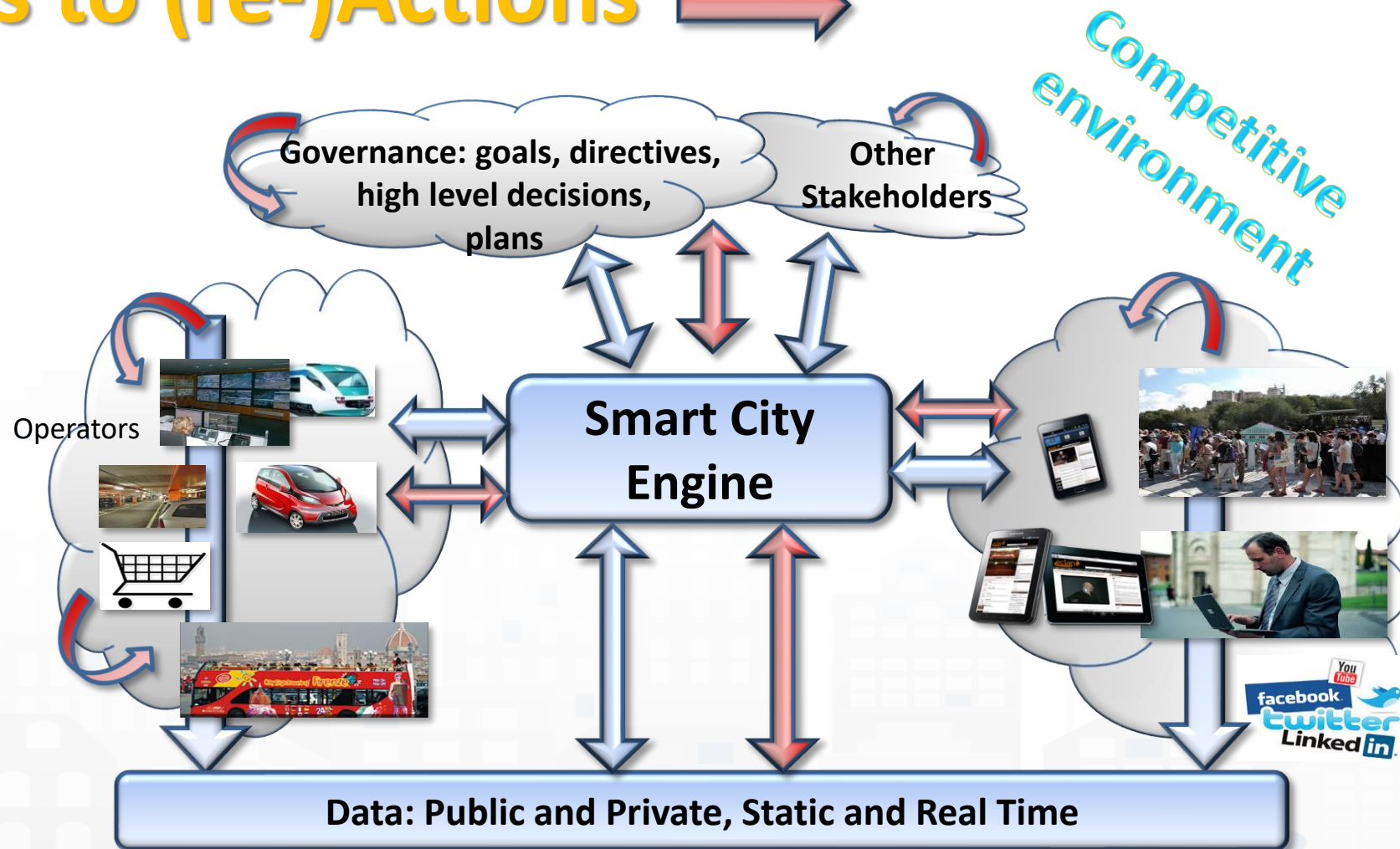
<https://www.snap4city.org>

Paolo.nesi@unifi.it



From Strategies to (re-)Actions

- Analyze
- Alerting, Early Warning
- Support Decision makers
- Plans
- Prescriptions
- Inform
- Suggest
- Engage
- Research





Security/Privacy Requirements

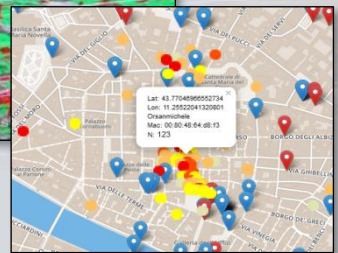
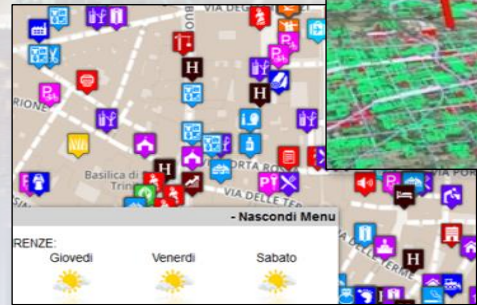
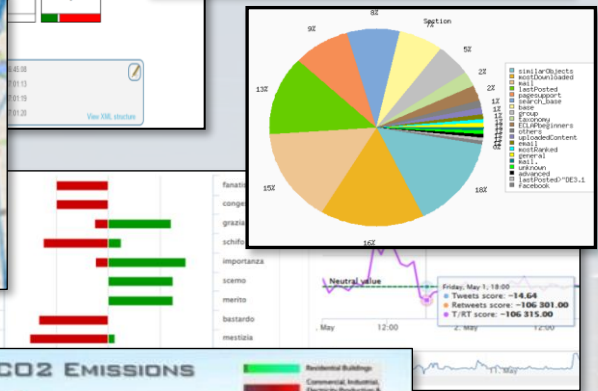
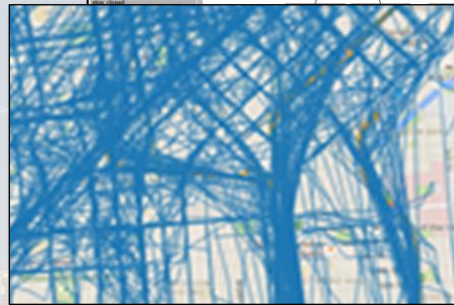
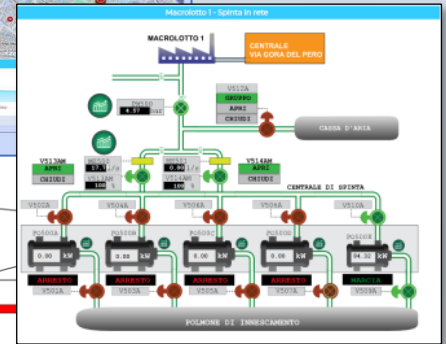
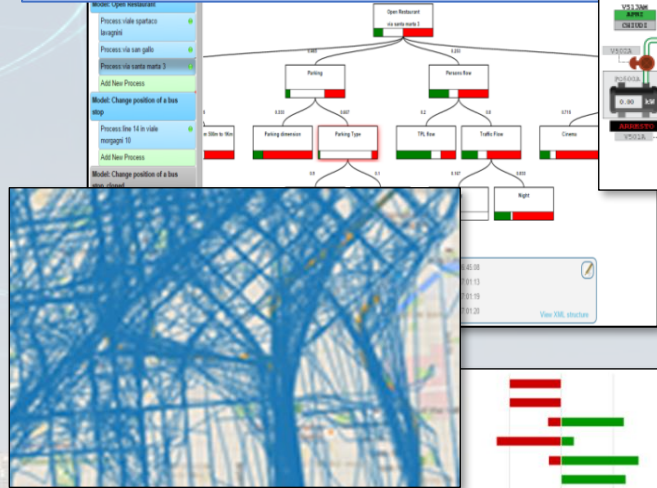
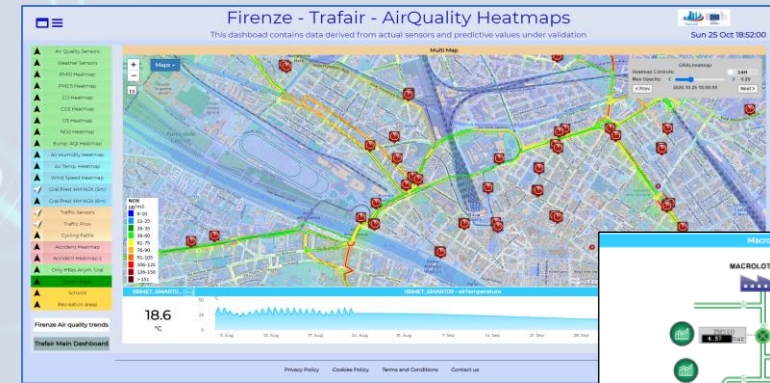


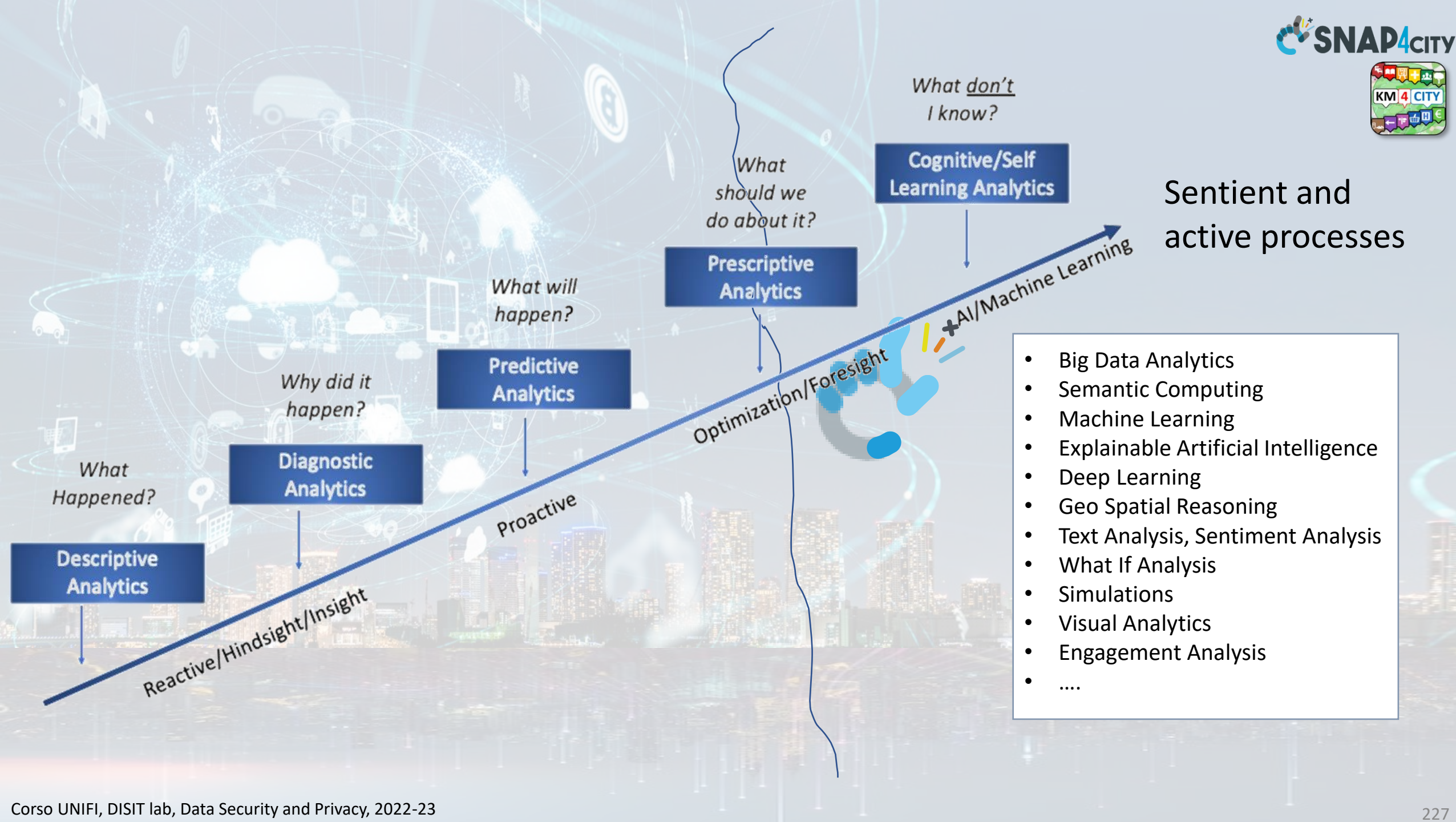
- **Managing** private data together with public data
- **Private data management** according to GDPR
 - Browsing, downloading, controlling rights, delegating access, revoking accesses, etc.
 - Keep them safe
- Secure enough to delegate management of data regarding public security:
 - Data that could be used against us by some terrorist, or anyway by someone with some bad intention, for example to access in our home when we are far away, etc.

Data Driven Decision Support



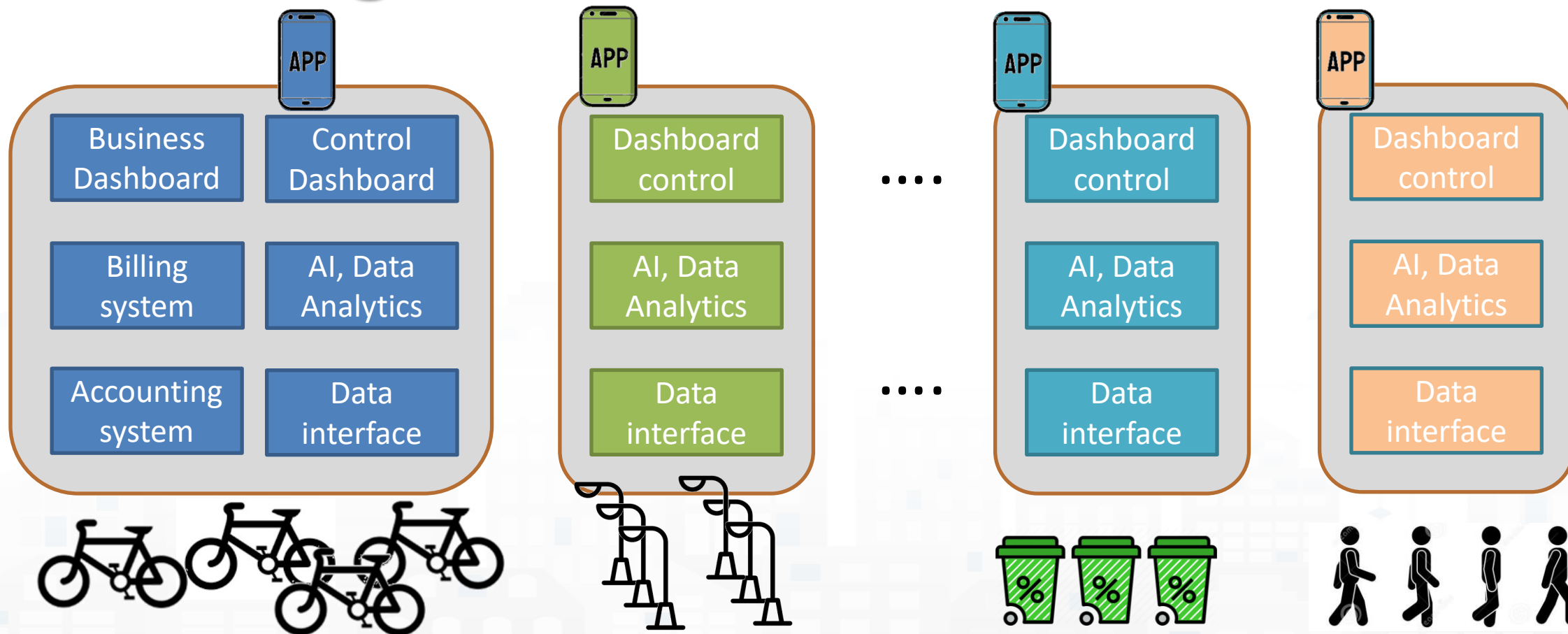
- Decision Support system
- Assessment / Strategies
- Data Rendering, visual analytics
- Data Processing
- Data aggregation, Storage, indexing
- Data Ingestion





- Big Data Analytics
- Semantic Computing
- Machine Learning
- Explainable Artificial Intelligence
- Deep Learning
- Geo Spatial Reasoning
- Text Analysis, Sentiment Analysis
- What If Analysis
- Simulations
- Visual Analytics
- Engagement Analysis
-

Avoiding to have a collection of verticals



Simplifying the development and integration of verticals

Tools for rapid implementation of sustainable Smart Solutions and Decision Support Systems



DASHBOARDS AND APPS - CONTROL ROOMS - DECISION SUPPORT SYSTEMS - WHAT-IF ANALYSIS - VISUAL ANALYTICS

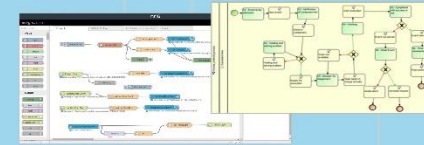
PREDICTION - ANOMALY DETECTION - ENVIRONMENTAL MODEL - 3D MODEL
 KPI - SIMULATION - EARLY WARNING - SYNOPTIC - DIGITAL TWIN - VIRTUAL REALITY



EXPERT SYSTEM
 KNOWLEDGE BASE
 STORAGE



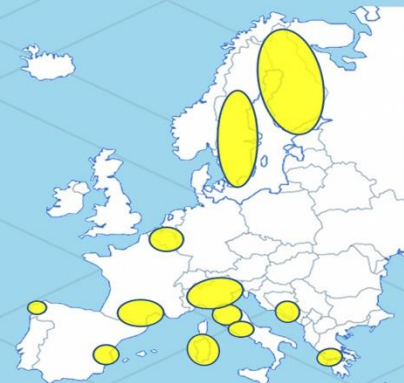
BIG DATA ANALYTICS
 EXPLAINABLE ARTIFICIAL INTELLIGENCE
 BUSINESS INTELLIGENCE
 MACHINE LEARNING



DATA FLOWS, DATA DRIVEN
 WORKFLOWS, MICROSERVICES
 PARALLEL DISTRIBUTED PROCESSING



METHODOLOGIES
 COURSES AND COMMUNITY
 LIVING LABS
 DEVELOPMENT TOOLS



Powered by
FIWARE

FREE
 TRIAL



EU GDPR
 COMPLIANT

SNAP4
 Appliances and Dockers
 Installations

EUROPEAN OPEN
 SCIENCE CLOUD



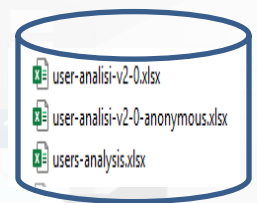
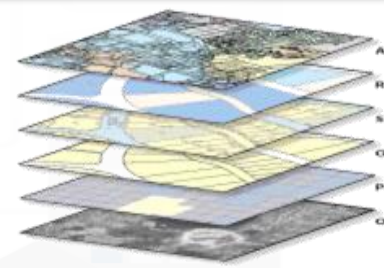
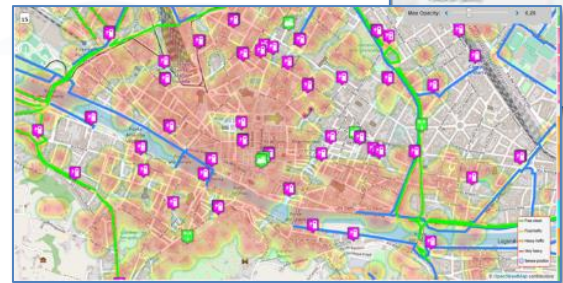
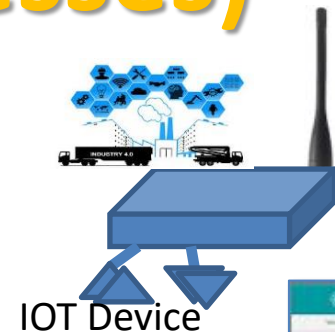
JS Foundation

E015
 digital ecosystem



Data Collection, ingestion (processes)

- **Data**
 - Open and private: Open Data: CKAN
 - licensing, private, GDPR
 - Static vs Real time
 - Any protocol, any standard: Push and Pull
 - Any format
- From **observations and milestones**:
 - sensors, database, KPI, etc.
- From **legacy services** of in-place operators
 - External Services: call of any kind
 - MicroServices, MicroApplications
 - Local databases
- From **citizens, city users, tourists, operators, ...**:
 - participated processes, feedback, Apps, etc.
 - Crawling web pages, etc.

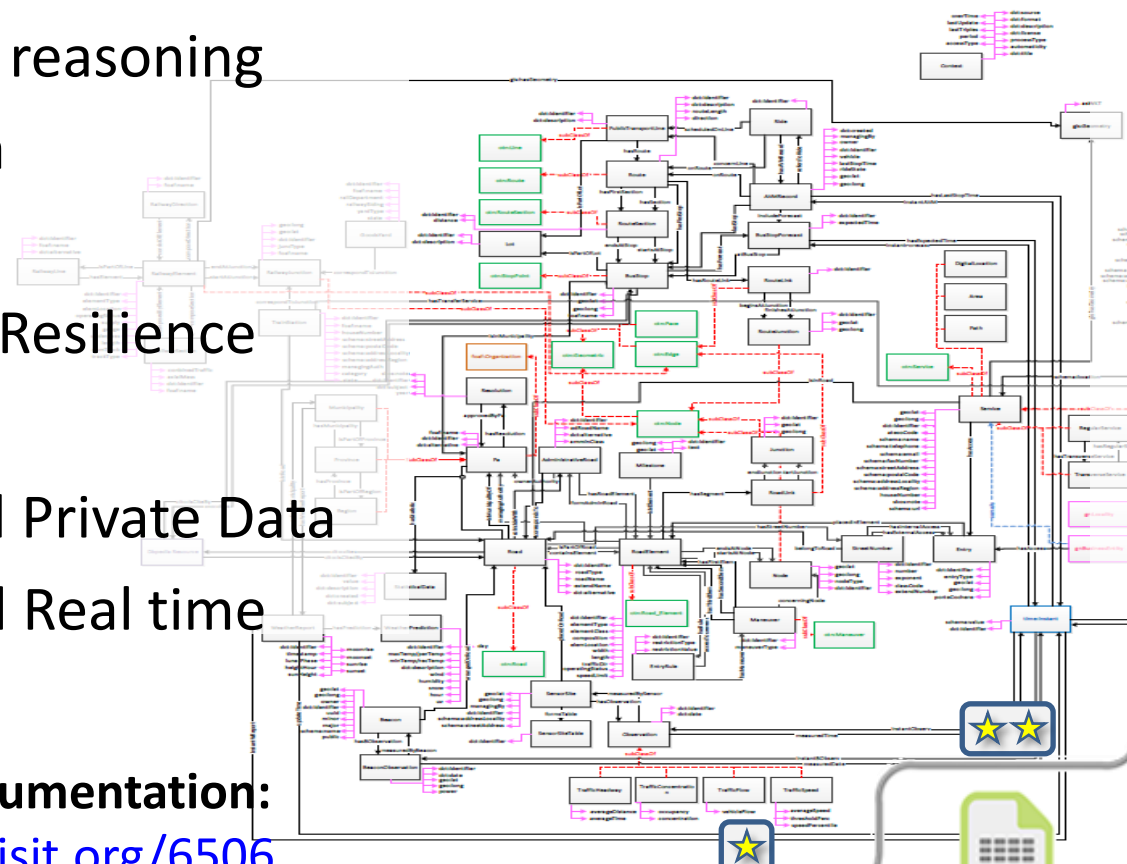


Km4City: Knowledge Base



- Multiple DOMAINS
- Geospatial reasoning
- Temporal reasoning
- Metadata
- Statistics
- Risk and Resilience
- Licensing
- Open and Private Data
- Static and Real time
- IOT/IOE

- Street-Guide
- Mobility and transport
- Points of interest
- Sensors, IOT, ...
- Energy
- Administration
- Citations from strings
- ..



Big Data Tools



LOD and reasoners



Schema: <http://www.disit.org/km4city/schema>
RDF version: <http://www.disit.org/km4city.rdf>



Ontology Documentation:
<http://www.disit.org/6506>
<http://www.disit.org/6507>
<http://www.disit.org/5606>
<http://www.disit.org/6461>

Private and static

- Fiscal Code, SSN
- Non shared pictures
- Level aspects
- Patient health record
- ..

- Personal movements
- GPS traces
- Relationships among people

- User Behaviour
- social media
- Contributions
- Consumption of energy, gas
- banking

Real time private

- Personal traffic
- Position of cars
- Position of taxi
- Position of CarSharing ...

- TV cameras

Static Public (open data)

statistics: accidents, census, votations

- # Accesses to RTZ ZTL
- Data from Public GOV

Position of commercial activities, POI

- Museums
- City services data, almost
- Active services

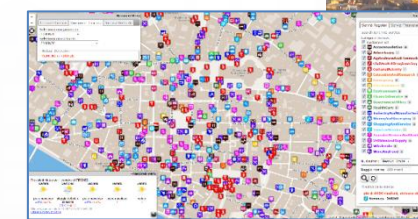
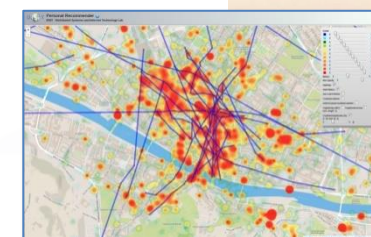
- Info traffico
- video camere
- Info weather
- Info environment
- Status of the queue at Museums
- earthquake data
- parking

- Stato accessi alla ZTL
- Stato dei servizi

Real time public (open data)

Integrated Urban Platform

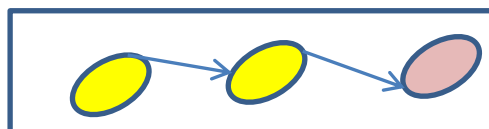
- **Produce value from data supporting Living lab**
 - Stimulate virtuous behavior, influence City Users!
 - Put in action CITY Strategies
- **Data Exploitation performing**
 - predictions, reasoning, business intelligence, ..
 - users behavior analysis, decision support system, ..
 - Control Room, Real Time Monitoring tools,
- **Aggregate & integrate data**
 - Multiple protocols from urban operators,
 - open data, IOT, sensors, internet of everything, cloud, mobile devices, Wi-Fi, social media, ...



Data Indexing & Semantic Data Indexing

- **Textual**, multilingual, NLP (Natural Language Processing)
 - For social media data, but also for metadata, descriptions
- **Spatial, geographical**, georeversing → Knowledge Base, Km4City
 - Around a point, along a line/path, near a path, into a polyline, etc.
- **Temporal** → Historical data
- **Semantic**: relationships among city entities.
- **Data Value** different data type (Data Lake/normalization), data unit, etc. → the so called IOT shadowing of Azure, AWS
 - Relating to Knowledge Base reciprocally
- **Traffic / volume of Data (KBps)** → *Network Analysis, monitoring*

Data processing



- **Data analytics**

- Periodic or event driven

- On demand

- Data transformation

- ETL: extract transform load

- Control Flow, data transform

- Node-RED: Node.JS

- **For example:**

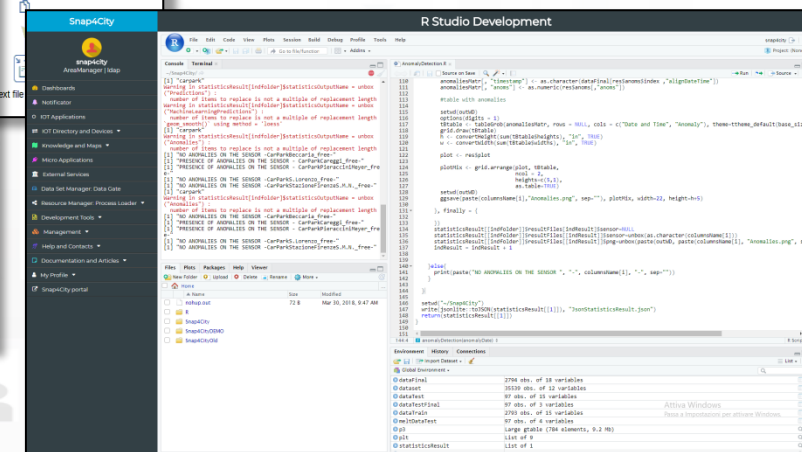
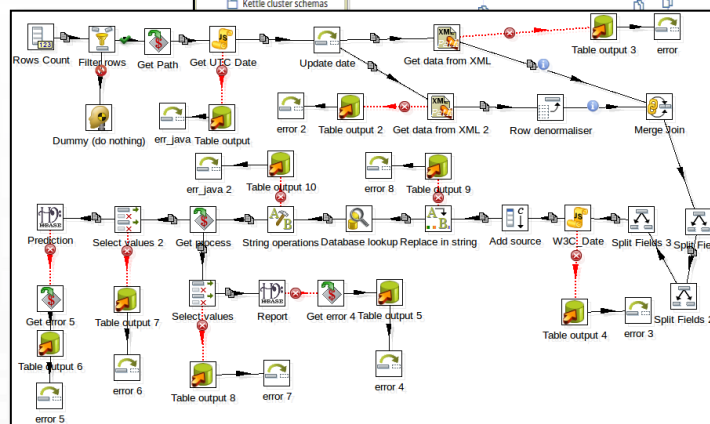
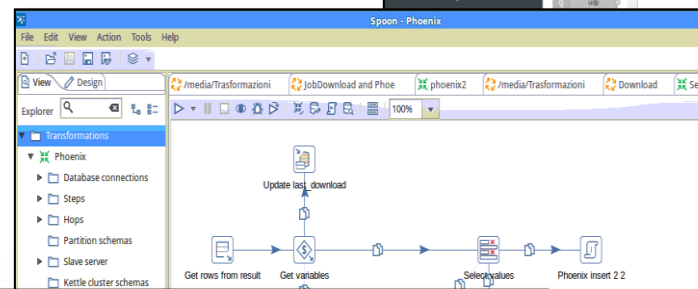
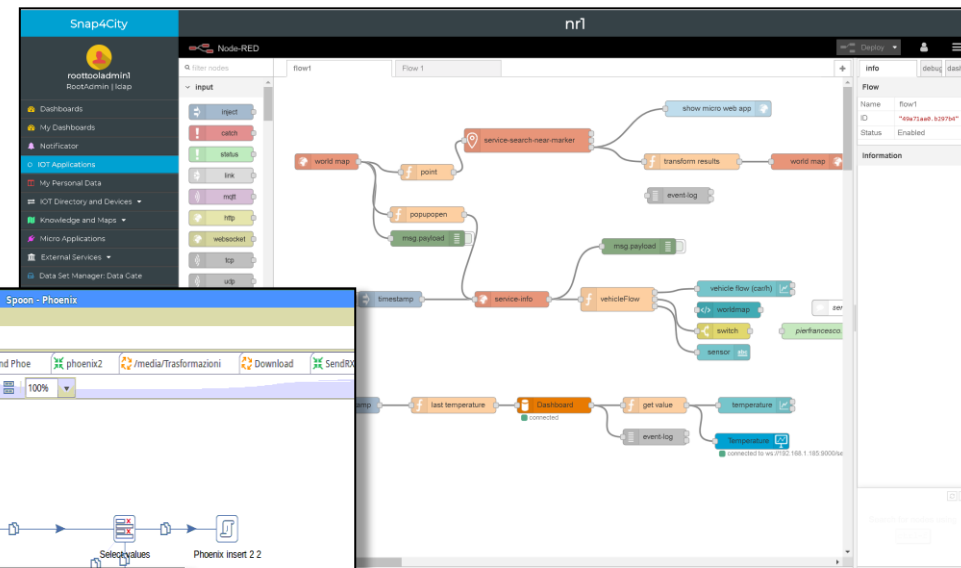
- Assessment/monitoring

- Predictions

- Anomaly detection

- Simulations

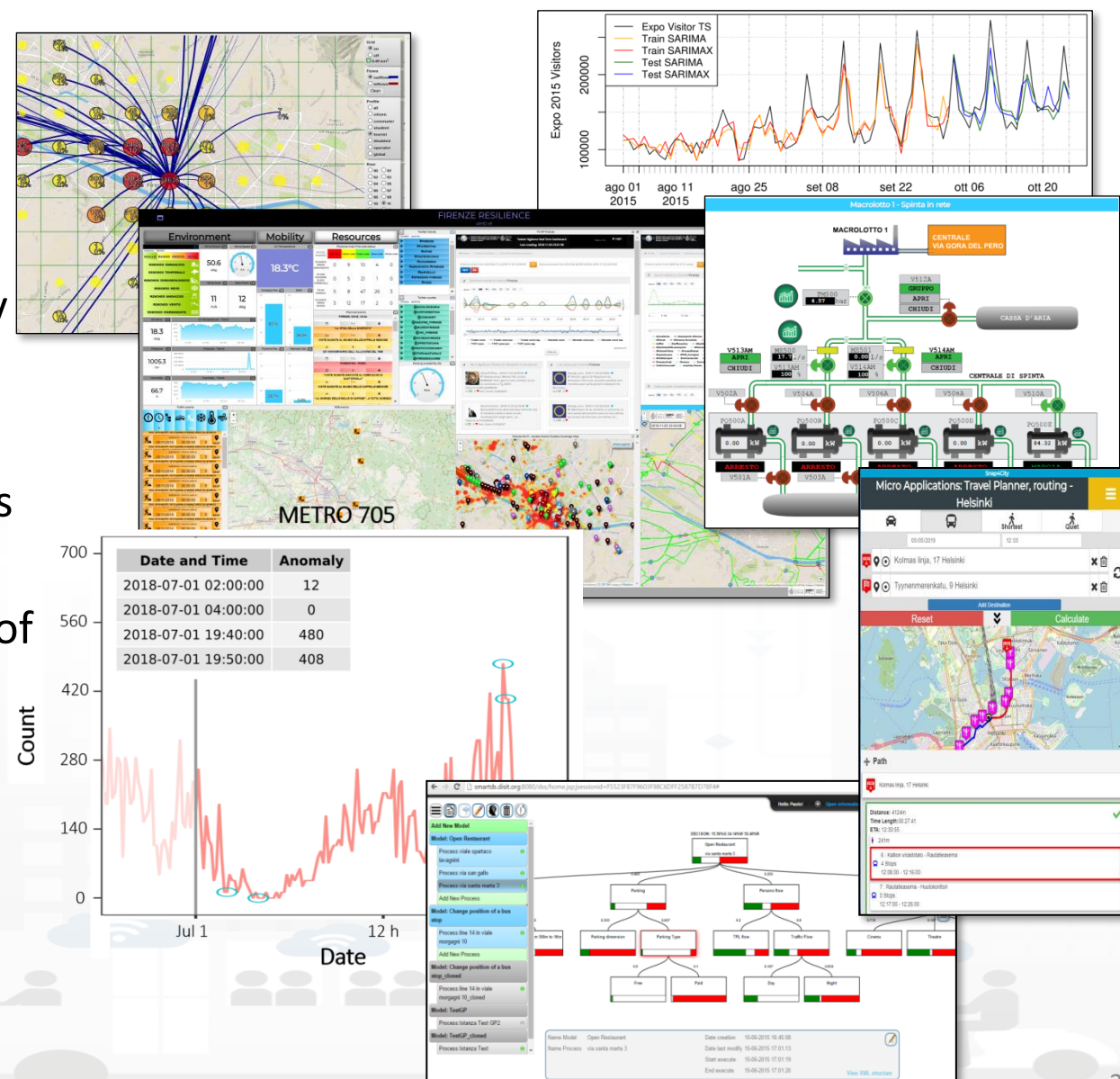
- Etc.



Decision Support, Act!

Smart City Control Room, SCCR, SCR

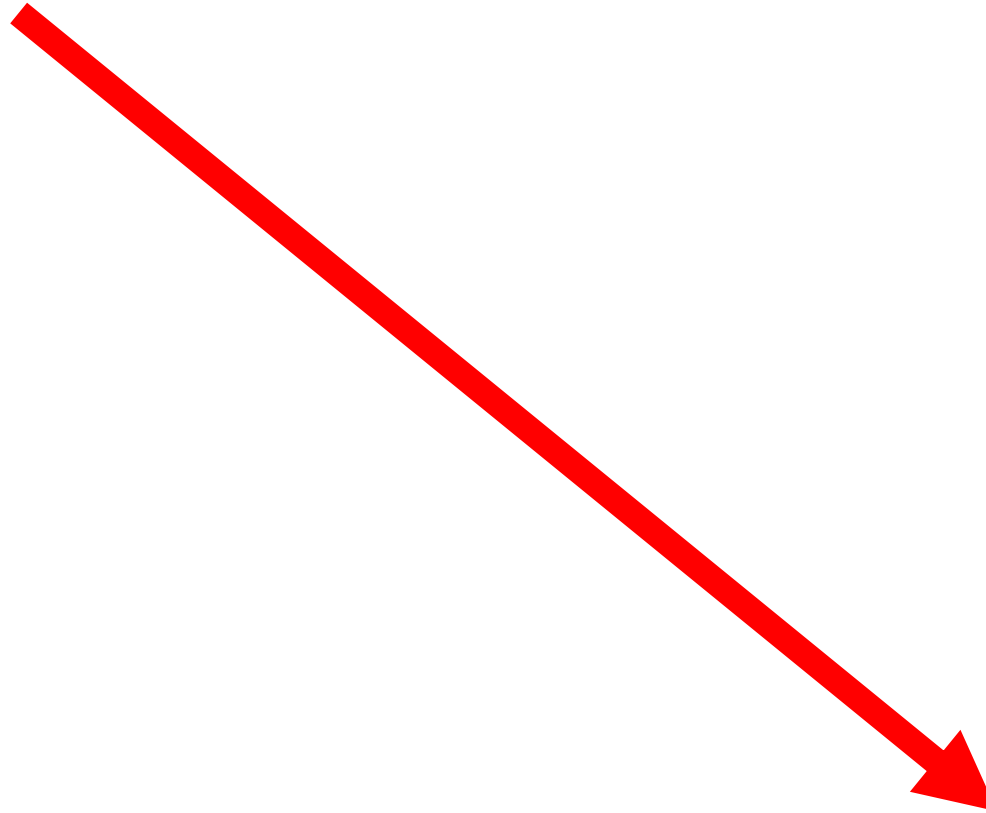
- Not only a collection of verticals
- **Exploiting analytics:** prediction, simulations, anomaly detection. ...
 - Big data approach to Data Analytics
- **Connecting Heterogeneous data** to defined strategies and alerting
- **Connected interactive dashboards** for different kind of decision makers: operators and majors
- **What-if Analysis** taking into account multiple data sources



From strategies to Actions

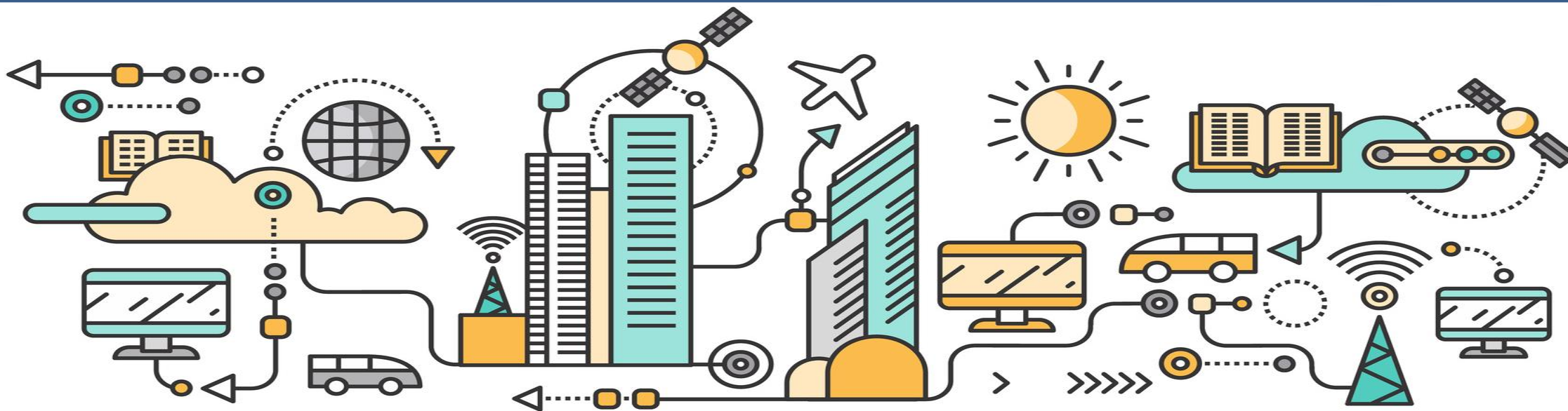


- **Public vs private**
 - What is private and what is public
 - Privacy vs safety
 - GDPR
- **Data Privacy**
- **Data Analytics**
 - Bias
 - Data anonymization
- **AI:**
 - Unbias learning
 - Explainable AI
 - Trustworthy
 - Ethical aspects: incidental finding
- **Slide su snap4city:**
 - Connesse alla security
 - Connesse alla privacy e GDPR
 - GDPR verification



TOP

Data Protection, Personal Data vs GDPR



GDPR: General Data Protection Regulation

Users may decide to:

- provide access to who, for do what, until when consented
- accept terms of use by **signed** consent **for** data management **service**

- Correctness
- Transparency
- Security
- Integrity
- Privacy
- Auditing
- ...

From each service, the user is capable to:

- **See** what we collect in terms of Data Type: traces, logs, paths, profiles, accesses, IOT devices, sensors, maps, etc.
- **Download, delete, inspect** Data
- **Auditing** and **Revoke** access or **grant** access right to each **single Data**
- **Delete all Data in single shot** or singularly (**forget all about me**)

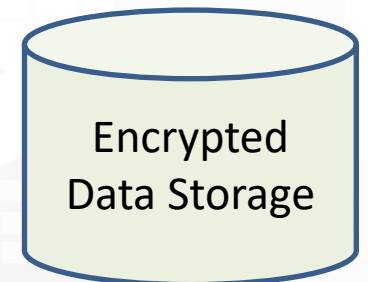
GDPR: General Data Protection Regulation

If personal data are **published by the owner**:

- the data are **released anonymously**,
- also in this case they can be **revoked at any time**:

Snap4City is also compliant to GDPR **Technical Constraints** as:

- **Secure connection** in any private data exchange
- **Encrypted** data store for all private data
- **Decoupling** data and personal IDs
- Allow the **Auditing** of private data usage



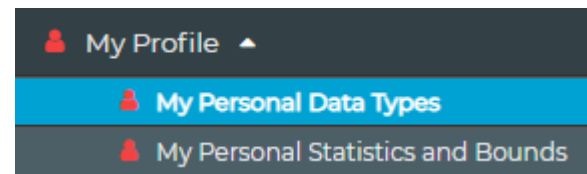
GDPR Compliant

My Personal Data Types

View Edit Track Access control Convert

This page allows you to access at your Data Types, which are your personal data that we c most cases, a specific tool and view is provided to manage them.

- **My profile data and Blogs**
 - to manage your user profile data (name, email,): view, edit, delete
- **My Personal Statistics and Bounds: daily or Monthly**
 - to access at your statistics about the data access and volume of resources use that may depend on the Organization at which one belong and on the role in
- **My Personal Data, My KPI and My POI**
 - to manage your personal MyKPI, MyPOI and trajectories, if any: view, edit, dele
- **My Personal Engagement**
 - to manage your personal engagements received on the Mobile Apps, auditing
- **My IOT Devices**
 - to manage your IOT Devices in which it is possible to: edit, delete, make public
- **My IOT Applications**
 - to manage your IOT Applications in which it is possible to: delete, restart, char
- **My Dashboards**
 - to manage your Dashboards in which it is possible to: edit, delete, change owr
- **My IOT sensor data service URI (for programmers)**
 - to manage the Delegations to access at the ServiceURI of the knowledge bas
- **My IOT sensor data service GraphID (for programmers)**
 - to manage the Delegations to access at the a Graph (data set) of the knowled
- **My personal data by IOT App (partially deprecated)**
 - to manage your MyPersonal Data, if any: view, edit, delete, delegation in acces
- **My Annotation data**
 - to manage the Delegation to access at the Annotations: delegation in access,
- **Auditing Access to My Data**
 - to audit the accesses to MyData



Manage Profile and MyPersonalData For each Data Type:

- Start as private → making them public (anonymous) and revoke
- The Owner is the only one that can: (1) modify values; (2) change the ownership
- Define/revoke Delegation to Access
- Delete/forget per Data Type and “me all”!
- Auditing

GDPR vs Snap4City

GDPR Compliance Verification Feature

	Verif.	Reqs.
Signed consent	UI	R8
User profile management and control	UI	R13
Data Type private as default	UI	R8
Rights to access per element	UI	R9
Rights to transfer per element	UI	R10
Rights to erase per element and total	UI	R13
Rights to revoke/change per Data Type	UI	R10
An interface for Right management for Data Type	UI	R9
Clear Terms of Use and Privacy Policy	UI	--
Auditing Tools for Data Type	UI	R14
Publish as Anonymous	UI	R9
Encrypt personal users' data	Code	R12
Secure Authentication and Authorization	Code	R3
Data protection by Design	Code	R17
Secure connection	Code	R6
Security Control, data breach control, anonymization, etc.	PEN Test	R15, R16, R18

- C. Badii, P. Bellini, A. Difino, P. Nesi, "Smart City IoT Platform Respecting GDPR Privacy and Security Aspects", accepted for publication on IEEE Access, 2020. 10.1109/ACCESS.2020.2968741 <https://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=8966344>

Smart City IoT Platform Respecting GDPR Privacy and Security Aspects

CLAUDIO BADI¹, PIERFRANCESCO BELLINI¹, ANGELO DIFINO¹, AND PAOLO NESI¹, (Member, IEEE)

Department of Information Engineering, University of Florence, 50121 Florence, Italy

Corresponding author: Paolo Nesi (paolo.nesi@unifi.it)

This work was supported in part by the European Union's Horizon 2020 Research and Innovation Program under Agreement 688196.

ABSTRACT The Internet of Things (IoT) paradigm enables computation and communication among tools that everyone uses daily. The vastness and heterogeneity of devices and their composition offer innovative services and scenarios that require a new challenging vision in interoperability, security and data management. Many IoT frameworks and platforms claimed to have solved these issues, aggregating different sources of information, combining their data flows in new innovative services, providing security robustness with respect to vulnerability and respecting the GDPR (General Data Protection Regulation) of the European Commission. Due to the potentially very sensible nature of some of these data, privacy and security aspects have to be taken into account by design and by default. In addition, an end-to-end secure solution has to guarantee a secure environment at the final users for their personal data, in transit and storage, which have to remain under their full control. In this paper, the Snap4City architecture and its security solutions that also respect the GDPR are presented. The Snap4City solution addresses the full stack security, ranging from IoT Devices, IoT Edge on premises, IoT Applications on the cloud and on premises, Data Analytics, and Dashboarding, presenting a number of integrated security solutions that go beyond the state of the art, as shown in the platform comparison. The stress test also included the adoption of penetrations tests verifying the robustness of the solution with respect to a large number of potential vulnerability aspects. The stress security assessments have been performed in a piloting period with more than 1200 registered users, thousands of processes per day, and more than 1.8 million of complex data ingested per day, in large cities such as Antwerp, Helsinki and the entire Tuscany region. Snap4City is a solution produced in response to a research challenge launched by the Select4Cities H2020 research and development project of the European Commission. Select4Cities identified a large number of requirements for modern Smart Cities that support IoT/IoE (Internet of Things/Everything) in the hands of public administrations and Living Labs, and selected a number of solutions. Consequently, at the end of the process after 3 years of work, Snap4City has been identified as the winning solution.

INDEX TERMS End-2-end, GDPR, IoT, security, smart city.

I. INTRODUCTION

IoT (Internet of Thing) is becoming a disruptive technology, especially for city users of metropolitan areas. The pervasiveness of IoT Devices, integrated in common objects, is becoming increasingly deeper. The addresses' space for these devices would be enough to point any sensors of any devices at any moment without restrictions. Diffuse products that implement *Low-Power Wide Area Networks* (LPWAN)

technologies for IoT introduced by SigFox and Semtech (LoRa, Long Range) have been gaining interest and have been under intense deployment campaigns worldwide [1]. At the same time, *short range* IoT devices (based on technologies such as IEEE 802.15.4 or Bluetooth Low Energy, BLE, [2]) are sold in increasing quantities and are already able to support scenarios for smart homes, energy metering and industrial automation. On the other hand, the start of the diffusion of *5G devices* and services is creating high expectations in networking IoT technologies, as the killer application of previous technologies in metropolitan areas.

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General Data Protection Regulation (1)

- GDPR is:
 - a regulation (not a directive)
 - Regulation is a law, while a directive is a recommendation
 - proposed by the European Union
 - on data protection and privacy
 - for all individuals within EU+EEA (European Economic Area)
 - EFTA: Iceland, Swiss, Norway, Liechtenstein
 - + export of personal data outside the EU and EEA areas
 - Adopted 2016, April 27th
 - Operative since 2018, May 25th

- EU states which form part of the EEA
- EFTA states which form part of the EEA
- EU state which forms part of the EEA through the provisional application of an accession agreement
- EFTA state which signed the EEA agreement but did not join
- Former EU state which has withdrawn from the EEA







- It Substitutes
 - Europe → Directive 95/46/CE
 - Italy → d. lgs. n. 196/2003 (codice per la protezione dei dati personali)
- The following cases are not covered by the regulation:
 - Lawful interception, national security, military, police, justice
 - *Public interest statistical and scientific analysis*
 - Deceased persons (national legislation, consent)
 - Employer-employee (dedicated law, human dignity)
 - Purely personal nature or household activity



Reception/Impact

- Thousands of amendments were proposed in the process of definition
- The total cost for EU companies is estimated at around *€200 billion* while for US companies the estimate is for *\$41.7 billion*
- *Research indicates that approximately 25% of software vulnerabilities have GDPR implications (emphasizes breaches, not bugs)*
- After the implementation of the GDPR, the US state of California passed a similar bill called The California Consumer Privacy Act (CCPA, 2018)
- Moreover, The New York Privacy Act (NYPA, 2019), plat to give residents there more control over their data than in any other US state. by 2021
- 12 months after the Regulation took effect, an Egress report found that 52% of organizations weren't fully complying with the GDPR.



Main concepts

The six main principles

1. Lawfulness, fairness and transparency

2. Purpose limitation

3. Data minimisation

4. Accuracy

5. Storage limitation

6. Integrity and confidentiality

The seventh principles

- Controller–processor contracts;
- Relevant policies and procedures;
- Privacy notices;
- Staff training records;
- Security monitoring and event logging records;
- Data breach records; and
- Data protection impact assessments.

GDPR analysis





Content

- I - General provisions
- II - Principles
- III - Rights of the data subject
- IV - Controller and processor
- V - Transfers of personal data to third countries or international organizations
- VI - Independent supervisory authorities
- VII - Cooperation and consistency
- VIII - Remedies, liability and penalties
- IX - Provisions relating to specific processing situations
- X - Delegated acts and implementing acts
- XI - Final provisions

- The GDPR consists of 99 *articles*, grouped into 11 chapters, and an additional 173 *recitals* with explanatory remarks. Italian version is 88 pages long.
- Chapters' headings:

I - Disposizioni generali

II - Principi

III - Diritti dell'interessato

IV - Titolare del trattamento e responsabile del trattamento

V - Trasferimenti di dati personali verso paesi terzi o organizzazioni internazionali

VI - Autorità di controllo indipendenti

VII - Cooperazione e coerenza

VIII - Mezzi di ricorso, responsabilità e sanzioni

IX - Disposizioni relative a specifiche situazioni di trattamento

X - Atti delegati e atti di esecuzione

XI - Disposizioni finali

<https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=celex%3A32018R1725>



Recitals - 1

<https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=LEGISSUM:4374552>

Whereas:

- (1) The protection of natural persons in relation to the processing of personal data is a fundamental right. Article 8(1) of the Charter of Fundamental Rights of the European Union (the 'Charter') and Article 16(1) of the Treaty on the Functioning of the European Union (TFEU) provide that everyone has the right to the protection of personal data concerning him or her.

Article - 1

Subject-matter and objectives

1. This Regulation lays down rules relating to the protection of natural persons with regard to the processing of personal data and rules relating to the free movement of personal data.
2. This Regulation protects fundamental rights and freedoms of natural persons and in particular their right to the protection of personal data.
3. The free movement of personal data within the Union shall be neither restricted nor prohibited for reasons connected with the protection of natural persons with regard to the processing of personal data.

Scope (1)

- The regulation applies if the **data controller** (an organization that collects data from EU residents), or **processor** (an organization that processes data on behalf of a data controller – cloud provides), or the **data subject** (person) is based in the EU.
- The regulation also applies to **organizations** based outside the EU if they collect or process personal data of **individuals located inside the EU**.
- **Data Protection Officer (DPO)** employed in the organization, has responsibilities for advising on GDPR regulation

- DPO appointment is **mandatory** for
 - Public bodies (excepts courts) and
 - Data controllers and data processors that, as a core activity, monitor individuals *systematically* and on a large scale, or that process *sensitive* data on large scale
- Appointment, position and tasks of DPO are set out in GDPR
 - Expert knowledge of data protection law and practice
 - Be involved in all data protection issues
 - Report directly to *highest* level of management
 - Operational independence, no conflicts of interest, confidentiality
 - Inform and advice; monitor compliance; point of contact for individuals

- Any information relating to an **individual**, whether it relates to his or her private, professional or public life.
- It can be anything from a name, a home address, a photo, an email address, bank details, posts on social networking websites, medical information, or a computer's IP address."
- The precise definitions of terms such as "personal data", "processing", "data subject", "controller" and "processor", are stated in Article 4 of the Regulation
- any data that are not personal data are outside the scope of the proposed Regulation.

Lawful basis for processing (1)

- **Unless** a data subject has provided informed consent to data processing for one or more purposes, personal data may not be processed unless there is at least one legal basis to do so. According to Article 6, the lawful purposes are:
 - If the data subject has **given consent** to the processing of his or her personal data
 - Consent by default is not valid anymore (EXPLICIT consent)
 - Can be removed and controller cannot refuse
 - To fulfill **contractual obligations** with a data subject;
 - To comply with a data controller's **legal obligations**;
 - To protect the **vital interests** of a data subject or another individual;
 - To perform a task in the **public interest** or in **official authority**;
 - For the **legitimate interests of a data controller** or a third party, unless these interests are overridden by interests of the ~~data subject or her~~ or his rights according to the **Charter of Fundamental Rights** (especially in the case of children – 16years old).



Lawful basis for processing (2)

- **Public Task:** you can process personal data, without consent, to carry out your official functions or a task in the **public interest** - and where you have a **legal basis** for the processing
- **Legitimate Interest:** you can process personal data, without consent, if you have a genuine and legitimate reason to do so
 - Legitimate interest can be for **commercial** benefit
 - GDPR recitals – direct marketing could be a legitimate interest
 - BUT exception if your interests are outweighed by harm to the individual's right and interest (balance it against the individual's interests, rights and freedoms)



Lawful basis for processing (3)

- Consent may be required if you are:
 - Marketing
 - Selling information
 - Transferring data outside EU/EEA
- Consent will NOT be appropriate:
 - Consent is a pre-condition of using the service
 - You would still process personal data using different basis even if consent was withdrawn
- GDPR sets a higher standard for obtaining consent



- Consent – Practical changes
 - Identify basis of processing
 - Clear and plain language
 - Keep records
 - Drive Withdrawal
- Don't use informed consent... for example
 - Don't bundle consent
 - Blanket consent
 - Don't use pre-ticked boxes
 - Penalize withdrawal

- **Compliance** with the GDPR: the *data controller* must implement measures which meet the principles of **data protection by design and by default**.
- Data protection by design and by default (Article 25) require data protection measures to be designed for products and services.
 - i.e. pseudonymizing personal data, by the controller, as soon as possible (Recital 78).
 - responsibility of the data controller to implement effective measures and be able to demonstrate the compliance of processing activities even if the processing is carried out by a data processor on behalf of the controller (Recital 74)
 - inform the user about collection
 - **data protection impact assessments (DPIA, Article 35)**
 - identify and minimize the data protection risks of a project



Data protection by design and by default

- (Article 25) requires **data protection to be designed into the development of business processes** for products and services (At the beginning, for the root → easy task for new process, but what about old process?)
- by default: Privacy settings at a highest level
- implement mechanisms to ensure that personal data is not processed **unless necessary** for each specific purpose (touch lesser is better)
- encryption and decryption operations must be carried out locally, not by remote service (because of keys) and data must remain in the power of the data owner if any privacy is to be achieved.
- outsourced data storage on remote clouds is practical and relatively safe if only the **data owner**, not the cloud service, holds the decryption keys.



Pseudonymization (1)

- as a process that is required when data is stored (as an alternative to the other option of complete data anonymization) to transform personal data in such a way that the resulting data **cannot be attributed** to a specific data subject without the use of additional information.
- Encryption: decryption key separately!!!
- Tokenization: replaces sensitive data with non-sensitive substitutes (but still possible to link to original owner)
- Nice to have: The definition of personal data lists a number of factors how a person can be identified, e.g. with reference to identification numbers. Here, a general reference to “any other unique identifier” could be added for ensuring comprehensive coverage.



Pseudonymization (2)

- data minimization is a requirement
 - with pseudonymization the regulation provide no guidance on how or what constitutes an effective data de-identification scheme, with a **grey area** on what would be considered as inadequate pseudonymization subject to Section 5 enforcement actions
- anonymization and pseudonymization are two distinct techniques
 - Recital 26 of the GDPR defines anonymized data as “data rendered anonymous in such a way that the data subject is not or **no longer identifiable.**”
 - By contrast to anonymization, Article 4(5) of the GDPR defines pseudonymization as “the processing of personal data in such a way that the data can no longer be attributed to a specific data subject without the use of **additional information.**”

Pseudonymization (3)

- The risk of re-identification:
 - The effectiveness (and legality) of both anonymization and pseudonymization hinge on their abilities to protect data subjects from re-identification.
 - In Recital 26, the GDPR limits the ability of a data handler to benefit from pseudonymized data if re-identification techniques are “**reasonably** likely to be used, such as singling out, either by the controller or by another person to identify the natural person directly or indirectly.”
 - Whether pseudonymized data is “reasonably likely” to be re-identified is a question of fact that **depends on a number of factors** such as the technique used to pseudonymize the data, where the additional identifiable data is stored in relation to the de-identified data, and the likelihood that non-identifiable data elements may be used together to identify an individual.

Pseudonymization (4)

- ANONYMOUS

- Not possible to identify the user in any way
- Data can be used in aggregation

- PSEUDO ANONYMOUS

- Very difficult to identify
 - With current technology
 - With data from other sources
 - In a reasonable time
- Not direct linked with user profile
- --- nothing about incidental findings
- --- nothing about the identification via cross data deduction

→ W D

- NON ANONYMOUS

- Identified and linked with user profile
- Consent for any data types

- More generally: data subject right (Article 15).
 - access their personal data and information about how this personal data is being processed
 - A data controller must provide, **upon request**, an overview of the categories of data that are being processed (Article 15-1b) as well as a copy of the actual data (Article 15-3).
 - Furthermore, the data controller has to inform the data subject on **details about the processing**, such as the *purposes* of the processing (Article 15-1a), with whom the data is shared (Article 15-1c), and *how* it acquired the data (Article 15-1g)
 - be able to **transfer** personal data from one electronic processing system to and into another → data portability and data interoperable
 - **review** the collected data

AV/F

Right to erasure

- Was right to be forgotten → became right of erasure
- Records of processing activities must be maintained that include purposes of the processing, categories involved and envisaged time limits. The records must be made available to the supervisory authority on request (Article 30)
- Supervisory authorities cannot have their eyes on all controllers all the time, so it is crucial to give data subjects strong rights for their interactions with controllers.

Data breaches (1)

- the data controller is under a **legal obligation** to notify the supervisory authority without undue delay unless the breach is unlikely to result in a risk to the rights and freedoms of the individuals.
- There is a maximum of **72 hours after becoming aware** of the data breach to make the report (Article 33). ~~Individuals~~ have to be notified if adverse impact is determined (Article 34). In addition, the data processor will have to notify the controller without undue delay after becoming aware of a personal data breach (Article 33).
- However, the notice to data subjects **is not required** if the data controller has implemented appropriate technical and organizational protection measures that render **the personal data unintelligible** to any person who is not authorized to access it, such as encryption (Article 34).

Data breaches (2)

- A personal data breach means a breach of security leading to the accidental or unlawful destruction, loss, alternation, unauthorized disclosure of, or access to, personal data. This includes breaches that are the result of both accidental and deliberate causes. It also means that a breach is more than just about losing personal data
- Example: Carphone Warehouse
 - Fined £400.000 in January
 - Records for approximately 3.350.000 customers of a number of mobile phone provider
 - Records for 389 customers across two other companies
 - Historical transaction for period March 2010-April 2010
 - Records of approximately 100 employees





Data breaches (3)

- Vulnerability is a weakness which allow an attacker to reduce a system's information assurance. Vulnerabilities are the intersection of the three elements:
 - a system susceptibility of flaw
 - attacker access to the flaw
 - attacker capability to exploit the flaw
- To exploit a vulnerability, an attacker must have at least one applicable tool or technique that can connect to a system weakness. In this frame, vulnerability is also known as the attack surface
- Example: Carphone Warehouse – how did they get in?
 - Vulnerability?
 - Password



Data breaches (4)

- Example: Uber
 - Details of 2.7 million UK drivers and riders
 - Details of 57 million people worldwide
 - Email address and phone numbers
 - US Driver license numbers
- how did they get in?
 - Password stored on GitHub
 - What is GitHub?
 - Cover up!
 - ICO Response
 - «Uber has confirmed its data breach in October 2016 affected approximate 2.7 million user accounts in the UK. Uber has said the breach involved names, mobile phone numbers and email address. On its own this information is unlikely to pose direct threat to citizens, However, its use may make other scams, such as bogus emails or call appear more credible. People should continue to be vigilant and follow the advice from NCSC»



Data breaches (5)

- It's not only loss of confidentiality or unauthorized processing of personal data
 - It also encompass availability and integrity
- only breaches “likely to affect” data subjects have to be notified to them, and not all breaches
- Nice to have: public register of breaches to educate the public about IT security and provide added insight into trends regarding breaches



- Preventing
 - Vulnerability testing and Penetration testing
 - Password management
 - Risk assess
 - Two Factor Authentication
 - FIDO2 and biometrics
 - Utilized DLP (Data loss prevention) feature on key documents
 - help a network administrator to control what data end users can transfer
 - Data protection training
 - Employers
 - Users



Sanctions (1)

- a **warning** in writing in cases of first and non-intentional noncompliance
- **regular** periodic data protection **audits**
- a fine up to **€10 million or up to 2% of the annual worldwide turnover** of the preceding financial year in case of an enterprise, whichever is greater, if there has been an infringement of the following provisions: (Article 83, Paragraph 5 & 6)
- a fine up to **€20 million or up to 4% of the annual worldwide turnover** of the preceding financial year in case of an enterprise, whichever is greater, if there has been an infringement of the following provisions: (Article 83, Paragraph 4)



- **Data protection Commissioner**
 - Investigative powers
 - Conduct *investigations* and audits
 - *Obtain access to data*, premises and equipment
 - Corrective powers
 - Issue warnings and reprimands
 - *Order* compliance
 - Order communication of a data breach to an individual
 - Impose a temporary/permanent ban on processing
 - Order rectification or erasure of personal data
 - Suspend data transfers to a third country
 - Administrative fines
 - May impose fine (effective, proportionate and dissuasive)



Codes of conduct and certification (section 5, article 40) (1)

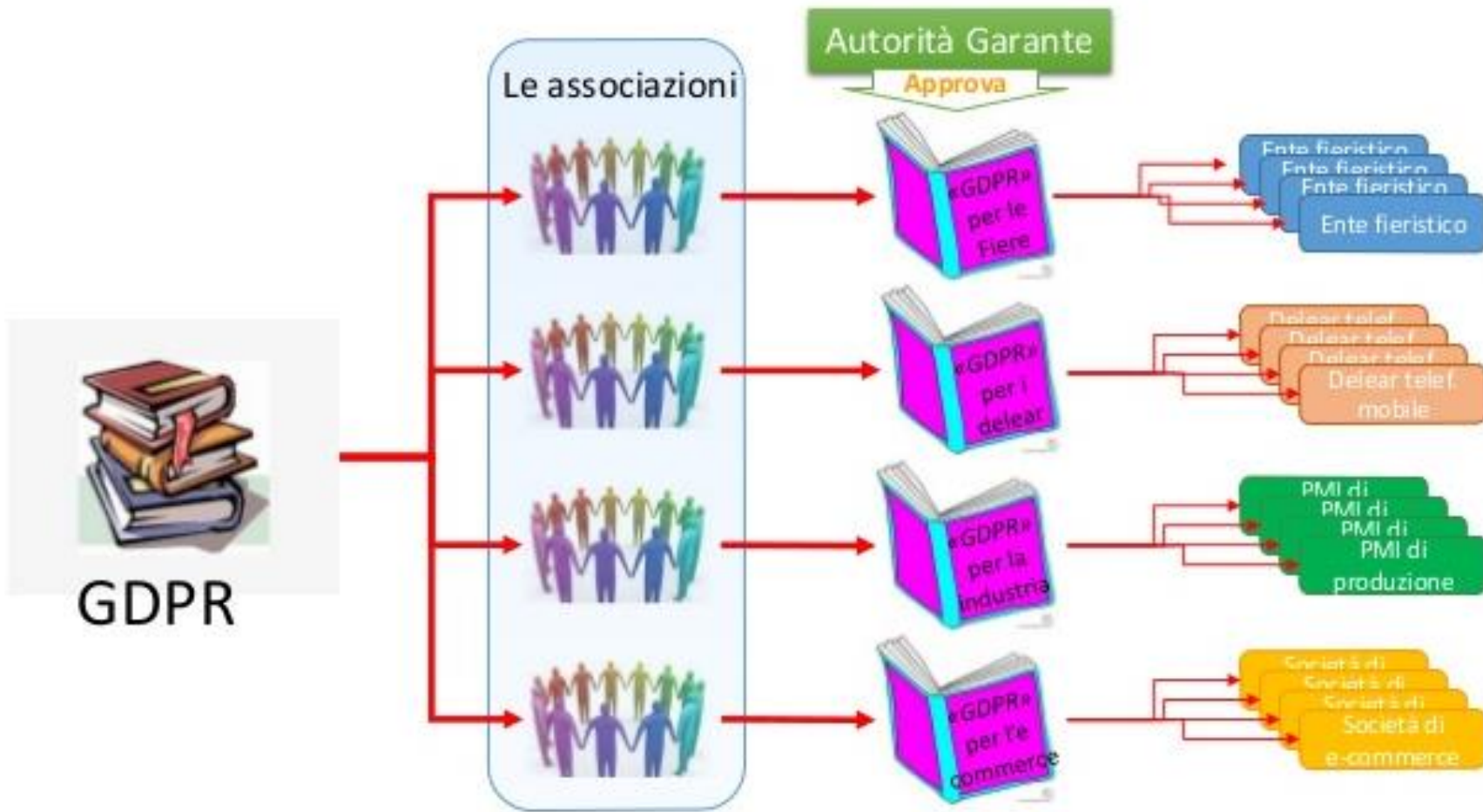
- **The Member States, the supervisory authorities**, the Board and the Commission shall encourage the drawing up of codes of conduct intended to contribute to the **proper application** of this Regulation, taking account of the specific features of the **various processing sectors** and the specific needs of micro, small and medium-sized enterprises.
 - Involvement authorities
 - Awareness of the problem
- **Associations and other bodies** representing categories of controllers or processors may prepare codes of conduct, or amend or extend such codes, for the purpose of specifying the application of this Regulation, such as with regard to
 - The association that represent the firms



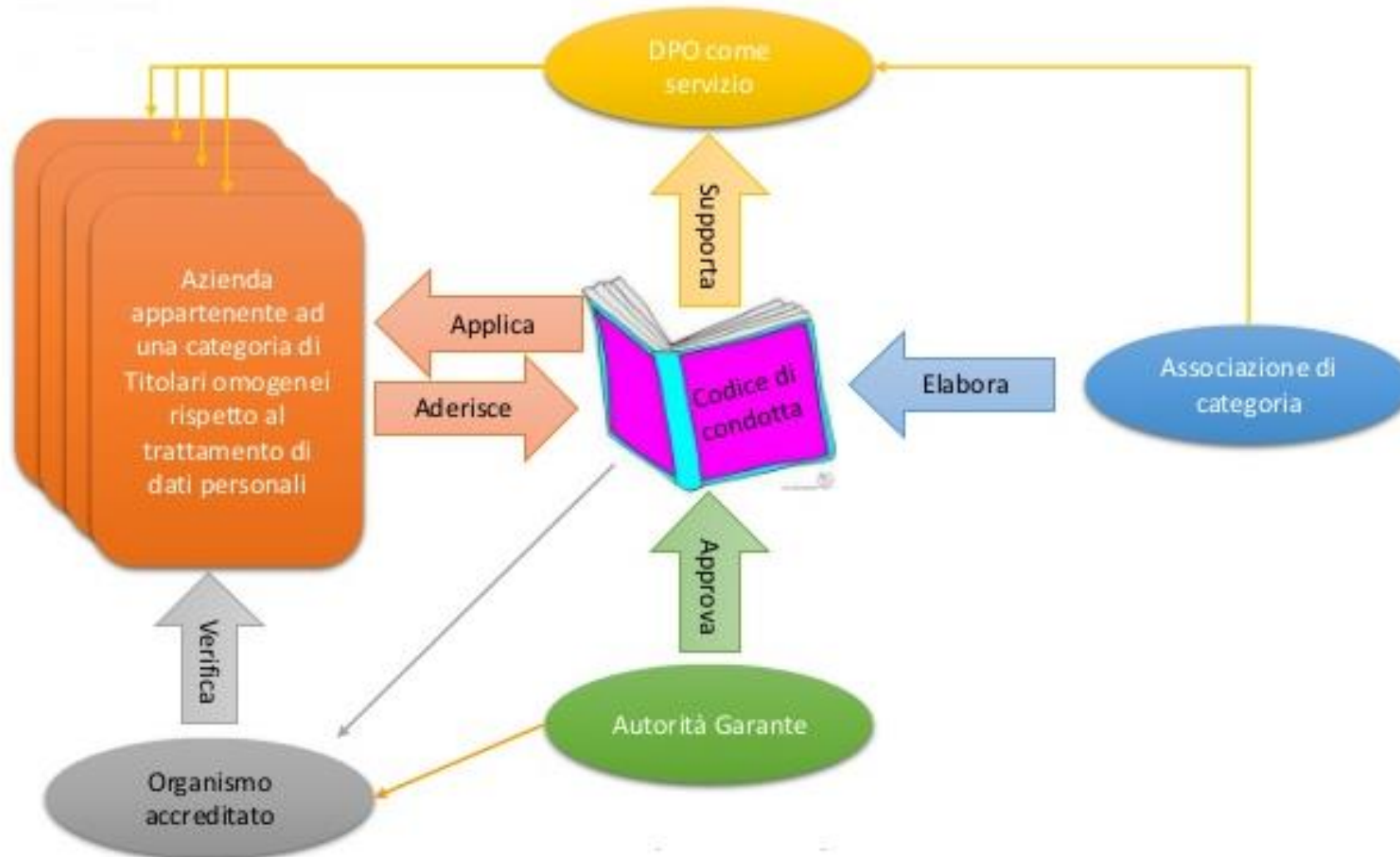
Codes of conduct and certification (section 5, article 40) (2)

- (a) fair and transparent processing;
- (b) the legitimate interests pursued by controllers in specific contexts;
- 5419/16 AV/NT/sr 175 DGD 2 **EN**
- (c) the collection of personal data;
- (d) the pseudonymisation of personal data;
- (e) the **information provided to the public** and to data subjects;
- (f) the exercise of the rights of data subjects;
- (g) the information provided to, and the protection of, children, and the manner in which the consent of the holders of parental responsibility over children is to be obtained;
- (h) the measures and procedures referred to in Articles 24 and 25 and the measures to **ensure security** of processing referred to in Article 32;
- (i) **the notification of personal data breaches** to supervisory authorities and the communication of such personal data breaches to data subjects;
- (j) the transfer of personal data to third countries or international organisations; or
- (k) out-of-court proceedings and other dispute resolution procedures for resolving disputes between controllers and data subjects with regard to processing, without prejudice to the rights of data subjects pursuant to Articles 77 and 79.

Codes of conduct and certification (section 5, article 40) (3)



Codes of conduct and certification (section 5, article 40) (4)





Mapping GDPR to Required Capabilities

- GDPR compliance can be achieved only by applying a combination of controls that can be summarized as People, Process, Products:
 - **People** → specific roles, responsibilities and accountability
 - **Processes** → operating principles and business practices
 - **Products** → technologies used for data storage and processing
- Specific set of controls for GDPR:
 - **Discover**: scope data subjects to the regulation
 - **Defend**: implement measures to protect discovered data
 - **Detect**: identify breach against data and remediate security and process gaps

- *Before* implementing security controls, identify **which** personal data are stored and **how long** the organization is permitted to retain
 1. Identification of *IMPACT* to Personal Data: integrate tools that enable controller to quickly and conveniently **review their data content** and to inspect **what additional** data will be captured as new services are under development (Article 35, clause 1)
 2. *RETENTION* of Personal Data: capability to **identify personal data**, and **securely erase** once the expiration period has been reached, or an individual specifically requests erasure (Article 13, clause 2a)
- To erase means marking as DELETED
 - for police officer investigation (i.e. 30 days)



Discover (2)

- What 'personal data' do you hold and use?
- Why do you need it or use it? What's the legal basis for processing it?
- How is it processed and shared? How long is it kept for?
- Where is stored and from where is it accessed?
- Identify and understand the data flows (data mapping, data inventory)



Defend (1)

- Implement the controls that will protect data (Article 32, clause 1)
 1. *Access Control*: only authorized users can access personal data (Article 25, clause 2 + Article 29). It should be possible to enforce **authentication controls** so that only the clients (users, application, administrations) authorized by the data processor can access the data. The KB should also allow data controllers to define specific *roles, responsibilities and duties* each client can perform against data



- Pseudonymization & Encryption*: in the event of a breach, the **pseudonymization** and **encryption** of data is designed to prevent the identification of any specific individual from compromised data (Clause 28). Pseudonymization via separation of user information from user data + access control. Encryption (Article 32, clause 1 + Article 34 clause 3a) for data *in-transit* using network connections and data *at-rest* using storage and backups
- Resilience and Disaster Recovery*: provide system and service + means to restore data in a **timely** fashion + **fault tolerance** to system failures (Article 32)



4. *Data sovereignty: Data transfer outside of the EU:* To support globally distributed applications, organizations and increasing **distributing data to data centers and cloud facilities** located in multiple countries across the globe, it should be possible to enforce data sovereignty policies by only distributing and storing EU citizen data **to region recognized as complying** with the regulation

- In the event of a data breach, the organization must be able, in a **timely fashion**, to *detect and report* on the issue, and also to generate a record of what activities had been performed against the data
 1. *Monitoring and reporting*: The closer to real-time, the better chance of limiting the impact. The KB should offers managements tools that enable constant monitoring of KB behaviour to proactively mitigate threads and that enable the organization to report any breaches (Article 33, clause 1)
 2. *Auditing*: Record activities on KB and present that activities for forensic analysis when requested by controller (Article 25, clause 1 + Article 28, clause 3H)

TOP

GDPR verification



- **data protection impact assessments**
- **Struttura DPIA:**
 - Executive Summary
 - Obiettivo del Documento e dell'Azione (scambio/accesso/uso dati)
 - Obiettivi, motivazioni
 - Definizioni
 - Contesto Normativo, Normative e Standard di riferimento
 - Perimetro di Applicazione
 - Ruoli e Responsabilità: Conduttore, DPO
 - Durata del trattamento e detenzione dei dati
 -vedi next slide.....-→

• Segue struttura (aspetti tecnici)

- Trattamento dati: Misure di Protezione
- Trattamento dati relativi a Minori e/o categorie protette
- Trattamento e Piattaforma dati: descrizione tecnologica
- Dati Trattati: descrizione
- Obiettivi perseguiti da chi usa i dati, resa per il fornitore dei dati
- Modalità di trasmissione dati, da fornitore a utilizzatore
- Modalità di Utilizzo dei dati
 - Chi accede e come, eventuali liste di accesso, concessioni autorizzazioni, etc.
- Backup e Continuità del servizio (da ambo le parti, se necessario)
 - Su eventuali ritorni dalle elaborazioni, sui servizi correlati se richiesti dal fornitore, etc..
- Sicurezza: PENtest, vulnerability, TLS, certification, DB encryption, ...
- Impatto e Rischi: perdita dei dati
- Accesso e Proporzionalità
- Firme: DPIA resp, DPO, DPIA reviewer

Definizioni

- **Probabilità [P]** valutazione della frequenza di accadimento di una minaccia, in funzione delle vulnerabilità in essere e di eventuali contromisure implementate;
- **Impatto [I]** indicazione della gravità di un incidente che comprometta la riservatezza, l'integrità e la disponibilità di processi, dati, informazioni incluse nel perimetro di applicazione della normativa Privacy;
- **Minaccia [M]** evento potenziale, accidentale o deliberato, che, nel caso accadesse, produrrebbe un danno per l'interessato;
- **Vulnerabilità [V]** debolezza intrinseca del sistema informativo o del sistema informatico che, qualora si realizzasse una minaccia che la sfrutti, produrrebbe un danno all'interessato;
- **Rischio Privacy:** combinazione di impatto per l'interessato e della probabilità di accadimento di una minaccia che possa compromettere la riservatezza, l'integrità o la disponibilità di un dato personale ad esso riferito;
- **Contromisure [C]** soluzioni organizzative, procedurali o tecnologiche che possono essere implementate al fine di mitigare il Rischio Privacy associato ad ogni sistema o archivio e quindi diminuire il Rischio;
- **Soglie di accettazione del rischio** definizione del livello massimo di rischio accettato superato il quale si rende necessaria l'implementazione delle contromisure;
- **Rischio Privacy Residuo:** valore determinato dalla combinazione tra il Rischio Privacy e la Vulnerabilità. Tale valore deve essere tenuto entro i limiti determinati dalle Soglie di accettazione del rischio.



L' Art. 35 c. 7 del GDPR prevede che la valutazione contiene almeno:

- una descrizione sistematica dei trattamenti previsti e delle finalità del trattamento, compreso, ove applicabile, l'interesse legittimo perseguito dal titolare del trattamento;
- una valutazione della necessità e proporzionalità dei trattamenti in relazione alle finalità;
- una valutazione dei rischi per i diritti e le libertà degli interessati di cui al par. 1;
- le misure previste per affrontare i rischi, includendo le garanzie, le misure di sicurezza e i meccanismi per garantire la protezione dei dati personali e dimostrare la conformità al presente regolamento, tenuto conto dei diritti e degli interessi legittimi degli interessati e delle altre persone in questione.

Spetta al titolare garantire l'effettuazione della DPIA (art. 35, paragrafo 2). La conduzione materiale della DPIA può essere affidata a un altro soggetto, interno o esterno all'organismo; tuttavia, la responsabilità ultima dell'adempimento ricade sul titolare del trattamento

GDPR verification





Checklist

- Legal bases for processing
- Policies and procedure
- Privacy notes
- Appropriate technical and organizational measure
- Documentation and record-keeping
- Staff training
- Dealing with Data Subject Rights
- Security and Data breach
- Data Protection Impact Assessments
- Data Processor and contracts
- Appoint a DPO if required, or at least, a lead person
- Privacy by design and by default



Main concepts

The six main principles

1. Lawfulness, fairness and transparency

2. Purpose limitation

3. Data minimisation

4. Accuracy

5. Storage limitation

6. Integrity and confidentiality

The seventh principles

- Controller–processor contracts;
- Relevant policies and procedures;
- Privacy notices;
- Staff training records;
- Security monitoring and event logging records;
- Data breach records; and
- Data protection impact assessments.

GDPR verification on Snap4City

GDPR Compliance Verification Feature	Verif.	Reqs.
Signed consent	UI	R8
User profile management and control	UI	R13
Data Type private as default	UI	R8
Rights to access per element	UI	R9
Rights to transfer per element	UI	R10
Rights to erase per element and total	UI	R13
Rights to revoke/change per Data Type	UI	R10
An interface for Right management for Data Type	UI	R9
Clear Terms of Use and Privacy Policy	UI	--
Auditing Tools for Data Type	UI	R14
Publish as Anonymous	UI	R9
Encrypt personal users' data	Code	R12
Secure Authentication and Authorization	Code	R3
Data protection by Design	Code	R17
Secure connection	Code	R6
Security Control, data breach control, anonymization, etc.	PEN Test	R15, R16, R18